

**AGENDA**  
**Board of Trustees Meeting**  
 Madison County Mass Transit District  
 8:30 a.m., Thursday, October 29, 2020  
 One Transit Way, Granite City, Illinois

<u>Section</u>	<u>Item</u>	<u>Recommendation</u>
I.	<b>Pledge of Allegiance</b>	
II.	<b>Call to Order: Roll Call.</b>	
III.	<b>Public Comments.</b>	
IV.	<b><u>Consideration of the minutes of the September 24, 2020 regular meeting for inclusion in the official records of the District.</u></b>	Approval
V.	<b><u>Financial:</u></b>	
	A. Payments and Claims: Consideration of the September 2020 claims for payment.	Approval
	B. Monthly Financial Report: Review of the monthly financial records as of September 30, 2020.	Approval
	C. Quarterly Investment Report	Approval
	D. Capital Budget Review	Discussion
VI.	<b><u>Transit Service:</u></b>	
	A. Managing Director's Report, SJ Morrison	Information
	B. Proposal to Revise Vanpool Fares	Discussion
	C. Resolution 21-11 Authorizing an Award of Contract to AAIC, Inc. for Design Services for Administration Building and Safety & Security Improvements	Approval
	D. Resolution 21-12 Authorizing the Submittal of an Application for an Illinois Transportation Enhancement Program Grant for the Installation of a Grade Separation at IL-111 and MCT Schoolhouse Trail	Approval
	E. Resolution 21-13 Authorizing the Award of Contract for Construction of the Eastgate Park & Ride Facility	Approval
VII.	<b><u>Other Business:</u></b>	
VIII.	<b><u>Executive session to discuss the acquisition or lease of real property under (c)(5), and litigation under (c)(11) of the Open Meetings Act (5 ILCS 120/2).</u></b>	
IX.	<b><u>Adjournment</u></b>	Approval

**MINUTES**

**Board of Trustees**

Madison County Mass Transit District  
One Transit Way, Granite City, Illinois  
8:30 a.m., Thursday, September 24, 2020

**I. Pledge of Allegiance**

Trustee Adomite led the reciting of the Pledge of Allegiance.

**II. Call to Order: Roll Call**

Chairman Jedda called the meeting to order at 8:30 a.m.

MEMBERS PRESENT: ALLEN P. ADOMITE, ANDREW F. ECONOMY,  
CHRISTOPHER C. GUY, RONALD L. JEDDA, AND J.  
KELLY SCHMIDT.

MEMBER ABSENT: NONE.

OTHERS PRESENT: TONYA GENOVESE, LEGAL COUNSEL; ANDREW  
CARRUTHERS, LEGAL COUNSEL; SJ MORRISON, ACT;  
SUMMER MOORE, ACT; MICHELLE DOMER, ACT; PHIL  
ROGGIO, ACT; JOE DOMER, ACT; MARK STEYER, ACT;  
JONATHAN CORTEZ, ACT; AMANDA SMITH, ACT;  
AMANDA SCHOMAKER, ACT; MARLA BROWN, ACT; &  
TINA JOHNESSEE, ACT.

**III. Public Comments**

No public comments were presented.

**IV. Consideration of the Minutes of the August 27, 2020, regular meeting for inclusion in the official records of the District.**

TRUSTEE ADOMITE MADE THE MOTION, SECONDED BY TRUSTEE ECONOMY, TO APPROVE THE MINUTES FOR INCLUSION IN THE OFFICIAL RECORDS OF THE DISTRICT.

A ROLL CALL VOTE FOLLOWED:

ALLEN P. ADOMITE	AYE
ANDREW F. ECONOMY	AYE
CHRISTOPHER C. GUY	AYE
RONALD L. JEDDA	AYE
J. KELLY SCHMIDT	AYE

ALL AYES. NO NAYS. MOTION CARRIED.

**V. Financial**

**A. Payments and Claims: Consideration of the August 2020 claims for payment:**

Managing Director SJ Morrison presented the payments and claims report.

TRUSTEE GUY MADE THE MOTION, SECONDED BY TRUSTEE ECONOMY, TO APPROVE THE PAYMENTS AND CLAIMS TO THE CITY OF TROY AND THE AGENCY FOR COMMUNITY TRANSIT.

A ROLL CALL VOTE FOLLOWED:

ALLEN P. ADOMITE	ABSTAINED
ANDREW F. ECONOMY	AYE
CHRISTOPHER C. GUY	AYE
RONALD L. JEDDA	AYE
J. KELLY SCHMIDT	ABSTAINED

TRUSTEE ADOMITE & SCHMIDT ABSTAINED. ALL AYES. NO NAYS. MOTION CARRIED.

TRUSTEE GUY MADE THE MOTION, SECONDED BY TRUSTEE ADOMITE, TO APPROVE THE PAYMENTS AND CLAIMS, EXCLUDING THE PAYMENTS AND CLAIMS TO THE CITY OF TROY AND THE AGENCY FOR COMMUNITY TRANSIT.

A ROLL CALL VOTE FOLLOWED:

ALLEN P. ADOMITE	AYE
ANDREW F. ECONOMY	AYE
CHRISTOPHER C. GUY	AYE
RONALD L. JEDDA	AYE
J. KELLY SCHMIDT	AYE

ALL AYES. NO NAYS. MOTION CARRIED.

B. Monthly Financial Report: Reviews of the monthly financial records as of August 31, 2020:

Managing Director SJ Morrison presented the monthly financial report.

TRUSTEE ADOMITE MADE THE MOTION, SECONDED BY TRUSTEE SCHMIDT, TO APPROVE OF THE MONTHLY FINANCIAL REPORT AS OF DATE.

A ROLL CALL VOTE FOLLOWED:

ALLEN P. ADOMITE	AYE
ANDREW F. ECONOMY	AYE
CHRISTOPHER C. GUY	AYE
RONALD L. JEDDA	AYE
J. KELLY SCHMIDT	AYE

ALL AYES. NO NAYS. MOTION CARRIED.

VI. **Transit Service**

A. Managing Director's Report:

Managing Director SJ Morrison produced the report.

Morrison reported there were eight-five thousand (85,000) Fixed Route boardings this August, which is approximately fifty percent lower than last year. The implemented August service change with the Gateway Commerce Shuttle, Gateway Commerce Express Routes, and restorations of eighty percent of service produced an additional eight hundred to one thousand (800 to 1,000) boardings per day.

Morrison mentioned the MCT Trails media coverage on the MEPRD grant for three hundred thousand dollars (\$300,000) for the IT Beltline Trail construction from Emerson Avenue to Irma Avenue.

Morrison stated that the consultants continued their efforts on the three IDNR projects, which include: Troy-Trail Extension, Schoolhouse Trail to Pleasant Ridge Park Connector, and the Nickel Plate Trail. The construction is expected to begin in 2021. Morrison let the board know there was still no word on the IDNR grants for the Confluence Trail Improvements and the Nature Trail Improvements.

Morrison revealed that the consultant, Oates and Associates, submitted the final trail studies for IL-111 and IL-157 grade separation. The studies provided the pros/cons, cost, and an engineering analysis on the possibility of constructing a tunnel or bridge at both locations.

Morrison reported that the IL-157 bus stop shelters, and trash receptacles were installed. The tunnel lighting was scheduled to be installed October 5.

Morrison revealed that the September and October Back to School scavenger hunt on GooseChase that is divided into two age groups (elementary and 13+) that runs until the end of October.

Morrison indicated that there was still no official word on the Rebuild Illinois grants for the MCT administration building, but continued efforts were progressing on the design of the building and the security elements.

Jedda questioned if we could start securing the base sooner rather than later.

Morrison mentioned it would come down to using the requested federal funds, but minor improvements could be initiated.

Economy commented that the project cost would only increase with time.

The board collectively agreed that base security was of the utmost importance.

Morrison informed the board that the Eastgate Park & Ride pre-bid meeting was well attended by a variety of contractors and subcontractors on September 15. The bids were due Tuesday, September 29, and a contract award recommendation would be presented at the October meeting. The construction is estimated to take ten months from the notice to proceed.

Jedda asked how many parking spaces were expected.

Morrison answered one hundred and four parking spaces, a patron waiting area, driver restrooms, as well as video surveillance.

#### B. January 2021 Service Change Proposal

A presentation was made by Director of Planning & Capital Projects, Phil Roggio, & the Manager of Planning & Scheduling, Joe Domer.

TRUSTEE ADOMITE MADE THE MOTION, SECONDED BY TRUSTEE SCHMIDT, TO APPROVE OF THE JANUARY 2021 SERVICE CHANGE PROPOSAL.

A ROLL CALL VOTE FOLLOWED:

ALLEN P. ADOMITE            AYE



ANDREW F. ECONOMY      AYE  
CHRISTOPHER C. GUY      AYE  
RONALD L. JEDDA      AYE  
J. KELLY SCHMIDT      AYE

ALL AYES. NO NAYS. MOTION CARRIED.

- C. TRUSTEE ECONOMY MADE THE MOTION, SECONDED BY TRUSTEE ADOMITE, TO APPROVE THE FOLLOWING RESOLUTION:

21-08 AUTHORIZING PHASE 1 & 2 OF THE MCT TRAILS BEAUTIFICATION PROJECT

A ROLL CALL VOTE FOLLOWED:

ALLEN P. ADOMITE      AYE  
ANDREW F. ECONOMY      AYE  
CHRISTOPHER C. GUY      AYE  
RONALD L. JEDDA      AYE  
J. KELLY SCHMIDT      AYE

ALL AYES. NO NAYS. MOTION CARRIED.

- D. TRUSTEE ADOMITE MADE THE MOTION, SECONDED BY TRUSTEE ECONOMY, TO APPROVE THE FOLLOWING RESOLUTION:

21-09 AUTHORIZING THE MADISON COUNTY VANPOOL INITIATIVE

A ROLL CALL VOTE FOLLOWED:

ALLEN P. ADOMITE      AYE  
ANDREW F. ECONOMY      AYE  
CHRISTOPHER C. GUY      AYE  
RONALD L. JEDDA      AYE  
J. KELLY SCHMIDT      AYE

ALL AYES. NO NAYS. MOTION CARRIED.

- E. TRUSTEE SCHMIDT MADE THE MOTION, SECONDED BY TRUSTEE ADOMITE, TO APPROVE THE FOLLOWING RESOLUTION:

21-10 AUTHORIZING THE PURCHASE OF A VEHICLE FOR THE CITY OF HIGHLAND, ILLINOIS, TO SERVE THE RESIDENTS OF MADISON COUNTY, ILLINOIS AND THE EXECUTION OF A MOTOR VEHICLE LEASE AND/OR MAINTENANCE AGREEMENT

A ROLL CALL VOTE FOLLOWED:

ALLEN P. ADOMITE      AYE  
ANDREW F. ECONOMY      AYE  
CHRISTOPHER C. GUY      AYE  
RONALD L. JEDDA      AYE  
J. KELLY SCHMIDT      AYE

ALL AYES. NO NAYS. MOTION CARRIED.

**VII. Other Business**

**VIII. Executive session to discuss the acquisition, and/or sale, or lease of property under (c)(5), and litigation under (c)(11) of the Open Meetings Act (5 ILCS 120/2).**

TRUSTEE GUY MADE THE MOTION, SECONDED BY TRUSTEE ADOMITE, TO MOVE INTO EXECUTIVE SESSION TO DISCUSS THE ACQUISITION, AND/OR SALE OR LEASE OF PROPERTY UNDER 2(C)(5), AND LITIGATION UNDER 2(C)(11) OF THE OPEN MEETINGS ACT (5 ILCS 120/2).

A ROLL CALL VOTE FOLLOWED:

ALLEN P. ADOMITE	AYE
ANDREW F. ECONOMY	AYE
CHRISTOPHER C. GUY	AYE
RONALD L. JEDDA	AYE
J. KELLY SCHMIDT	AYE

ALL AYES. NO NAYS. MOTION CARRIED.

TRUSTEE ADOMITE MADE THE MOTION, SECONDED BY TRUSTEE GUY, TO RETURN TO REGULAR SESSION.

A ROLL CALL VOTE FOLLOWED:

ALLEN P. ADOMITE	AYE
ANDREW F. ECONOMY	AYE
CHRISTOPHER C. GUY	AYE
RONALD L. JEDDA	AYE
J. KELLY SCHMIDT	AYE

ALL AYES. NO NAYS. MOTION CARRIED.

**IX. ADJOURNMENT**

TRUSTEE ADOMITE MADE THE MOTION, SECONDED BY TRUSTEE SCHMIDT TO ADJOURN.

A ROLL CALL VOTE FOLLOWED:

ALLEN P. ADOMITE	AYE
ANDREW F. ECONOMY	AYE
CHRISTOPHER C. GUY	AYE
RONALD L. JEDDA	AYE
J. KELLY SCHMIDT	AYE

ALL AYES. NO NAYS. MOTION CARRIED.

Meeting adjourned at 9:54 a.m.

Respectfully submitted

*Summer Moore*

---

10/14/2020 11:08  
jhartke  
WARRANT: 102920

Madison County Mass Transit District  
ADVICE REGISTER - PER DIEM  
From: 09/01/2020 To: 09/30/2020

P 1  
pradvreg

EMP #	NAME	CHK #	NET PAY
10013	ECONOMY, ANDREW F	005210013	184.70
10011	GUY, CHRISTOPHER C	005210014	184.70
10010	SCHMIDT, J. KELLY	005210015	184.70
1138	Internal Revenue Servi	005210016	522.40
Total Deposits: 4			1,076.50

10/14/2020 10:57  
jhartke  
WARRANT: 102920

Madison County Mass Transit District  
CURRENT CHECK REGISTER - PER DIEM  
09/01/2020 to 09/30/2020

P 1  
prchkreg  
CHECK DATE: 10/29/2020

EMP #	NAME	TYP	NET PAY	CHECK #	CHECK DATE	SPECIAL
10012	ADOMITE, ALLEN P.	CK	0.00	003210007	10/29/2020	
10009	JEDDA, RONALD L.	CK	0.00	003210008	10/29/2020	
2 ** TOTAL CHECK(S)			0.00			

10/05/2020 11:55  
tpohlman

Madison County Mass Transit District  
ELECTRONIC FUNDS TRANSFER REGISTER

P 1  
apcshdsb

CASH ACCOUNT: 10000000 10101      Checking Account

CHECK NO    CHK DATE    TYPE    VENDOR NAME      VOUCHER    INVOICE      INV DATE    PO      WARRANT      NET

9210016	09/24/2020	PRTD	1737 Wheatland Title Comp	093020-1	093020-1	09/25/2020		EFT0930	2,884.13
				093020-2	093020-2	09/25/2020		EFT0930	15,144.92
							CHECK	9210016 TOTAL:	18,029.05
				NUMBER OF CHECKS	1		*** CASH ACCOUNT TOTAL ***		18,029.05
						COUNT		AMOUNT	
				TOTAL PRINTED CHECKS		1		18,029.05	
							*** GRAND TOTAL ***		18,029.05

10/08/2020 08:19  
tpohlman

Madison County Mass Transit District  
CHECK REGISTER

P 1  
apcshdsb

CASH ACCOUNT: 10000000 10101      Checking Account

CHECK NO	CHK DATE	TYPE	VENDOR NAME	VOUCHER	INVOICE	INV DATE	PO	WARRANT	NET
4210164	10/08/2020	PRTD	1253 City Treasurer Granite City		100120	09/29/2020		100720	103.42
							CHECK	4210164 TOTAL:	103.42
4210165	10/08/2020	PRTD	3984 City of Troy		100120SPTPR	09/29/2020		100720	546.78
					100120WSTPR	09/29/2020		100720	24.41
							CHECK	4210165 TOTAL:	571.19
4210166	10/08/2020	PRTD	1220 Illinois American Wa		0321ILRt3PR	09/22/2020		100720	131.88
							CHECK	4210166 TOTAL:	131.88
4210167	10/08/2020	PRTD	1220 Illinois American Wa		092520GCTC	09/25/2020		100720	262.78
							CHECK	4210167 TOTAL:	262.78
4210168	10/08/2020	PRTD	1220 Illinois American Wa		100220AHSRIR	10/02/2020		100720	63.58
							CHECK	4210168 TOTAL:	63.58
4210169	10/08/2020	PRTD	1220 Illinois American Wa		100220AHSRWS	10/02/2020		100720	91.25
							CHECK	4210169 TOTAL:	91.25
4210170	10/08/2020	PRTD	3848 Missouri Highways &		100220	10/02/2020		100720	18,813.20
							CHECK	4210170 TOTAL:	18,813.20
4210171	10/08/2020	PRTD	1051 Pontoon Beach Public		100120-1	10/01/2020		100720	264.18
					100120-2	10/01/2020		100720	554.02
					100120BW	10/01/2020		100720	369.25
					100120N	10/01/2020		100720	22.86
					100120SS	10/01/2020		100720	750.17
					100120T	10/01/2020		100720	23.71
							CHECK	4210171 TOTAL:	1,984.19

10/08/2020 08:19  
tpohlman

Madison County Mass Transit District  
CHECK REGISTER

P 2  
apcshdsb

CASH ACCOUNT: 10000000 10101      Checking Account

CHECK NO    CHK DATE    TYPE    VENDOR NAME      VOUCHER    INVOICE      INV DATE    PO      WARRANT      NET

---

4210172	10/08/2020	PRTD	1053 Special Service Area		100120	10/01/2020		100720	833.83
					100120BW	10/01/2020		100720	1,113.55
					100120N	10/01/2020		100720	97.21
						CHECK	4210172	TOTAL:	2,044.59
4210173	10/08/2020	PRTD	1506 Village of Glen Carb		092220GLPR	09/22/2020		100720	540.28
						CHECK	4210173	TOTAL:	540.28
4210174	10/08/2020	PRTD	1932 Wex Bank		67755297	09/30/2020		100720	5,716.54
						CHECK	4210174	TOTAL:	5,716.54
					NUMBER OF CHECKS	11		*** CASH ACCOUNT TOTAL ***	30,322.90
						COUNT		AMOUNT	
					TOTAL PRINTED CHECKS	11		30,322.90	
								*** GRAND TOTAL ***	30,322.90

10/15/2020 13:51  
tpohlman

Madison County Mass Transit District  
ELECTRONIC FUNDS TRANSFER REGISTER

P 1  
apcshdsb

CASH ACCOUNT: 10000000 10101      Checking Account

CHECK NO    CHK DATE    TYPE    VENDOR NAME      VOUCHER    INVOICE      INV DATE    PO      WARRANT      NET

-----  
9210017 10/14/2020 PRTD    1737 Wheatland Title Comp      101520      10/14/2020      101520      79,000.00

CHECK    9210017 TOTAL:      79,000.00

NUMBER OF CHECKS    1      \*\*\* CASH ACCOUNT TOTAL \*\*\*      79,000.00

TOTAL PRINTED CHECKS      COUNT      AMOUNT  
-----  
1      79,000.00

\*\*\* GRAND TOTAL \*\*\*      79,000.00

10/15/2020 11:42  
tpohlman

Madison County Mass Transit District  
CHECK REGISTER

P 1  
apcshdsb

CASH ACCOUNT: 10000000 10101      Checking Account

CHECK NO	CHK DATE	TYPE	VENDOR NAME	VOUCHER	INVOICE	INV DATE	PO	WARRANT	NET
4210175	10/15/2020	PRTD	1043 AT&T		OCT20	10/01/2020		101420	22.93
							CHECK	4210175 TOTAL:	22.93
4210176	10/15/2020	PRTD	1902 City of Collinsville		100220SPCTC	10/02/2020		101420	2,041.17
					100220WSCTC	10/02/2020		101420	94.39
							CHECK	4210176 TOTAL:	2,135.56
4210177	10/15/2020	PRTD	1433 City of Edwardsville		100920WSETC	10/09/2020		101420	1,702.16
							CHECK	4210177 TOTAL:	1,702.16
4210178	10/15/2020	PRTD	1436 City of Highland		SEPT20HPR	10/05/2020		101420	198.55
							CHECK	4210178 TOTAL:	198.55
4210179	10/15/2020	PRTD	1220 Illinois American Wa		100920SPATC	10/09/2020		101420	179.75
							CHECK	4210179 TOTAL:	179.75
4210180	10/15/2020	PRTD	1220 Illinois American Wa		100920WSATC	10/09/2020		101420	244.45
							CHECK	4210180 TOTAL:	244.45
4210181	10/15/2020	PRTD	1733 Johnny on the Spot #		47-000236910	09/30/2020		101420	765.30
							CHECK	4210181 TOTAL:	765.30
				NUMBER OF CHECKS	7			*** CASH ACCOUNT TOTAL ***	5,248.70
						COUNT		AMOUNT	
				TOTAL PRINTED CHECKS		7		5,248.70	
								*** GRAND TOTAL ***	5,248.70



10/20/2020 11:23  
tpohlman

Madison County Mass Transit District  
CHECK REGISTER

P 1  
apcshdsb

CASH ACCOUNT: 10000000 10101      Checking Account

CHECK NO    CHK DATE    TYPE    VENDOR NAME      VOUCHER    INVOICE      INV DATE    PO      WARRANT      NET

4210182	10/29/2020	PRTD	2501 Agency for Community	OCT20		10/02/2020		102920	41,666.67
				SEPT20BW		10/19/2020		102920	64,754.27
				SEPT20DR		10/19/2020		102920	183,685.92
				SEPT20FR		10/19/2020		102920	1,606,555.64
				SEPT20RS		10/20/2020		102920	67,334.44
				SEPT20VP		10/20/2020		102920	-8,281.98
						CHECK	4210182	TOTAL:	1,955,714.96
4210183	10/29/2020	PRTD	1050 Ameren Illinois	Sep20CRockRd		10/01/2020		102920	44.34
						CHECK	4210183	TOTAL:	44.34
4210184	10/29/2020	PRTD	1501 Ameren Illinois	SEPT20		10/06/2020		102920	10,206.28
						CHECK	4210184	TOTAL:	10,206.28
4210185	10/29/2020	PRTD	2031 Best-One Fleet Servi	80102889		09/25/2020		102920	100.00
				80102989		09/29/2020		102920	22,075.00
				80103235		10/01/2020		102920	73.00
						CHECK	4210185	TOTAL:	22,248.00
4210186	10/29/2020	PRTD	1507 Energy Petroleum Co	1834678		09/18/2020	12100022	102920	8,895.27
				1834695		09/25/2020	12100025	102920	8,467.77
				1834877		10/02/2020	12100028	102920	8,786.52
				1834903		09/30/2020	12100027	102920	8,652.96
				1835035		10/07/2020	12100029	102920	9,367.77
						CHECK	4210186	TOTAL:	44,170.29
4210187	10/29/2020	PRTD	1113 FedEx	7-138-68523		10/01/2020		102920	29.79
						CHECK	4210187	TOTAL:	29.79

10/20/2020 11:23  
tpohlman

Madison County Mass Transit District  
CHECK REGISTER

P 2  
apcshdsb

CASH ACCOUNT: 10000000 10101      Checking Account

CHECK NO	CHK DATE	TYPE	VENDOR NAME	VOUCHER	INVOICE	INV DATE	PO	WARRANT	NET
4210188	10/29/2020	PRTD	1029 Fort Russell Townshi		092320	09/22/2020		102920	131.61
						CHECK	4210188	TOTAL:	131.61
4210189	10/29/2020	PRTD	1014 Granite City Townshi		101320	10/09/2020		102920	2,093.48
						CHECK	4210189	TOTAL:	2,093.48
4210190	10/29/2020	PRTD	4016 Hepler Broom LLC		828878	09/11/2020		102920	1,743.00
						CHECK	4210190	TOTAL:	1,743.00
4210191	10/29/2020	PRTD	3920 The Jerry Costello G		NOV20	10/02/2020		102920	7,000.00
						CHECK	4210191	TOTAL:	7,000.00
4210192	10/29/2020	PRTD	1439 Juneau Associates, I		43864	08/29/2020		102920	5,346.00
					43874	08/29/2020		102920	468.00
						CHECK	4210192	TOTAL:	5,814.00
4210193	10/29/2020	PRTD	1602 Madison County State		NOV20	10/02/2020		102920	4,500.00
						CHECK	4210193	TOTAL:	4,500.00
4210194	10/29/2020	PRTD	1874 Main Street Communit		101320	10/13/2020		102920	426.74
						CHECK	4210194	TOTAL:	426.74
4210195	10/29/2020	PRTD	1698 O'Brien Tire & Auto		0223356	09/22/2020		102920	1,123.60
					0223423	09/22/2020		102920	179.00
					0223740	10/01/2020		102920	30.00
					0223748	10/02/2020		102920	183.76
					0223751	10/02/2020		102920	206.00
					0223854	10/06/2020		102920	95.13
					0223991	10/09/2020		102920	555.04

10/20/2020 11:23  
tpohlman

Madison County Mass Transit District  
CHECK REGISTER

P 3  
apcshdsb

CASH ACCOUNT: 10000000 10101      Checking Account

CHECK NO    CHK DATE    TYPE    VENDOR NAME      VOUCHER    INVOICE      INV DATE    PO      WARRANT      NET

---

								CHECK	4210195	TOTAL:	2,372.53
4210196	10/29/2020	PRTD	1173 Oates Associates		33038		09/23/2020		102920		5,062.05
					33046		09/24/2020		102920		6,476.00
					33059		09/25/2020		102920		330.00
					33085		09/30/2020		102920		3,300.00
					33097		10/12/2020		102920		2,259.00
					33098		10/12/2020		102920		6,550.00
								CHECK	4210196	TOTAL:	23,977.05
4210197	10/29/2020	PRTD	1757 Piasa Motor Fuels LL		255185		09/22/2020	12100023	102920		8,427.75
					255200		09/23/2020	12100024	102920		9,307.50
								CHECK	4210197	TOTAL:	17,735.25
4210198	10/29/2020	PRTD	3980 The Bancorp Bank		486742-42		10/01/2020	12000100	102920		992.13
								CHECK	4210198	TOTAL:	992.13
4210199	10/29/2020	PRTD	1914 Tyler Technologies,		045-316006		09/11/2020	12000137	102920		1,304.00
								CHECK	4210199	TOTAL:	1,304.00
4210200	10/29/2020	PRTD	3965 UPS Supply Chain Sol		1425597536		10/08/2020		102920		108.30
								CHECK	4210200	TOTAL:	108.30
4210201	10/29/2020	PRTD	1506 Village of Glen Carb		INV00314		09/24/2020		102920		826.30
								CHECK	4210201	TOTAL:	826.30
4210202	10/29/2020	PRTD	4006 Volkert Inc.		00109180		09/30/2020		102920		1,500.00
								CHECK	4210202	TOTAL:	1,500.00

10/20/2020 11:23  
tpohlman

Madison County Mass Transit District  
CHECK REGISTER

P 4  
apcshdsb

CASH ACCOUNT: 10000000 10101      Checking Account  
CHECK NO    CHK DATE    TYPE VENDOR NAME

VOUCHER    INVOICE

INV DATE

PO

WARRANT

NET

---

4210203	10/29/2020	PRTD	3986 Michael Joseph Walte	OCT20		10/02/2020		102920	3,000.00
						CHECK	4210203	TOTAL:	3,000.00
4210204	10/29/2020	PRTD	3923 Xerox Corporation	011492613		10/01/2020	12000127	102920	57.00
				011492614		10/01/2020	12000127	102920	141.00
				011492615		10/01/2020	12000127	102920	152.00
						CHECK	4210204	TOTAL:	350.00
				NUMBER OF CHECKS	23	*** CASH ACCOUNT TOTAL ***			2,106,288.05
						COUNT	AMOUNT		
						-----	-----		
TOTAL PRINTED CHECKS						23	2,106,288.05		
						*** GRAND TOTAL ***			2,106,288.05

10/21/2020 08:35  
tpohlman

Madison County Mass Transit District  
ELECTRONIC FUNDS TRANSFER REGISTER

P 1  
apcshdsb

CASH ACCOUNT: 10000000 10101      Checking Account

CHECK NO	CHK DATE	TYPE	VENDOR NAME	VOUCHER	INVOICE	INV DATE	PO	WARRANT	NET
9210018	10/29/2020	EFT	4013 Andrew Economy		SEPT20 5.75 10110002 50902	10/14/2020 Travel and Meetings		102920 OA AD	5.75
						CHECK	9210018	TOTAL:	5.75
9210019	10/29/2020	EFT	4002 Christopher C. Guy		SEPT20 8.62 10110002 50902	10/14/2020 Travel and Meetings		102920 OA AD	8.62
						CHECK	9210019	TOTAL:	8.62
9210020	10/29/2020	EFT	3982 Ronald L. Jedda		SEPT20 10.92 10110002 50902	10/14/2020 Travel and Meetings		102920 OA AD	10.92
						CHECK	9210020	TOTAL:	10.92
9210021	10/29/2020	EFT	3994 J. Kelly Schmidt		SEPT20 9.20 10110002 50902	10/14/2020 Travel and Meetings		102920 OA AD	9.20
						CHECK	9210021	TOTAL:	9.20
					NUMBER OF CHECKS	4		*** CASH ACCOUNT TOTAL ***	34.49
						COUNT		AMOUNT	
					TOTAL EFT'S	4		34.49	
								*** GRAND TOTAL ***	34.49

10/28/2020 09:05  
tpohlman

Madison County Mass Transit District  
CHECK REGISTER

P 1  
apcshdsb

CASH ACCOUNT: 10000000 10101      Checking Account

CHECK NO	CHK DATE	TYPE	VENDOR NAME	VOUCHER	INVOICE	INV DATE	PO	WARRANT	NET
4210205	10/29/2020	PRTD	1050 Ameren Illinois		SEPT20	10/14/2020		102920B	6,236.68
						CHECK	4210205	TOTAL:	6,236.68
4210206	10/29/2020	PRTD	2031 Best-One Fleet Servi		80103780	10/15/2020		102920B	66.00
						CHECK	4210206	TOTAL:	66.00
4210207	10/29/2020	PRTD	1092 The Edwardsville Int		092720	09/27/2020		102920B	415.63
						CHECK	4210207	TOTAL:	415.63
4210208	10/29/2020	PRTD	1507 Energy Petroleum Co		1835042	10/09/2020	12100030	102920B	10,040.63
						CHECK	4210208	TOTAL:	10,040.63
4210209	10/29/2020	PRTD	1113 FedEx		7-151-21108	10/15/2020		102920B	29.79
						CHECK	4210209	TOTAL:	29.79
4210210	10/29/2020	PRTD	1220 Illinois American Wa		0421ILRt3PR	10/22/2020		102920B	140.56
						CHECK	4210210	TOTAL:	140.56
4210211	10/29/2020	PRTD	1437 Keller Construction,		20200930	09/30/2020		102920B	2,674.13
					20200931	09/30/2020		102920B	1,335.99
						CHECK	4210211	TOTAL:	4,010.12
4210212	10/29/2020	PRTD	1173 Oates Associates		33136	10/16/2020		102920B	1,683.25
					33160	10/20/2020		102920B	2,587.50
					33161	10/20/2020		102920B	755.00
						CHECK	4210212	TOTAL:	5,025.75
4210213	10/29/2020	PRTD	1757 Piasa Motor Fuels LL		255395	10/13/2020	12100031	102920B	9,085.21
					255420	10/15/2020	12100032	102920B	9,428.49
					255464	10/20/2020	12100033	102920B	9,317.74

10/28/2020 09:05  
tpohlman

Madison County Mass Transit District  
CHECK REGISTER

P 2  
apcshdsb

CASH ACCOUNT: 10000000 10101      Checking Account

CHECK NO    CHK DATE    TYPE    VENDOR NAME      VOUCHER    INVOICE      INV DATE    PO      WARRANT      NET

---

								CHECK    4210213    TOTAL:	27,831.44
4210214	10/29/2020	PRTD	4019 R&W Builders Inc.		101620	10/16/2020	12000124	102920B	9,331.96
								CHECK    4210214    TOTAL:	9,331.96
4210215	10/29/2020	PRTD	1914 Tyler Technologies,		045-316876	09/30/2020	12000137	102920B	7,700.00
								CHECK    4210215    TOTAL:	7,700.00
4210216	10/29/2020	PRTD	3965 UPS Supply Chain Sol		1427195378	10/16/2020		102920B	44.40
								CHECK    4210216    TOTAL:	44.40
					NUMBER OF CHECKS	12		*** CASH ACCOUNT TOTAL ***	70,872.96
							COUNT	AMOUNT	
					TOTAL PRINTED CHECKS		12	70,872.96	
								*** GRAND TOTAL ***	70,872.96

**Madison County Transit District**  
**Management Report of Revenue and Expenses**  
**September, 2020**

	<u>Current</u> <u>Month</u>	<u>Current</u> <u>YTD</u>	<u>Prior</u> <u>YTD</u>	<u>Percentage</u> <u>Increase /</u> <u>(Decrease)</u> <u>Over Prior YTD</u>	<u>FY21</u> <u>Budget</u>	<u>Budget %</u> <u>Expended</u> <u>(25% of FY)</u>
<b>Revenue</b>						
<b>Operating Revenue</b>						
Sales Tax Revenue	\$921,580.46	\$2,586,929.27	\$2,665,925.24	-3%	\$8,370,000	31%
Interest Income	86,130.77	270,016.62	358,493.60	-25%	675,000	40%
IDOT Operating Assistance	0.00	0.00	0.00	0%	17,300,000	0%
Federal CARES Act Funding	0.00	0.00	0.00	100%	425,000	0%
Local Sales Tax Reform Fund	358,034.93	1,034,799.48	773,658.91	34%	2,340,000	44%
CMAQ Rideshare Marketing and Outreach	0.00	0.00	0.00	0%	400,000	0%
Commuter Initiative	0.00	0.00	0.00	0%	94,000	0%
Fares	0.00	0.00	0.00	100%	700,000	0%
Other Revenue	43,469.20	88,095.45	124,988.60	-30%	52,000	169%
Lease/Rental Income	0.00	0.00	0.00	100%	0	0%
<b>Total Operating Revenue</b>	<b>\$1,409,215.36</b>	<b>\$3,979,840.82</b>	<b>\$3,923,066.35</b>	<b>1%</b>	<b>\$30,356,000</b>	<b>13%</b>
<b>Capital Revenue</b>						
FTA Transit Admin Section 5307	\$110,406.00	\$110,406.00	\$164,207.00	0%	\$15,680,512	1%
FTA Transit Admin Section 5339	0.00	0.00	0.00	0%	16,100,000	0%
Congestion Mitigation Air Quality	0.00	0.00	0.00	0%	4,405,000	0%
Illinois Department of Transportation	0.00	0.00	0.00	0%	6,000,000	0%
Illinois Department of Natural Resources	0.00	0.00	0.00	0%	916,000	0%
Intergovernmental Agreements	0.00	0.00	0.00	100%	0	0%
Metro East Park and Recreation District	0.00	0.00	0.00	0%	1,760,000	0%
<b>Total Capital Revenue</b>	<b>\$110,406.00</b>	<b>\$110,406.00</b>	<b>\$164,207.00</b>	<b>0%</b>	<b>\$44,861,512</b>	<b>0%</b>
<b>Total Revenues</b>	<b>\$1,519,621.36</b>	<b>\$4,090,246.82</b>	<b>\$4,087,273.35</b>	<b>0%</b>	<b>\$75,217,512</b>	<b>5%</b>
<b>Expenses</b>						
<b>Operating Expenses</b>						
Fixed Route and Paratransit	\$2,023,224.60	\$5,765,794.78	\$6,339,360.95	-9%	\$26,883,000	21%
ACT Administrative Contract	41,666.66	125,000.00	125,000.00	0%	500,000	25%
Rideshare	81,984.63	237,776.29	114,000.19	109%	500,000	48%
Professional and Other Services	17,743.00	49,536.00	43,500.00	14%	276,000	18%
Trustee Expenses	1,110.99	3,333.55	3,467.51	-4%	30,000	11%
District Office Expenses	37,309.17	103,982.06	117,283.73	-11%	476,000	22%
Facilities Maintenance	65,879.13	175,310.90	187,296.49	-6%	828,000	21%
District Budget Contingency	0.00	0.00	0.00	0%	1,000,000	0%
<b>Total Operating Expenses</b>	<b>\$2,268,918.18</b>	<b>\$6,460,733.58</b>	<b>\$6,929,908.87</b>	<b>-7%</b>	<b>\$30,493,000</b>	<b>21%</b>
<b>Capital Expenses</b>						
Bikeways	\$64,631.80	\$166,176.13	\$659,560.48	-75%	\$14,190,000	1%
Bus Station/Stops and Park & Ride	8,182.05	8,182.05	23,154.65	0%	5,646,000	0%
Cooperative Police Bicycle Grant Program	0.00	0.00	0.00	0%	100,000	0%
Facility Improvements	3,200.00	13,670.00	77,077.00	-82%	13,742,000	0%
Maintenance Equipment	0.00	380,543.50	0.00	0%	486,900	78%
MIS Equipment	1,304.00	1,304.00	72,072.00	0%	1,500,000	0%
Transit Support Equipment	0.00	0.00	173,433.89	0%	495,000	0%
Vehicles - Buses	0.00	4,000.00	71,563.00	0%	30,512,500	0%
Vehicles - Rideshare Vans	0.00	0.00	0.00	0%	912,082	0%
Vehicles - Transit Support	0.00	0.00	162,443.00	0%	382,000	0%
Contingency	0.00	0.00	0.00	0%	2,000,000	0%
<b>Total Capital Expenses</b>	<b>\$77,317.85</b>	<b>\$573,875.68</b>	<b>\$1,239,304.02</b>	<b>-54%</b>	<b>\$69,966,482</b>	<b>1%</b>
<b>Total Expenses</b>	<b>\$2,346,236.03</b>	<b>\$7,034,609.26</b>	<b>\$8,169,212.89</b>	<b>-14%</b>	<b>\$100,459,482</b>	<b>7%</b>
<b>Excess Revenue Over (Under) Expenses</b>	<b>(\$826,614.67)</b>	<b>(\$2,944,362.44)</b>	<b>(\$4,081,939.54)</b>	<b>-28%</b>	<b>(\$25,241,970)</b>	<b>12%</b>



Madison County Mass Transit District  
Income Statement with Budget Variance for the  
Period Ended September 30, 2020

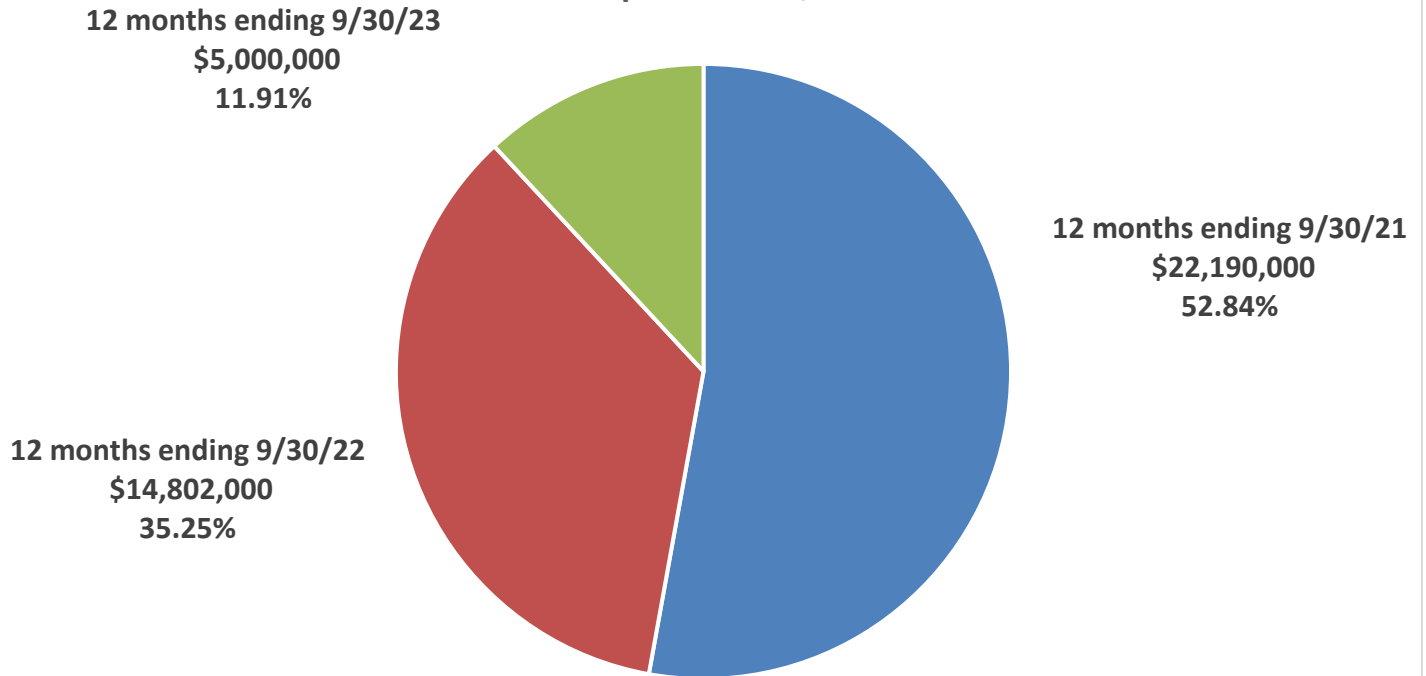
Description	Current Period				Year to Date			
	Actual	Budget	Deviation	Pct	Actual	Budget	Deviation	Pct ytd
<b>OPERATING REVENUE</b>								
Sales Tax Revenue	921,580.46	697,500.00	224,080.46	132.13	2,586,929.27	2,092,500.00	494,429.27	123.63
Interest Income	86,130.77	56,250.00	29,880.77	153.12	270,016.62	168,750.00	101,266.62	160.01
IDOT Operating Assistance	0.00	1,441,666.66	-1,441,666.66	0.00	0.00	4,325,000.00	-4,325,000.00	0.00
Federal CARES Act Funding	0.00	35,416.66	-35,416.66	0.00	0.00	106,250.00	-106,250.00	0.00
Local Sales Tax Reform Fund	358,034.93	195,000.00	163,034.93	183.61	1,034,799.48	585,000.00	449,799.48	176.89
CMAQ Rideshare Marketing & Outreach	0.00	33,333.34	-33,333.34	0.00	0.00	100,000.00	-100,000.00	0.00
Commuter Initiative	0.00	7,833.34	-7,833.34	0.00	0.00	23,500.00	-23,500.00	0.00
Fares	0.00	58,333.34	-58,333.34	0.00	0.00	175,000.00	-175,000.00	0.00
Other Revenue	43,469.20	4,333.34	39,135.86	1,003.13	88,095.45	13,000.00	75,095.45	677.66
<b>TOTAL OPERATING REVENUE</b>	<b>1,409,215.36</b>	<b>2,529,666.68</b>	<b>-1,120,451.32</b>	<b>55.71</b>	<b>3,979,840.82</b>	<b>7,589,000.00</b>	<b>-3,609,159.18</b>	<b>52.44</b>
<b>CAPITAL REVENUE</b>								
Fed Transit Admin Section 5307	110,406.00	1,306,709.34	-1,196,303.34	8.45	110,406.00	3,920,128.00	-3,809,722.00	2.82
Fed Transit Admin Section 5339	0.00	1,341,666.66	-1,341,666.66	0.00	0.00	4,025,000.00	-4,025,000.00	0.00
Congestion Mitigation Air Quality	0.00	367,083.34	-367,083.34	0.00	0.00	1,101,250.00	-1,101,250.00	0.00
Illinois Dept of Transportation	0.00	500,000.00	-500,000.00	0.00	0.00	1,500,000.00	-1,500,000.00	0.00
Illinois Dept of Natural Resources	0.00	76,333.34	-76,333.34	0.00	0.00	229,000.00	-229,000.00	0.00
Metro East Park and Recreation District	0.00	146,666.66	-146,666.66	0.00	0.00	440,000.00	-440,000.00	0.00
<b>TOTAL CAPITAL REVENUE</b>	<b>110,406.00</b>	<b>3,738,459.34</b>	<b>-3,628,053.34</b>	<b>2.95</b>	<b>110,406.00</b>	<b>11,215,378.00</b>	<b>-11,104,972.00</b>	<b>0.98</b>
<b>TOTAL REVENUES</b>	<b>1,519,621.36</b>	<b>6,268,126.02</b>	<b>-4,748,504.66</b>	<b>24.24</b>	<b>4,090,246.82</b>	<b>18,804,378.00</b>	<b>-14,714,131.18</b>	<b>21.75</b>
<b>OPERATING EXPENSES</b>								
Fixed Route and Paratransit	2,023,224.60	2,240,250.00	-217,025.40	90.31	5,765,794.78	6,720,750.00	-954,955.22	85.79
ACT Administrative Contract	41,666.66	41,666.66	0.00	100.00	125,000.00	125,000.00	0.00	100.00
Rideshare	81,984.63	41,666.66	40,317.97	196.76	237,776.29	125,000.00	112,776.29	190.22
Professional and Other Services	17,743.00	23,000.00	-5,257.00	77.14	49,536.00	69,000.00	-19,464.00	71.79
Trustee Expenses	1,110.99	2,500.00	-1,389.01	44.44	3,333.55	7,500.00	-4,166.45	44.45
District Office Expenses	37,309.17	39,666.66	-2,357.49	94.06	103,982.06	119,000.00	-15,017.94	87.38
Facilities Maintenance	65,879.13	69,000.00	-3,120.87	95.48	175,310.90	207,000.00	-31,689.10	84.69
District Budget Contingency	0.00	83,333.34	-83,333.34	0.00	0.00	250,000.00	-250,000.00	0.00
<b>TOTAL OPERATING EXPENSES</b>	<b>2,268,918.18</b>	<b>2,541,083.32</b>	<b>-272,165.14</b>	<b>89.29</b>	<b>6,460,733.58</b>	<b>7,623,250.00</b>	<b>-1,162,516.42</b>	<b>84.75</b>
<b>CAPITAL EXPENSES</b>								
Bikeways	64,631.80	1,182,500.00	-1,117,868.20	5.47	166,176.13	3,547,500.00	-3,381,323.87	4.68
Bus Station/Stops and Park & Ride	8,182.05	470,500.00	-462,317.95	1.74	8,182.05	1,411,500.00	-1,403,317.95	0.58
Cooperative Police Bicycle Grant Program	0.00	8,333.34	-8,333.34	0.00	0.00	25,000.00	-25,000.00	0.00
Facility Improvements	3,200.00	1,145,166.66	-1,141,966.66	0.28	13,670.00	3,435,500.00	-3,421,830.00	0.40
Maintenance Equipment	0.00	40,575.00	-40,575.00	0.00	380,543.50	121,725.00	258,818.50	312.63
MIS Equipment	1,304.00	125,000.00	-123,696.00	1.04	1,304.00	375,000.00	-373,696.00	0.35
Transit Support Equipment	0.00	41,250.00	-41,250.00	0.00	0.00	123,750.00	-123,750.00	0.00
Vehicles - Buses	0.00	2,542,708.34	-2,542,708.34	0.00	4,000.00	7,628,125.00	-7,624,125.00	0.05
Vehicles - Rideshare Vans	0.00	76,006.84	-76,006.84	0.00	0.00	228,020.50	-228,020.50	0.00
Vehicles - Transit Support	0.00	31,833.34	-31,833.34	0.00	0.00	95,500.00	-95,500.00	0.00
Contingency	0.00	166,666.66	-166,666.66	0.00	0.00	500,000.00	-500,000.00	0.00
<b>TOTAL CAPITAL EXPENSES</b>	<b>77,317.85</b>	<b>5,830,540.18</b>	<b>-5,753,222.33</b>	<b>1.33</b>	<b>573,875.68</b>	<b>17,491,620.50</b>	<b>-16,917,744.82</b>	<b>3.28</b>
<b>TOTAL EXPENSES</b>	<b>2,346,236.03</b>	<b>8,371,623.50</b>	<b>-6,025,387.47</b>	<b>28.03</b>	<b>7,034,609.26</b>	<b>25,114,870.50</b>	<b>-18,080,261.24</b>	<b>28.01</b>
<b>EXCESS REVENUE OVER EXPENSE</b>	<b>-826,614.67</b>	<b>-2,103,497.48</b>	<b>1,276,882.81</b>	<b>39.30</b>	<b>-2,944,362.44</b>	<b>-6,310,492.50</b>	<b>3,366,130.06</b>	<b>46.66</b>

ASSETS	
Checking Account	361,811.01
Prime Account	92,772.80
Illinois Funds Investment Pool	3,889,391.55
Investments	41,992,000.00
Inventory	949,670.18
Capital Grants Receivables	866,884.03
Other Receivables	75,852.63
Sales Tax Receivable	2,625,936.37
Interest Receivable	76,963.26
Prepaid Expenses	580,463.35
TOTAL ASSETS	51,511,745.18
LIABILITIES	
Accounts Payable	3,370,305.92
Retainage Payable	18,976.44
TOTAL LIABILITIES	3,389,282.36
FUND BALANCE	
Nonspendable Fund Balance	1,819,497.71
Assigned Fund Balance	25,104,970.00
Beginning Unassigned Fund Balance	24,142,357.55
Excess Revenue Over Expenses	-2,944,362.44
Total Unassigned Fund Balance	21,197,995.11
TOTAL FUND BALANCE	48,122,462.82
TOTAL LIABILITIES AND FUND BALANCE	51,511,745.18

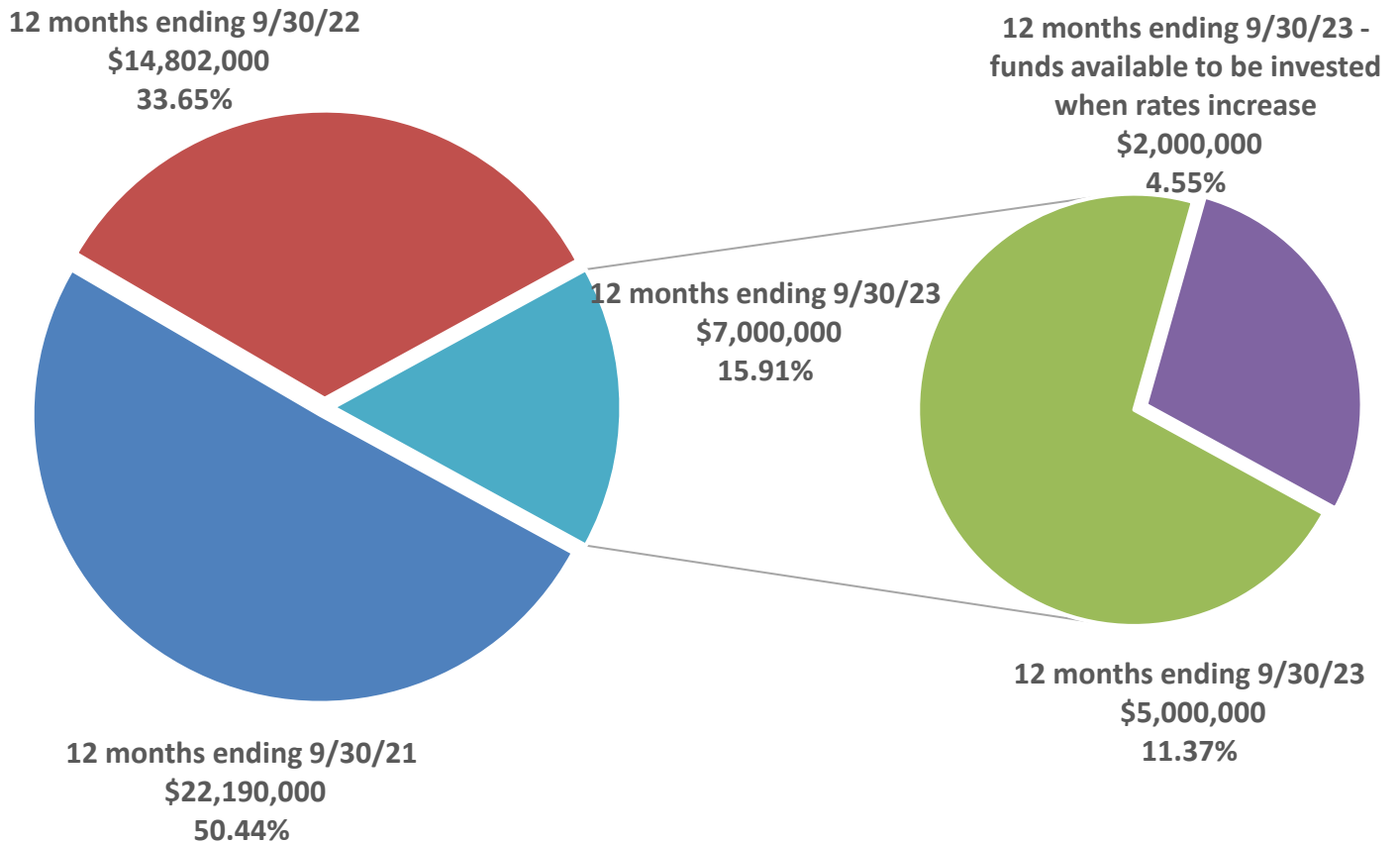
MCT DETAILED SCHEDULE OF INVESTMENTS  
AT SEPTEMBER 30, 2020

INSTITUTION	PURCHASE DATE	CD OR ACCOUNT NUMBER	MATURITY DATE	INTEREST RATES	CERTIFICATE AMOUNT	WEIGHTED AVERAGE INTEREST
<b>CERTIFICATES OF DEPOSIT (CD)</b>						
Associated Bank	10-12-18	****1019	10-12-20	2.82%	1,000,000.00	
Associated Bank	10-12-18	****1191	01-12-21	2.88%	900,000.00	
Associated Bank	07-02-18	****3546	07-02-21	2.85%	675,000.00	
Associated Bank	07-30-18	****9475	07-30-21	2.90%	1,000,000.00	
Bank of Hillsboro	02-06-19	****4422	05-06-21	3.00%	200,000.00	
Bank of Hillsboro	07-03-18	****4215	07-03-21	2.65%	600,000.00	
Bank of Hillsboro	11-01-18	****7636	08-01-21	3.05%	1,000,000.00	
Bank of Hillsboro	11-01-18	****2880	11-01-21	3.10%	942,000.00	
Bank of Hillsboro	02-06-19	****6839	02-06-22	3.10%	1,250,000.00	
Bank of Hillsboro	05-01-19	****0000	04-01-22	2.95%	1,000,000.00	
Bank of Hillsboro	05-01-19	****1102	05-01-22	3.00%	500,000.00	
Bank of Hillsboro	11-08-19	****8499	11-08-22	2.25%	2,000,000.00	
Bank of Hillsboro	03-06-20	****2369	12-06-22	1.95%	1,000,000.00	
Bank of Hillsboro	03-06-20	****7371	02-06-23	1.95%	1,000,000.00	
Bradford National Bank of Greenville	04-27-18	****7490	04-27-21	2.40%	350,000.00	
Bradford National Bank of Greenville	06-06-18	****7562	06-06-21	2.40%	500,000.00	
Busey Bank	02-06-19	****9119	03-06-21	2.82%	520,000.00	
Busey Bank	02-06-19	****9120	04-06-21	2.82%	300,000.00	
Carrollton Bank	09-06-18	****7306	09-06-21	2.85%	1,000,000.00	
Carrollton Bank	06-10-19	****7390	11-10-21	2.76%	500,000.00	
CNB Bank & Trust, N.A.	05-10-18	****1526	05-10-21	2.40%	400,000.00	
Commerce Bank	06-11-18	****1562	06-11-21	2.65%	1,350,000.00	
FCB Banks	10-31-18	****6532	03-01-21	2.97%	480,000.00	
FCB Banks	10-31-18	****6533	04-01-21	2.97%	240,000.00	
FCB Banks	05-02-18	****6525	05-02-21	2.30%	400,000.00	
FCB Banks	10-12-18	****6530	10-12-21	3.00%	1,000,000.00	
FCB Banks	02-06-19	****6534	12-06-21	2.89%	1,000,000.00	
FCB Banks	02-06-19	****6535	01-06-22	3.04%	2,000,000.00	
FCB Banks	04-05-19	****6536	03-05-22	3.02%	1,000,000.00	
FCB Banks	06-10-19	****6538	05-10-22	2.87%	500,000.00	
FCB Banks	06-10-19	****6539	06-10-22	2.87%	1,000,000.00	
FCB Banks	07-11-19	****6720	07-11-22	2.66%	1,000,000.00	
First Mid Bank & Trust	07-02-18	****6660	06-28-21	2.85%	675,000.00	
Simmons Bank	08-13-20	****7949	11-13-20	0.40%	1,000,000.00	
Simmons Bank	11-08-19	****0840	09-08-21	1.88%	1,000,000.00	
Simmons Bank	11-15-19	****1921	08-15-22	1.88%	1,000,000.00	
Simmons Bank	11-15-19	****1939	09-15-22	1.88%	1,000,000.00	
State Bank of St. Jacob	07-27-20	****2351	07-27-22	1.00%	630,000.00	
State Bank of St. Jacob	08-25-20	****2370	08-25-22	0.85%	480,000.00	
Town and Country Bank	04-16-18	****4482	04-16-21	2.05%	100,000.00	
United Community Bank	09-02-20	****0970	09-02-23	0.95%	1,000,000.00	
<b>PENDING CERTIFICATES OF DEPOSIT (CD) ACTIVITY</b>						
Associated Bank	10-12-18	****1019	10-12-20	2.82%	(1,000,000.00)	
TOTAL CD'S					<u>32,492,000.00</u>	2.48%
<b>CERTIFICATES OF DEPOSIT ACCOUNT REGISTRY SERVICE (CDARS)</b>						
Bank of Belleville	08-13-20	****8822	02-11-21	0.60%	1,000,000.00	
Bank of Belleville	08-13-20	****8814	08-12-21	0.80%	1,000,000.00	
Edwardsville Bank	08-13-20	****8741	11-12-20	0.40%	1,000,000.00	
Edwardsville Bank	09-03-20	****5201	09-02-21	0.70%	1,000,000.00	
Town and Country Bank	11-23-18	****0333	11-19-20	2.89%	1,000,000.00	
Town and Country Bank	11-23-18	****0392	12-17-20	2.89%	1,000,000.00	
Town and Country Bank	11-15-18	****0414	01-07-21	2.92%	1,100,000.00	
Town and Country Bank	11-15-18	****0716	02-11-21	2.92%	1,000,000.00	
Town and Country Bank	06-21-18	****4803	06-17-21	2.35%	400,000.00	
<b>PENDING CERTIFICATES OF DEPOSIT ACCOUNT REGISTRY SERVICE (CDARS) ACTIVITY</b>						
Edwardsville Bank	10-08-20			0.75%	1,000,000.00	
TOTAL CDARS					<u>9,500,000.00</u>	1.69%
<b>TOTAL INVESTMENTS</b>					<u>41,992,000.00</u>	
<b>CASH ACCOUNTS</b>						
MCT checking account				0.10%	361,811.01	
MCT prime account				0.10%	92,772.80	
Illinois Funds investment pool				0.113%	3,889,391.55	
TOTAL CASH					<u>4,343,975.36</u>	0.11%
<b>TOTAL CASH AND INVESTMENTS</b>					<u>46,335,975.36</u>	2.10%

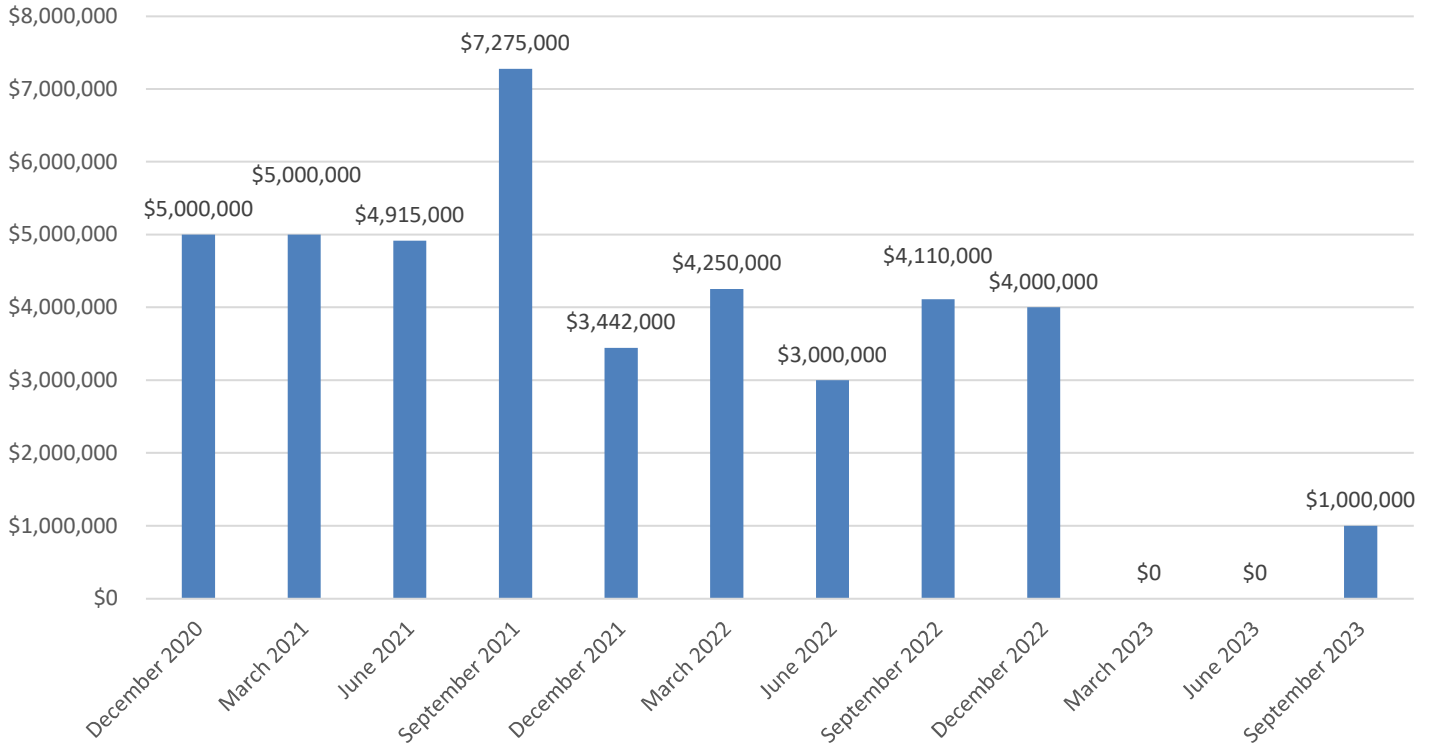
**MCT Investment Maturities by Year  
As of September 30, 2020**



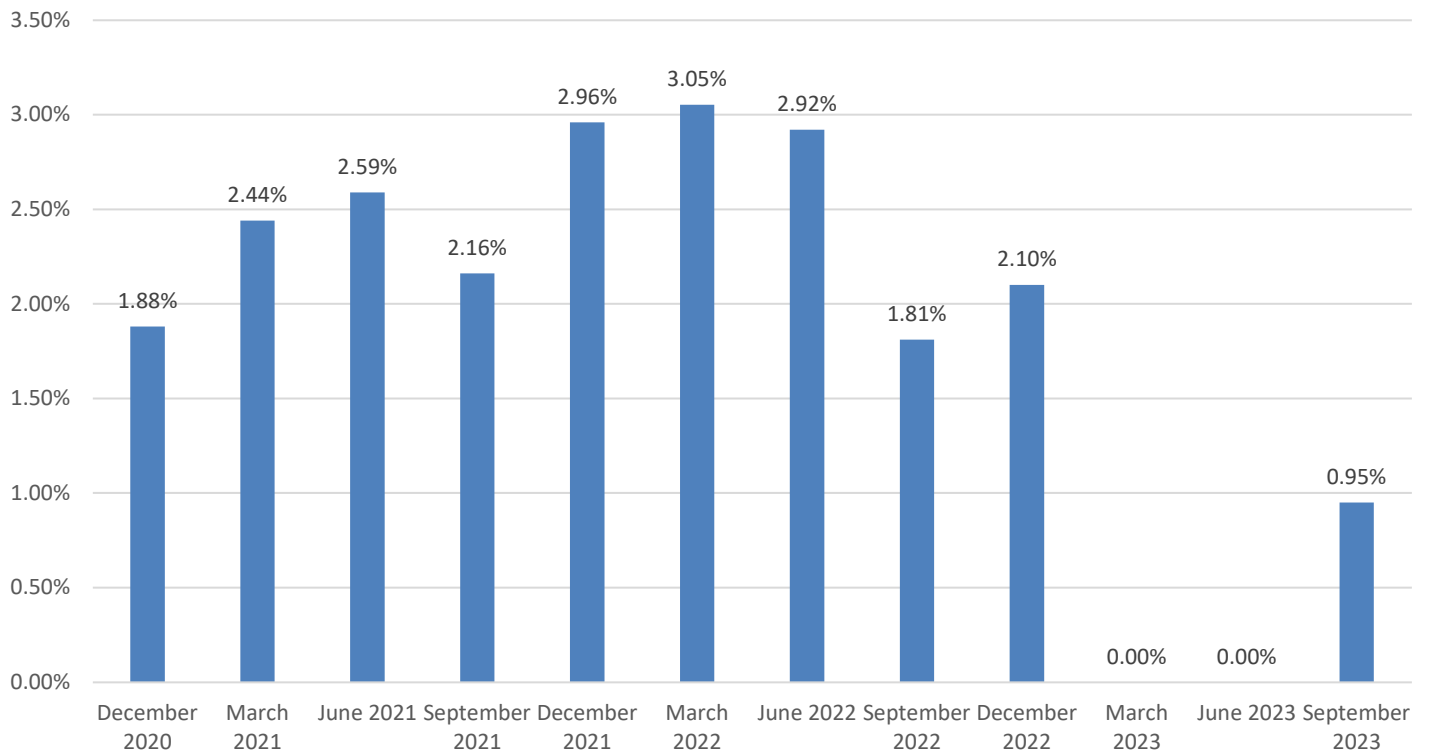
**MCT Investment Maturities by Year  
As of September 30, 2020  
Including Funds Available for Investment**



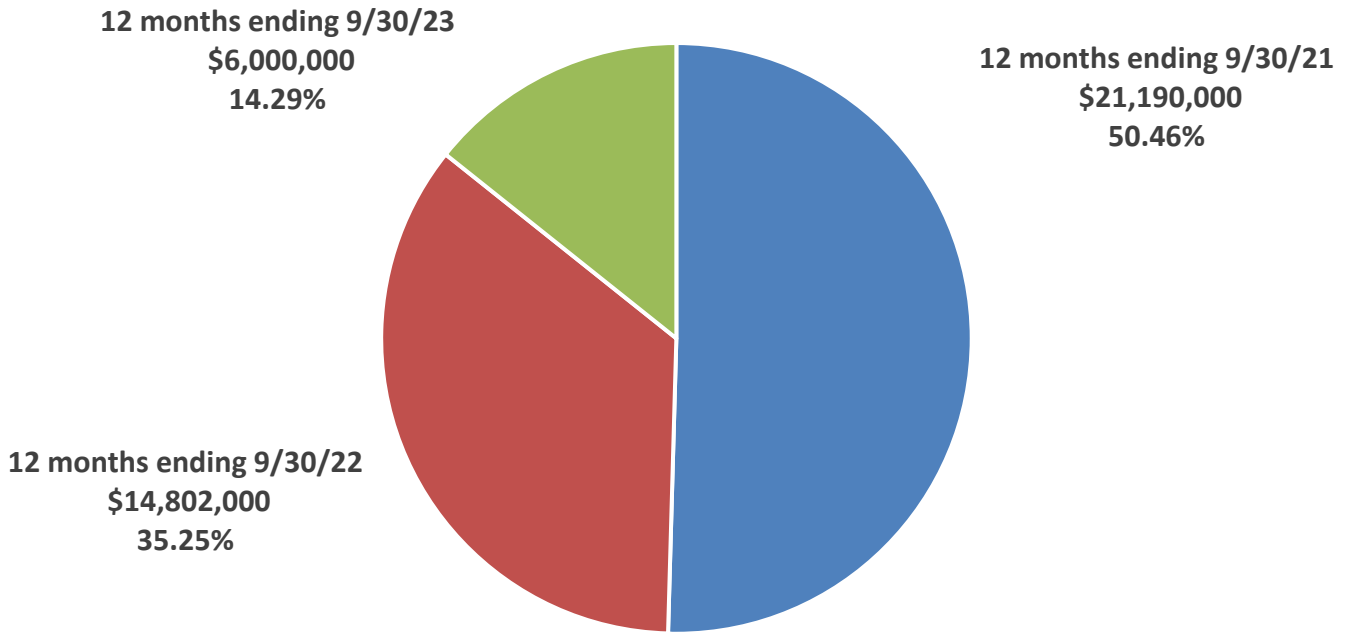
### MCT Investment Maturities by Quarter As of September 30, 2020



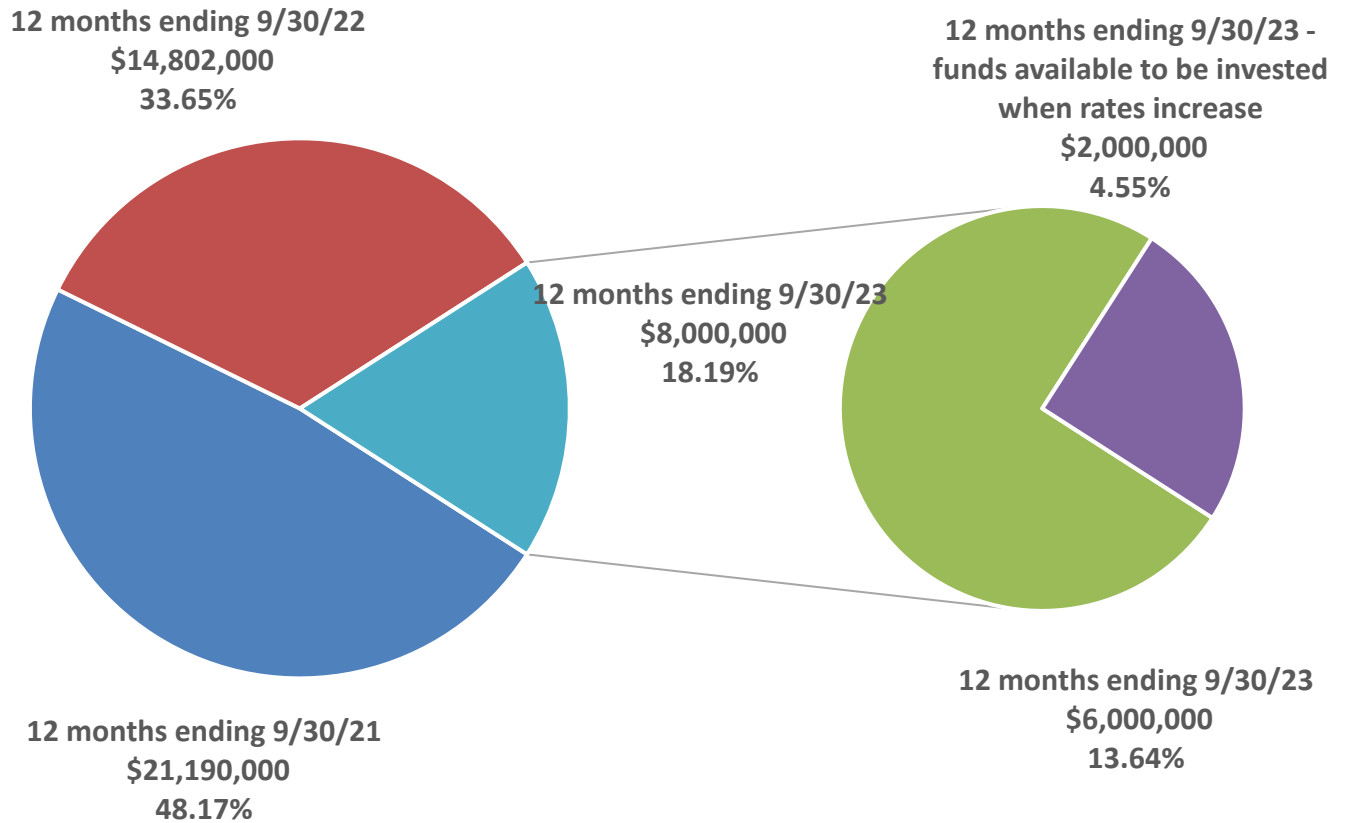
### MCT Investment Weighted Average Rate of Return by Quarter As of September 30, 2020



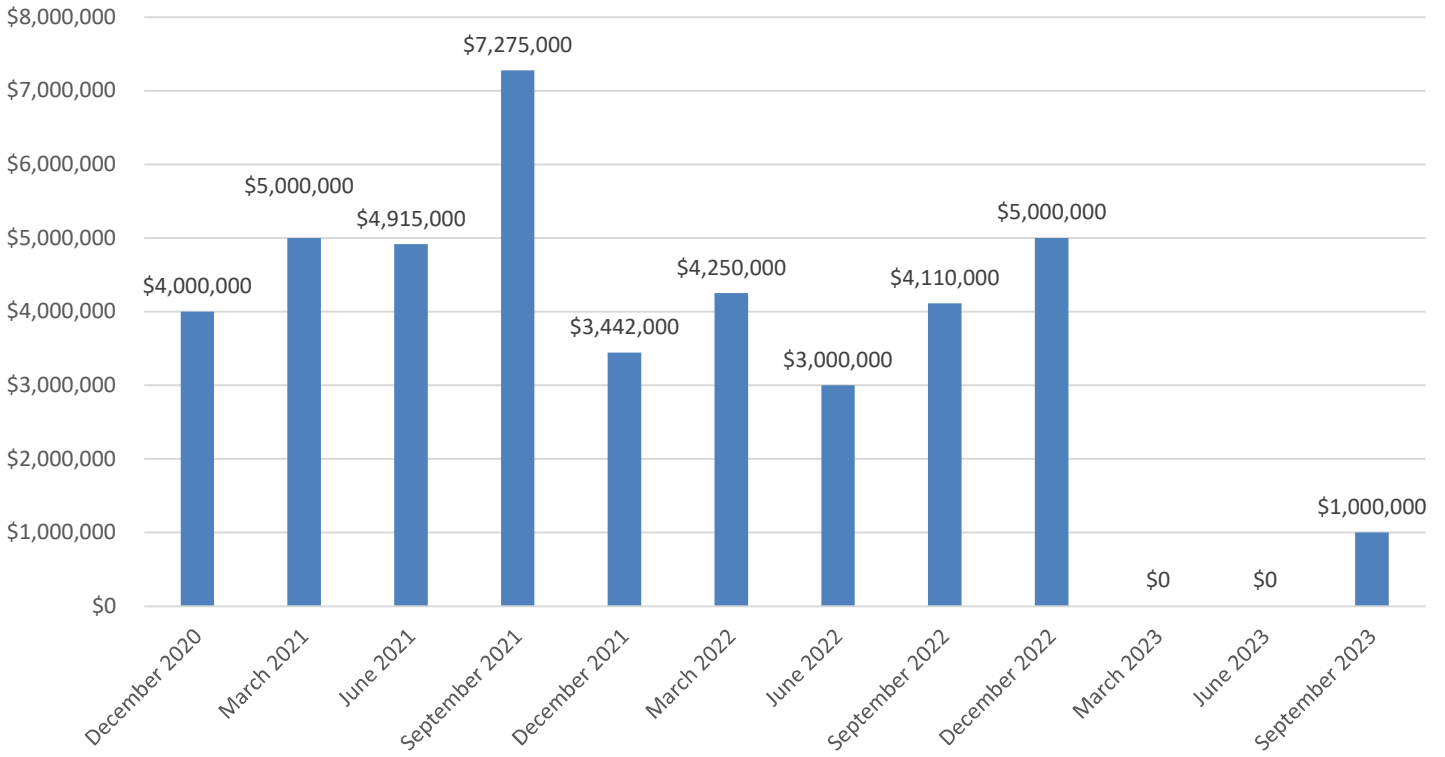
**MCT Investment Maturities by Year  
Proposed As of October 31, 2020**



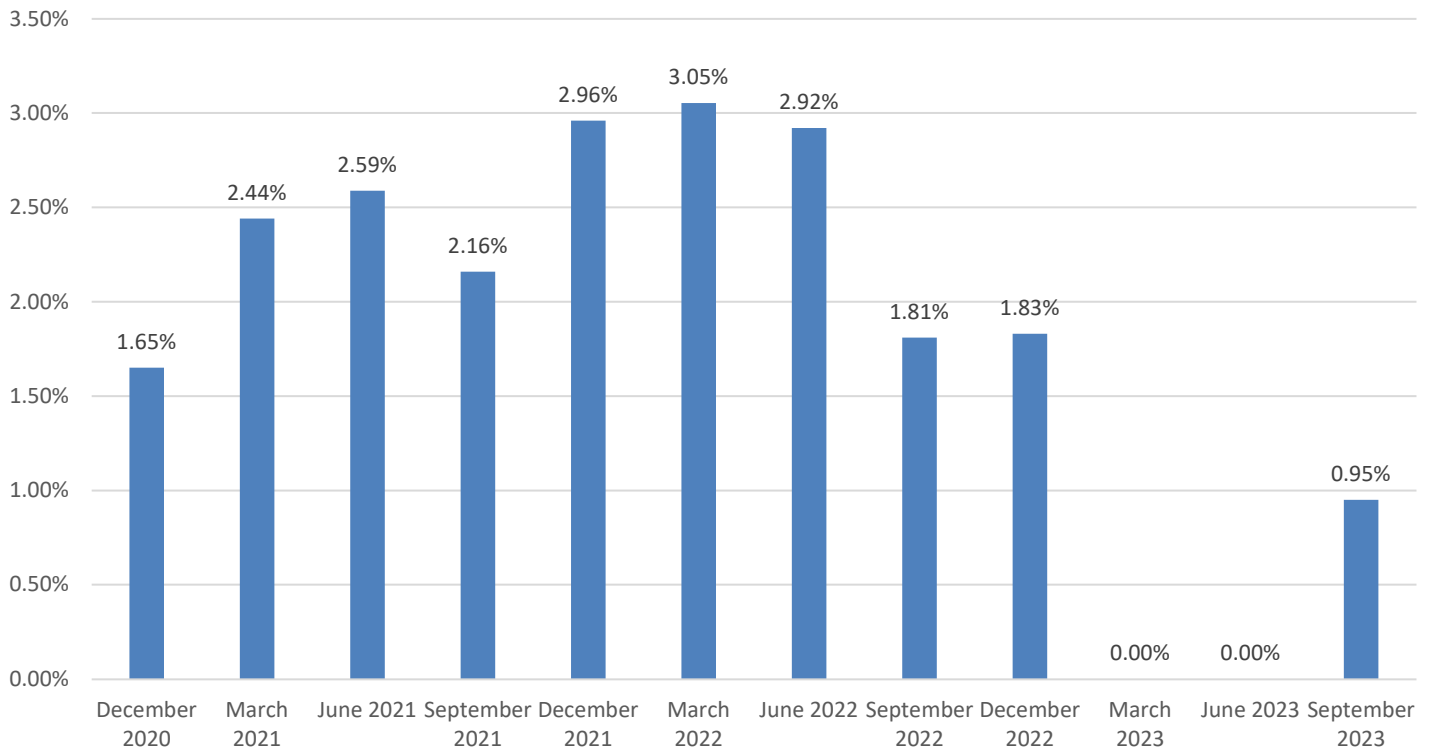
**MCT Investment Maturities by Year  
Proposed as of October 31, 2020  
Including Funds Available for Investment**



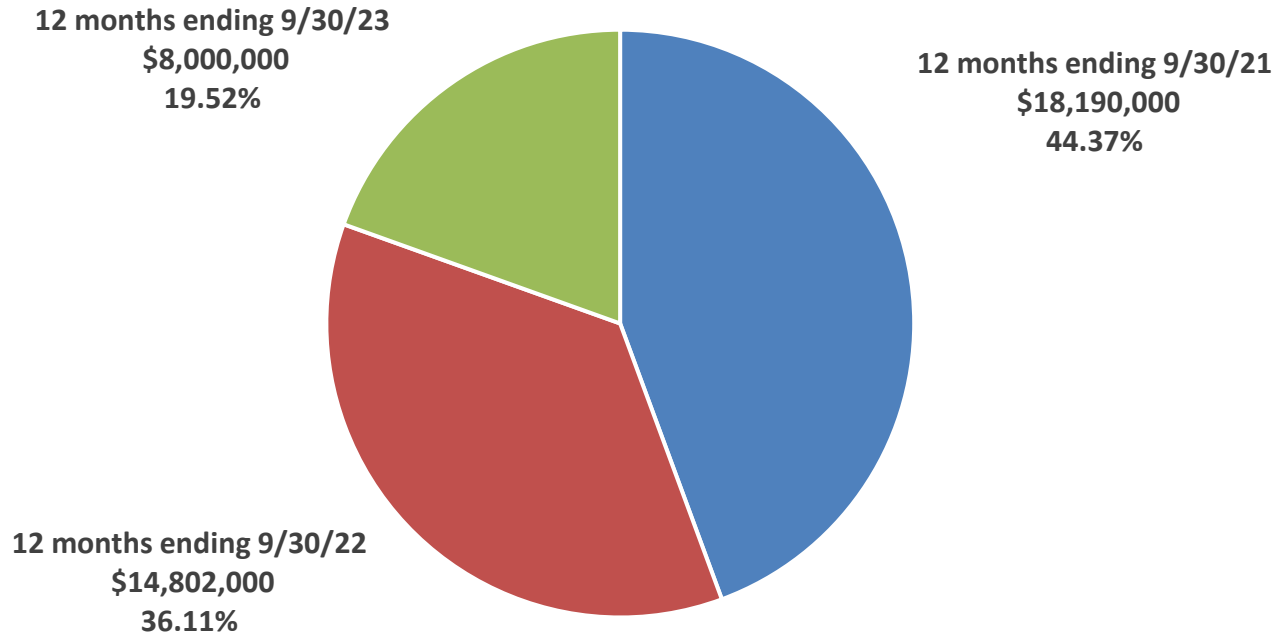
### MCT Investment Maturities by Quarter Proposed as of October 31, 2020



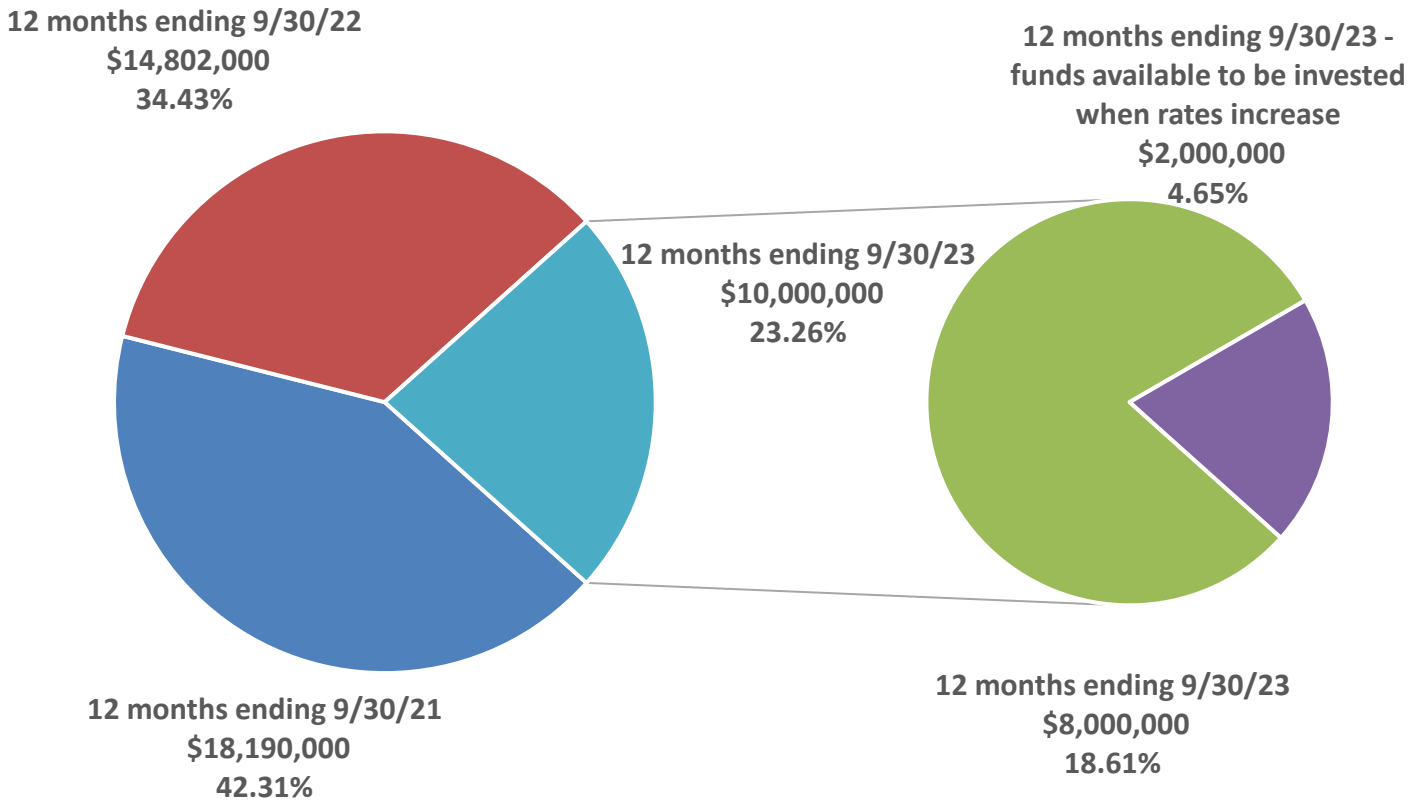
### MCT Investment Weighted Average Rate of Return by Quarter Proposed As of October 31, 2020



**MCT Investment Maturities by Year  
Proposed As of November 30, 2020**

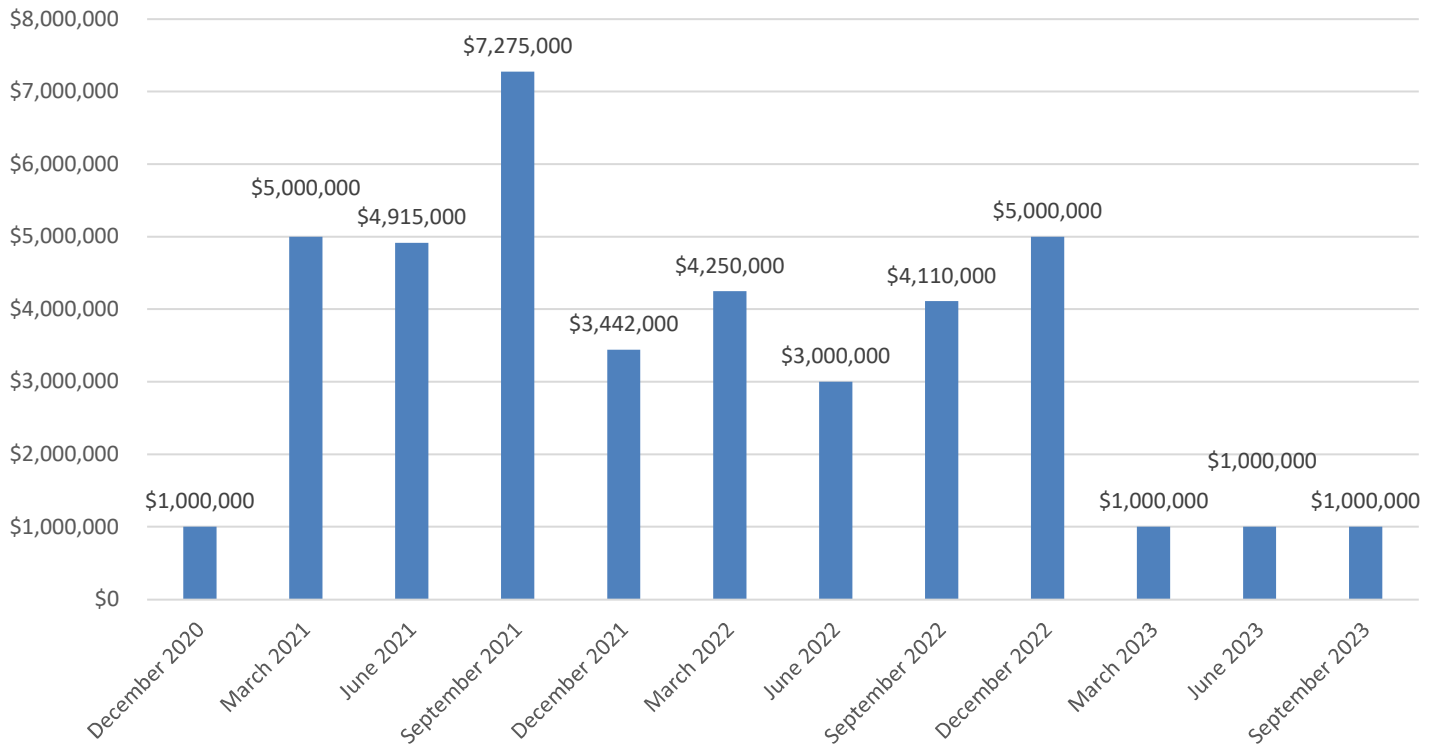


**MCT Investment Maturities by Year  
Proposed as of November 30, 2020  
Including Funds Available for Investment**

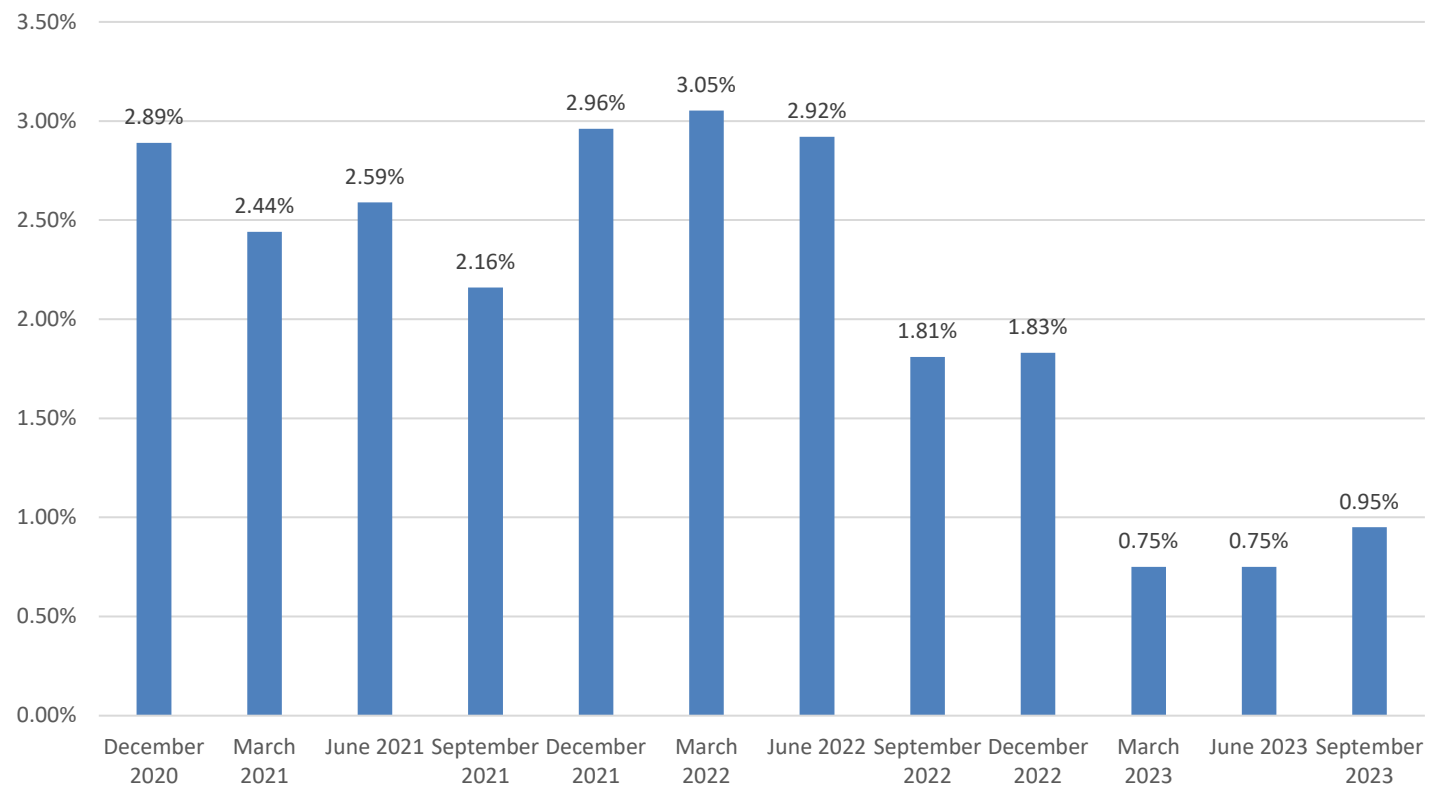




### MCT Investment Maturities by Quarter Proposed as of November 30, 2020



### MCT Investment Weighted Average Rate of Return by Quarter Proposed As of November 30, 2020



## MCT Collateral Pledges as of September 30, 2020

INSTITUTION	MCT Deposit			09/30/20 Fair Market Value		
	Balance At 09/30/20	110% Of Deposits	Less FDIC Insurance	Collateral Needed	Of Collateral Pledged	Excess Collateral
Associated Bank	\$3,575,000	\$3,932,500	(\$250,000)	\$3,682,500	\$6,639,760	\$2,957,260
Bank of Hillsboro	\$9,492,000	\$10,441,200	(\$250,000)	\$10,191,200	\$10,671,402	\$480,202
Bradford National Bank of Greenville	\$850,000	\$935,000	(\$250,000)	\$685,000	\$1,050,085	\$365,085
Busey Bank	\$1,274,584	\$1,402,042	(\$250,000)	\$1,152,042	\$9,018,301	\$7,866,259
Carrollton Bank	\$1,500,000	\$1,650,000	(\$250,000)	\$1,400,000	\$4,058,579	\$2,658,579
CNB Bank & Trust, N.A.	\$400,000	\$440,000	(\$250,000)	\$190,000	\$291,312	\$101,312
Commerce Bank	\$1,350,000	\$1,485,000	(\$250,000)	\$1,235,000	\$1,363,829	\$128,829
FCB Banks	\$8,620,000	\$9,482,000	(\$250,000)	\$9,232,000	\$9,295,700	\$63,700
First Mid Bank & Trust	\$675,000	\$742,500	(\$250,000)	\$492,500	\$2,262,166	\$1,769,666
Simmons Bank (formerly Reliance Bank)	\$4,000,000	\$4,400,000	(\$250,000)	\$4,150,000	\$6,518,057	\$2,368,057
State Bank of St. Jacob	\$1,110,000	\$1,221,000	(\$250,000)	\$971,000	\$1,041,395	\$70,395
Town and Country Bank	\$100,000	\$110,000	(\$250,000)	\$0	\$0	\$0
United Community Bank	\$1,000,000	\$1,100,000	(\$250,000)	\$850,000	\$1,057,982	\$207,982
Subtotal	\$33,946,584					
CDARS investments	\$8,500,000					
Illinois Funds Investment Pool	\$3,889,392					
Total Cash and Investments at 9/30/20	\$46,335,976					
	\$0					

**MADISON COUNTY MASS TRANSIT DISTRICT**

CAPITAL BUDGET

FY2021

		Actual Amounts as of <u>9/30/2020</u>	Remaining Budget as of <u>9/30/2020</u>
<b><u>ESTIMATED RECEIPTS</u></b>			
Federal Transit Administration Section 5307	\$15,680,512	\$110,406	\$15,570,106
Federal Transit Administration Section 5339	16,100,000	0	16,100,000
Congestion Mitigation Air Quality (CMAQ)	4,405,000	0	4,405,000
Illinois Department of Transportation (IDOT)	6,000,000	0	6,000,000
Illinois Department of Natural Resources (IDNR)	916,000	0	916,000
Metro East Park and Recreation District (MEPRD)	1,760,000	0	1,760,000
Intergovernmental Agreements	0	0	0
	<u>\$44,861,512</u>	<u>\$110,406</u>	<u>\$44,751,106</u>
<b><u>PROJECTED EXPENDITURES</u></b>			
Bikeways	\$14,190,000	\$166,176	\$14,023,824
Bus Station/Stops and Park & Ride Improvements	5,646,000	8,182	5,637,818
Cooperative Police Bicycle Grant Program	100,000	0	100,000
Facility Improvements	13,742,000	13,670	13,728,330
Maintenance Equipment	486,900	380,543	106,357
MIS Equipment	1,500,000	1,304	1,498,696
Transit Support Equipment	495,000	0	495,000
Vehicles - Buses	30,512,500	4,000	30,508,500
Vehicles - Rideshare Vans	912,082	0	912,082
Vehicles - Transit Support	382,000	0	382,000
Contingency	2,000,000	0	2,000,000
	<u>\$69,966,482</u>	<u>\$573,875</u>	<u>\$69,392,607</u>
<b>ESTIMATED RECEIPTS (UNDER) PROJECTED EXPENDITURES = ASSIGNED FUND BALANCE</b>	<u><b>(\$25,104,970)</b></u>	<u><b>(\$463,469)</b></u>	<u><b>(\$24,641,501)</b></u>

# MANAGING DIRECTOR'S REPORT

**Freedom of Information Act (FOIA) Report**

**September 2020**

The following FOIA request was received:

**9/4/2020**                    **Michelle Herron, Bierdorf & Associates, PA**

Eastgate Park & Ride

- Right-of-Way acquisition date
- LET date
- Preferred alternative
- ROW plans or any map showing before and after ROW lines for the project

Please be advised, I am not interested in the above requested documents if ROW acquisitions are complete or if ROW is not required.



# Proposal for RideFinders Vanpool Fare Adjustment

**To:** MCT Board of Trustees  
**From:** Amanda Schomaker, RideFinders  
**Date:** October 29, 2020

**SUMMARY:**

RideFinders is the federally mandated, St. Louis regional commuter rideshare program serving 9 counties. Operated by Madison County Transit (MCT) since 1994, RideFinders facilitates ridesharing by connecting riders and providing match lists for carpooling and vanpooling. Due to the fluctuation of variables such as fuel and labor costs, RideFinders periodically adjusts its fare structure. The last fare change occurred in 2013 when fuel prices were double the current rate. Staff proposes to simplify and adjust the fare structure, as described below.

Reasons for the adjustment to the fare structure include:

- Proposed fares will reflect current operational costs.
- Proposed fare chart will be simplified, promoting ease of use and better marketing opportunities to new employers and commuters looking to participate.
- Current structure allows for frequent variability in billing according to the number of riders in vanpool. Shift times and job changes affect vanpooler’s rate as the current fare is spread out across the number of riders. This system adds additional time for RideFinders and MCT Staff in billing, communications, and program administration.
- The proposed simplified structure will also position RideFinders to transition payments to Token Transit, the MCT mobile ticketing app. Taking advantage of mobile payment options will improve efficiencies for MCT and the vanpoolers.

Chart #1 – New Fare Applied to Current Vanpool Ridership

<b>PROPOSED NEW VANPOOL FARE CHART COMPARISON</b>					
<i>Based upon February 2020 invoices for March services (pre-COVID-19)</i>					
New Daily Commute Brackets (miles)	<b>PROPOSED</b> Fare per Full Time Rider	Current # of Billed Vanpoolers	<b>PROPOSED</b> Monthly Fares Collected Totals	Current Monthly Fares Collected Totals	Monthly Increase / (Decrease) New Fare Applied
1-39	<b>\$ 142</b>	22	\$ 3,124	\$ 3,292	\$ (168)
40 - 59	<b>\$ 158</b>	80	\$ 12,166	\$ 14,025	\$(1,859)
60 - 79	<b>\$ 174</b>	78	\$ 13,398	\$ 12,715	\$ 683
80 - 99	<b>\$ 190</b>	53	\$ 9,500	\$ 7,316	\$ 2,184
100 - 119	<b>\$ 206</b>	9	\$ 1,854	\$ 1,941	\$ (87)
120 - 139	<b>\$ 222</b>	20	\$ 4,440	\$ 3,572	\$ 868
140 - 159	<b>\$ 238</b>	0	\$ -	\$ -	\$ -
160 - 179	<b>\$ 254</b>	10	\$ 2,540	\$ 1,500	\$ 1,040
		272	\$47,022	\$44,361	\$ 2,661

Chart #2 – Current Vanpool Fare Structure

## RideFinders Vanpool Rider Fare Chart

Monthly Fares per Rider – Effective July 1, 2019

Average Daily Round Trip Commute Mileage	Number of Riders by Van - Excluding the Primary Driver										
	4	5	6	7	8	9	10	11	12	13	14
1-20	\$187	\$147	\$120	\$100	\$86	\$75	\$66	\$58	\$52	\$47	\$43
21-30	\$201	\$158	\$129	\$109	\$93	\$81	\$71	\$64	\$57	\$52	\$47
31-40	\$215	\$169	\$139	\$117	\$100	\$87	\$77	\$69	\$62	\$56	\$51
41-50	\$230	\$181	\$148	\$125	\$107	\$94	\$83	\$74	\$67	\$60	\$55
51-60	\$244	\$192	\$158	\$133	\$114	\$100	\$89	\$79	\$71	\$65	\$59
61-70	\$258	\$203	\$167	\$141	\$122	\$106	\$94	\$84	\$76	\$69	\$63
71-80	\$272	\$215	\$177	\$149	\$129	\$113	\$100	\$89	\$81	\$73	\$67
81-90	\$287	\$226	\$186	\$157	\$136	\$119	\$106	\$95	\$86	\$78	\$71
91-100	\$301	\$238	\$196	\$165	\$143	\$125	\$111	\$100	\$90	\$82	\$75
101-110	\$315	\$249	\$205	\$174	\$150	\$132	\$117	\$105	\$95	\$87	\$79
111-120	\$329	\$260	\$215	\$182	\$157	\$138	\$123	\$110	\$100	\$91	\$83
121-130	\$344	\$272	\$224	\$190	\$164	\$144	\$128	\$115	\$105	\$95	\$87
131-140	\$358	\$283	\$234	\$198	\$171	\$151	\$134	\$121	\$109	\$100	\$92
141-150	\$372	\$295	\$243	\$206	\$179	\$157	\$140	\$126	\$114	\$104	\$96
151-160	\$386	\$306	\$253	\$214	\$186	\$163	\$146	\$131	\$119	\$108	\$100
161-170	\$401	\$317	\$262	\$222	\$193	\$170	\$151	\$136	\$124	\$113	\$104
171-180	\$415	\$329	\$271	\$231	\$200	\$176	\$157	\$141	\$128	\$117	\$108
181-190	\$429	\$340	\$281	\$239	\$207	\$182	\$163	\$146	\$133	\$122	\$112
191-200	\$443	\$352	\$290	\$247	\$214	\$189	\$168	\$152	\$138	\$126	\$116
201-210	\$457	\$363	\$300	\$255	\$221	\$195	\$174	\$157	\$142	\$130	\$120
211-220	\$472	\$374	\$309	\$263	\$228	\$201	\$180	\$162	\$147	\$135	\$124

Chart #3 – Proposed Vanpool Fare Structure, Effective January 1, 2021

## RideFinders Vanpool Rider Fare Chart

Monthly Fares per Rider – PROPOSED

Daily Round-Trip Commute (MILES)	Full Time Rider (3+ DAYS A WEEK)	Part Time Rider (1 OR 2 DAYS A WEEK)
1 – 39	\$142	\$71
40 – 59	\$158	\$79
60 – 79	\$174	\$87
80 – 99	\$190	\$95
100 – 119	\$206	\$103
120 – 139	\$222	\$111
140 – 159	\$238	\$119
160 – 179	\$254	\$127
180 – 199	\$270	\$135
200 – 219	\$286	\$143

## RESOLUTION 21-11

### AUTHORIZING AN AWARD OF CONTRACT TO AAIC, INC. FOR DESIGN SERVICES FOR ADMINISTRATION BUILDING AND SAFETY & SECURITY IMPROVEMENTS

**WHEREAS**, Madison County Mass Transit District (District) was created in December 1980 by resolution of the Madison County Board pursuant to Section 3 of the Local Mass Transit District Act, approved July 21, 1959, as amended (70 ILCS 3610/1 et. seq.); and,

**WHEREAS**, the District is a recipient of grant funds from the Federal Transit Administration (FTA) and the Illinois Department of Transportation (IDOT) to make critical safety & security improvements and to design and construct a new Administration Building on the grounds of the District's Base of Operations in Granite City, Illinois; and,

**WHEREAS**, the District requires the services of qualified firms to provide design services for building design, and site improvements for the proposed Administration Building and the critical safety and security improvements at the District's Base of Operations; and,

**WHEREAS**, the District approved Resolution 19-35, at its regularly scheduled meeting on March 28, 2019, determining that AAIC, Inc. of Collinsville, Illinois was the most preferred architectural firm and Faith Group of St. Louis, Missouri was the most preferred safety and security design firm; and,

**WHEREAS**, the District approved Resolution 20-08, at its regularly scheduled meeting on August 29, 2019, authorizing the execution of contracts for schematic design services; and,

**WHEREAS**, the schematic design and preliminary budget have been completed for the proposed safety and security improvements and the new Administration Building; and,


**WHEREAS**, it has been determined to be in the best interest of the District and the residents of Madison County, Illinois, to award a contract to AAIC, Inc., of Collinsville, Illinois, to provide architectural/security design services for the District's Base of Operations safety & security improvements and for the proposed new Administration Building, located on the grounds of the District's Base of Operations in Granite City, Illinois.

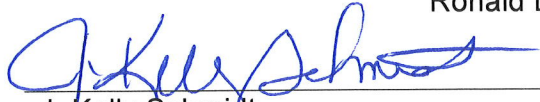
**NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE MADISON COUNTY MASS TRANSIT DISTRICT THAT:**

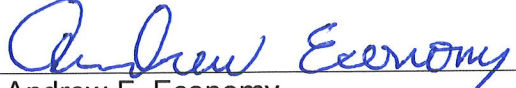
1. Madison County Mass Transit District authorizes the execution of a contract (subject to all required Federal Transit Administration and Illinois Department of Transportation reviews and authorizations) with AAIC, Inc., of Collinsville, Illinois, in the cumulative amount of one million ninety thousand two hundred forty five dollars (\$1,090,245.00) to provide architectural/security design services for the District's Base of Operations safety & security improvements and for the proposed Administration Building, located on the grounds of the District's Base of Operations in Granite City, Illinois, subject to the terms and conditions of the attached AIA Agreement.
2. Ronald L. Jedda, Chairman, J. Kelly Schmidt, Vice Chair, and/or SJ Morrison, Managing Director, of the Madison County Mass Transit District, are hereby authorized and directed to take all action necessary to execute, complete, and perform all obligations associated with the contract, including any and all change orders, and to take any such further actions as are necessary and appropriate on behalf of and in a manner most beneficial to the Madison County Mass Transit District.

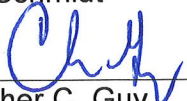



**ADOPTED** by the Madison County Mass Transit District, Madison County, Illinois, on this twenty-ninth day of October 2020.

  
\_\_\_\_\_  
Ronald L. Jedda, Chairman

  
\_\_\_\_\_  
J. Kelly Schmidt

  
\_\_\_\_\_  
Andrew F. Economy

  
\_\_\_\_\_  
Christopher C. Guy

  
\_\_\_\_\_  
Allen P. Adomite

APPROVED as to Form:

  
\_\_\_\_\_  
Tonya Genovese, Legal Counsel

**CERTIFICATE**

I, Summer Moore, do hereby certify that I am the fully qualified and acting Secretary of the Board of Trustees of the Madison County Mass Transit District, and as such Secretary, I am the keeper of the records and files of the Madison County Mass Transit District.

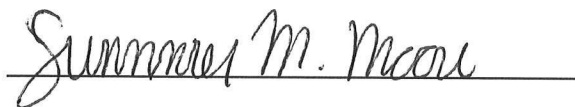
I do further certify that at a duly constituted and legally convened meeting of the Board of Trustees of the Madison County Mass Transit District held on Thursday, October 29, 2020, a resolution was adopted in full accordance and conformity with the by-laws of the Madison County Mass Transit District and the statutes of the State of Illinois, as made and provided, and that the following is a full, complete, and true copy of the pertinent provisions of said Resolution.

**NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE MADISON COUNTY MASS TRANSIT DISTRICT THAT:**

1. Madison County Mass Transit District authorizes the execution of a contract (subject to all required Federal Transit Administration and Illinois Department of Transportation reviews and authorizations) with AAIC, Inc., of Collinsville, Illinois, in the cumulative amount of one million ninety thousand two hundred forty five dollars (\$1,090,245.00) to provide architectural/security design services for the District's Base of Operations safety & security improvements and for the proposed Administration Building, located on the grounds of the District's Base of Operations in Granite City, Illinois, subject to the terms and conditions of the attached AIA Agreement.
2. Ronald L. Jedda, Chairman, J. Kelly Schmidt, Vice Chair, and/or SJ Morrison, Managing Director, of the Madison County Mass Transit District, are hereby authorized and directed to take all action necessary to execute, complete, and perform all obligations associated with the contract, including any and all change orders, and to take any such further actions as are necessary and appropriate on behalf of and in a manner most beneficial to the Madison County Mass Transit District.

I further certify that the original of the complete said resolution is on file in the records of the Madison County Mass Transit District in my custody. I do further certify that the foregoing Resolution remains in full force and effect.

IN WITNESS WHEREOF, I have hereunto affixed my official signature as Secretary of the Madison County Mass Transit District on this twenty-ninth day of October 2020.

  
\_\_\_\_\_



# MCT Admin Building and Safety/Security Improvements

**To:** MCT Board of Trustees  
**From:** SJ Morrison  
**Date:** October 29, 2020

**SUMMARY:**

Since 2014, Madison County Transit (MCT) has been seeking grant funds and developing plans to make critical safety and security improvements at its Base of Operations as well as construct a new Administration Building. The new building would replace workspaces located in aging structures where staff are spread across the site, working above garages, in temporary trailers, in non-ADA compliant locations, and in areas that were never intended to serve as offices. Additionally, the scope of MCT services has expanded and the needs for storage space, meeting areas, as well as safety and security have changed dramatically since the current site was designed and built 30 years ago.

**UPDATES:**

- MCT awarded \$4.8 million from IDOT’s Re-Build Illinois program for this project.
- Staff negotiated proposed contract with AAIC for consolidated design/engineering services, which includes three sub-contractors: Faith Group, Juneau & Associates, and IMEG.

**PROJECT EXPENSES TO DATE:**

- *Schematic Design (AAIC, Faith Group):* \$175,878.38
  - *Preliminary Cost Estimate (Holland):* \$10,120.00
- \$185,998.38

**FUTURE PROJECT EXPENSES:**

Design/Engineering Costs:

- Basic Services - Design/Engineering: \$971,717.00
  - Design Reimbursables: \$118,528.00
- \$1,090,245.00 ← Proposed AAIC Contract Amount

Base Safety/Security Costs:

- Communications/Cameras/Electrical: \$1,757,252.91
  - Fences/Gates/Barriers: \$1,106,429.57
- \$2,863,682.48

Administration Building Costs:

- Building Construction/Parking/Site Work: \$9,365,455.64
  - Furniture/Fixtures/Equipment: (FFE) \$735,200.00
- \$10,100,655.64

**TOTAL PROJECT COST: \$14,240,581.50**

**PROJECT FUNDING:**

- Local MCT Funds (approved in 2019): \$185,998.38
- IDOT Grant (awarded): \$6,000,000.00
- FTA Grant (awarded): \$3,973,238.00
- Re-Build Illinois Grant (awarded): \$4,800,000.00

**TOTAL PROJECT FUNDING: \$14,959,236.38**



**NEXT STEPS:**

- If Board approves AAIC Contract today, IDOT will review contract prior to execution.
- Once approval from IDOT is granted, MCT will execute the design contract with AAIC.

**TIMELINE:**

- Once contract is executed, the following timeline begins:
  - Design development: 4 months December 2020 – March 2021
  - Construction documents: 4 months April 2021 – July 2021
  - Bidding: 1 month August 2021
  - Contract award: 1 month September 2021
  - Construction: 16 months October 2021 – January 2023
  - Move in / Acceptance: 2 months February 2023 – March 2023



# AIA<sup>®</sup> Document B101<sup>™</sup> – 2017

## Standard Form of Agreement Between Owner and Architect

**AGREEMENT** made as of the \_\_\_\_ day of \_\_\_\_\_ in the year 2020.  
*(In words, indicate day, month and year.)*

**BETWEEN** the Architect’s client identified as the Owner:  
*(Name, legal status, address and other information)*

Madison County Mass Transit District  
One Transit Way  
P.O. Box 7500  
Granite City, IL 62040

and the Architect:  
*(Name, legal status, address and other information)*

AAIC inc.  
One Design Mesa  
Collinsville, IL 62234

for the following Project:  
*(Name, location and detailed description)*

Madison County Transit Administration Building  
One Transit Way  
Granite City, IL 62040

The Owner and Architect agree as follows.

### ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

## TABLE OF ARTICLES

1	INITIAL INFORMATION
2	ARCHITECT'S RESPONSIBILITIES
3	SCOPE OF ARCHITECT'S BASIC SERVICES
4	SUPPLEMENTAL AND ADDITIONAL SERVICES
5	OWNER'S RESPONSIBILITIES
6	COST OF THE WORK
7	COPYRIGHTS AND LICENSES
8	CLAIMS AND DISPUTES
9	TERMINATION OR SUSPENSION
10	MISCELLANEOUS PROVISIONS
11	COMPENSATION
12	SPECIAL TERMS AND CONDITIONS
13	SCOPE OF THE AGREEMENT

### ARTICLE 1 INITIAL INFORMATION

§ 1.1 This Agreement is based on the Initial Information set forth in this Section 1.1.

*(For each item in this section, insert the information or a statement such as "not applicable" or "unknown at time of execution.")*

§ 1.1.1 The Owner's program for the Project:

*(Insert the Owner's program, identify documentation that establishes the Owner's program, or state the manner in which the program will be developed.)*

Based on the schematic design phase of work completed by AAIC, Faith Group and Holland Construction Services, design and construct a new, two-story building that provides for the following administrative office functions:

Public Support Space that includes a lobby, board room, receptionist area, job interview rooms, photo ID room, public restrooms and security control features.

Staff Support space that includes a video surveillance room, conference rooms, mail room, copier room, breakroom, exercise room, supply closet(s), staff restrooms, and all required horizontal/vertical circulation space.

Executive Office that includes waiting room, the Managing Director's office/conference room and spaces for the executive office support staff.

Administration/Human Resources Office that includes the Director's office, secure storage room, and space for the Administration/Human Resources support staff.

Accounting Office that includes the Director's office, auditor workroom, secure storage room and spaces for the Accounting support staff;

Communications/Marketing Office that includes the Director's office, storage room, and spaces for Communications/Marketing support staff;

Engineering/Planning Office that includes multiple Directors' offices, plan area for plotter/copier & flat file storage, and spaces for the Engineering support staff;

MIS Office that include the Director's office, computer equipment room, storage room, and space for the MIS support staff;

Procurement Offices that include the Director's office, and space for the Procurement support staff.

Site improvements including vehicular entrances/exits, parking, pedestrian walkways, drainage/stormwater improvements, required utility connections, perimeter fencing, mechanized gates, landscaping and other similar site improvements necessary to utilize the new building upon its construction.

Additionally, Communication and Security Systems design that includes:

1. Controlled and monitored ACS for vehicle gates and doors allowing access/monitoring to the MCT Base and Administration Building;
2. VSS security cameras located within the Administration Building and at identified locations throughout the MCT Base;
3. Intercom System at main entrance doors and vehicle gates with intercom substations connected to the existing exchange via LAN;
4. A Main Telecommunication Room and Remote Telecommunication Rooms that support IT, communications and security equipment. This includes relocation of equipment in existing server room to the new Main Telecommunication Room;
5. Inside Plan Horizontal Cabling (ISP) to be designed to provide service to all voice and data workstation outlets as well as security and network equipment, including a fiber optic backbone cabling system to connect all telecommunication rooms;
6. New Outside Plant Cabling System (OSP) from the Administration Building to the existing OSP currently located at the MCT Base. OSP fiber optic cabling requirements will be developed in support of operations and building systems;
7. Design VoIP specifications and selection of equipment required to extend the existing VoIP telephone system to the Administration Building;
8. Design LAN specifications and selection of network switches for relocated MDF to support workstations, VoIP telephones, security equipment and other equipment requiring network support.

**§ 1.1.2** The Project's physical characteristics:

*(Identify or describe pertinent information about the Project's physical characteristics, such as size; location; dimensions; geotechnical reports; site boundaries; topographic surveys; traffic and utility studies; availability of public and private utilities and services; legal description of the site, etc.)*

The project is to be sited along Chain of Rocks Road generally on the north side of the District's Base which is located at One Transit Way, Granite City, Illinois. Envisioned is the design of an administration building that is aesthetically pleasing and that blends with the existing structures. Integral and of vital importance to the design will be architectural and electronic security elements intended to protect the new building, the Base's perimeter, and vehicular/pedestrian movements within the Base. The two-story building will provide for a public lobby, board room, administrative offices, and ancillary public/office facilities. The Architect will utilize the Schematic Design Final Compilation Report as the basis to initiate Design Development Phase Services. The project is partially funded by the Federal Transit Administration (FTA) and the Illinois Department of Transportation (IDOT).

**§ 1.1.3** The Owner's budget for the Cost of the Work, as defined in Section 6.1:

*(Provide total and, if known, a line item breakdown.)*

The Cost of Work is estimated at:

**See Attachment A: Estimated Cost of Work**

**§ 1.1.4** The Owner's anticipated design and construction milestone dates:

Init.

.1 Design phase milestone dates, if any:

Commence 12-07-2020

.2 Construction commencement date:

Commence 09-27-2021

.3 Substantial Completion date or dates:

01-27-2023

.4 Other milestone dates:

Owner Move-In: 02-24-2023

§ 1.1.5 The Owner intends the following procurement and delivery method for the Project:  
*(Identify method such as competitive bid or negotiated contract, as well as any requirements for accelerated or fast-track design and construction, multiple bid packages, or phased construction.)*

Competitive Bid

§ 1.1.6 The Owner's anticipated Sustainable Objective for the Project:  
*(Identify and describe the Owner's Sustainable Objective for the Project, if any.)*

Non-applicable

§ 1.1.6.1 If the Owner identifies a Sustainable Objective, the Owner and Architect shall complete and incorporate AIA Document E204™–2017, Sustainable Projects Exhibit, into this Agreement to define the terms, conditions and services related to the Owner's Sustainable Objective. If E204–2017 is incorporated into this agreement, the Owner and Architect shall incorporate the completed E204–2017 into the agreements with the consultants and contractors performing services or Work in any way associated with the Sustainable Objective.

§ 1.1.7 The Owner identifies the following representative in accordance with Section 5.3:  
*(List name, address, and other contact information.)*

Philip S. Roggio  
Director of Planning & Capital Projects  
Madison County Mass Transit District  
One Transit Way  
P.O. Box 7500  
Granite City, IL 62040  
618-797-4600  
proggio@mct.org

§ 1.1.8 The persons or entities, in addition to the Owner's representative, who are required to review the Architect's submittals to the Owner are as follows:  
*(List name, address, and other contact information.)*

Non-applicable

§ 1.1.9 The Owner shall retain the following consultants and contractors:  
*(List name, legal status, address, and other contact information.)*

.1 Geotechnical Engineer:

Init.



Non-applicable

.2 Civil Engineer:

Non-applicable

.3 Other, if any:

*(List any other consultants and contractors retained by the Owner.)*

Non-applicable

§ 1.1.10 The Architect identifies the following representative in accordance with Section 2.3:  
*(List name, address, and other contact information.)*

Calvin C. Morris, AIA, NCARB  
Principal-In-Charge  
AAIC inc.  
One Design Mesa  
Collinsville, IL 62234  
618-345-1270  
ccmorris@aaicinc.com

§ 1.1.11 The Architect shall retain the consultants identified in Sections 1.1.11.1 and 1.1.11.2:  
*(List name, legal status, address, and other contact information.)*

§ 1.1.11.1 Consultants retained under Basic Services:

.1 Structural Engineer:

IMEG  
15 Sunnen  
Suite 104  
St. Louis, MO 63143

.2 Mechanical Engineer:

IMEG  
15 Sunnen  
Suite 104  
St. Louis, MO 63143

.3 Electrical Engineer:

IMEG  
15 Sunnen  
Suite 104  
St. Louis, MO 63143

.4 Low Voltage Design/IT System Design Security

Faith Group LLC  
3101 S. Hanley Road

Init.

AIA Document B101™ – 2017. Copyright © 1974, 1978, 1987, 1997, 2007 and 2017 by The American Institute of Architects. All rights reserved. The "American Institute of Architects," "AIA," the AIA Logo, and "AIA Contract Documents" are registered trademarks and may not be used without permission. This document was produced by AIA software at 14:51:40 CT on 10/23/2020 under Order No.3109286938 which expires on 10/12/2021, is not for resale, is licensed for one-time use only, and may only be used in accordance with the AIA Contract Documents® Terms of Service. To report copyright violations, e-mail [copyright@aia.org](mailto:copyright@aia.org).

User Notes:

(1382643814)

St. Louis, MO 63143

.5 Civil Engineer

Juneau Associates, Inc.  
100 North Research Drive  
Edwardsville, IL 62025

§ 1.1.11.2 Consultants retained under Supplemental Services:

Non-applicable

§ 1.1.12 Other Initial Information on which the Agreement is based:

Non-applicable

§ 1.2 The Owner and Architect may rely on the Initial Information. Both parties, however, recognize that the Initial Information may materially change and, in that event, the Owner and the Architect shall appropriately adjust the Architect's services, schedule for the Architect's services, and the Architect's compensation. The Owner shall adjust the Owner's budget for the Cost of the Work and the Owner's anticipated design and construction milestones, as necessary, to accommodate material changes in the Initial Information.

*(Paragraphs deleted)*

**ARTICLE 2 ARCHITECT'S RESPONSIBILITIES**

§ 2.1 The Architect shall provide professional services as set forth in this Agreement. The Architect represents that it is properly licensed in the jurisdiction where the Project is located to provide the services required by this Agreement, or shall cause such services to be performed by appropriately licensed design professionals.

§ 2.2 The Architect shall perform its services consistent with the professional skill and care ordinarily provided by architects practicing in the same or similar locality under the same or similar circumstances. The Architect shall perform its services as expeditiously as is consistent with such professional skill and care and the orderly progress of the Project.

§ 2.3 The Architect shall identify a representative authorized to act on behalf of the Architect with respect to the Project.

§ 2.4 Except with the Owner's knowledge and consent, the Architect shall not engage in any activity, or accept any employment, interest or contribution that would reasonably appear to compromise the Architect's professional judgment with respect to this Project.

§ 2.5 The Architect shall maintain the following insurance until termination of this Agreement. If any of the requirements set forth below are in addition to the types and limits the Architect normally maintains, the Owner shall pay the Architect as set forth in Section 11.9.

§ 2.5.1 Commercial General Liability with policy limits of not less than One Million Dollars (\$ 1,000,000.00 ) for each occurrence and Two Million Dollars (\$ 2,000,000.00 ) in the aggregate for bodily injury and property damage.

§ 2.5.2 Automobile Liability covering vehicles owned, and non-owned vehicles used, by the Architect with policy limits of not less than One Million Dollars (\$ 1,000,000.00 ) per accident for bodily injury, death of any person, and

property damage arising out of the ownership, maintenance and use of those motor vehicles, along with any other statutorily required automobile coverage.

§ 2.5.3 The Architect may achieve the required limits and coverage for Commercial General Liability and Automobile Liability through a combination of primary and excess or umbrella liability insurance, provided such primary and excess or umbrella liability insurance policies result in the same or greater coverage as the coverages required under Sections 2.5.1 and 2.5.2, and in no event shall any excess or umbrella liability insurance provide narrower coverage than the primary policy. The excess policy shall not require the exhaustion of the underlying limits only through the actual payment by the underlying insurers.

§ 2.5.4 Workers' Compensation at statutory limits.

§ 2.5.5 Employers' Liability with policy limits not less than five hundred thousand dollars (\$ 500,000 ) each accident, five hundred thousand dollars (\$ 500,000 ) each employee, and five hundred thousand dollars (\$ 500,000 ) policy limit.

§ 2.5.6 Professional Liability covering negligent acts, errors and omissions in the performance of professional services with policy limits of not less than One Million Dollars (\$ 1,000,000.00 ) per claim and One Million Dollars (\$ 1,000,000.00 ) in the aggregate.

§ 2.5.7 Excess or Umbrella Liability with policy limits of not less than one million dollars (\$1,000,000) that provides coverage above all of the primary policies.

§ 2.5.8 **Additional Insured Obligations.** To the fullest extent permitted by law, the Architect shall cause the primary and excess or umbrella policies for Commercial General Liability and Automobile Liability to include the Owner as an additional insured for claims caused in whole or in part by the Architect's negligent acts or omissions. The additional insured coverage shall be primary and non-contributory to any of the Owner's insurance policies and shall apply to both ongoing and completed operations.

§ 2.5.9 The Architect shall provide certificates of insurance to the Owner that evidence compliance with the requirements in this Section 2.5.

### **ARTICLE 3 SCOPE OF ARCHITECT'S BASIC SERVICES**

§ 3.1 The Architect's Basic Services consist of those described in this Article 3 and include usual and customary structural, mechanical/electrical/plumbing engineering services as well as civil engineering services (excluding any IDOT required intersection design study), landscape architecture services, interior design services (including FF&E), audio/visual design services, telecommunication/data design services, ACS design services, and VSS design services. Services not set forth in this Article 3 are Supplemental or Additional Services.

§ 3.1.1 The Architect shall manage the Architect's services, research applicable design criteria, attend Project meetings, communicate with members of the Project team, and report progress to the Owner.

§ 3.1.2 The Architect shall coordinate its services with those services provided by the Owner and the Owner's consultants. The Architect shall be entitled to rely on, and shall not be responsible for, the accuracy, completeness, and timeliness of, services and information furnished by the Owner and the Owner's consultants. The Architect shall provide prompt written notice to the Owner if the Architect becomes aware of any error, omission, or inconsistency in such services or information.

§ 3.1.3 As soon as practicable after the date of this Agreement, the Architect shall submit for the Owner's approval a schedule for the performance of the Architect's services. The schedule initially shall include anticipated dates for the commencement of construction and for Substantial Completion of the Work as set forth in the Initial Information. The schedule shall include allowances for periods of time required for the Owner's review, for the performance of the Owner's consultants, and for approval of submissions by authorities having jurisdiction over the Project, including the Federal Transit Administration and the Illinois Department of Transportation. Once approved by the Owner, time limits established by the schedule shall not, except for reasonable cause, be exceeded by the Architect or Owner. With the Owner's approval, the Architect shall adjust the schedule, if necessary, as the Project proceeds until the commencement of construction.

Init.

§ 3.1.4 The Architect shall not be responsible for an Owner's directive or substitution, or for the Owner's acceptance of non-conforming Work, made or given without the Architect's written approval.

§ 3.1.5 The Architect shall contact governmental authorities required to approve the Construction Documents and entities providing utility services to the Project. The Architect shall respond to applicable design requirements imposed by those authorities and entities.

§ 3.1.6 The Architect shall assist the Owner in connection with the Owner's responsibility for filing documents required for the approval of governmental authorities having jurisdiction over the Project.

## § 3.2 Schematic Design Phase Services - COMPLETE

*(Paragraphs deleted)*

### § 3.3 Design Development Phase Services

§ 3.3.1 Based on the Owner's approval of the Schematic Design Documents, and on the Owner's authorization of any adjustments in the Project requirements and the budget for the Cost of the Work, the Architect shall prepare Design Development Documents for the Owner's approval. The Design Development Documents shall illustrate and describe the development of the approved Schematic Design Documents and shall consist of drawings and other documents including plans, sections, elevations, typical construction details, and diagrammatic layouts of building systems to fix and describe the size and character of the Project as to architectural, structural, mechanical and electrical systems, and other appropriate elements. The Design Development Documents shall also include outline specifications that identify major materials and systems and establish, in general, their quality levels.

§ 3.3.2 The Architect shall update the estimate of the Cost of the Work prepared in accordance with Section 6.3.

§ 3.3.3 The Architect shall submit the Design Development Documents to the Owner, advise the Owner of any adjustments to the estimate of the Cost of the Work, and request the Owner's approval.

### § 3.4 Construction Documents Phase Services

§ 3.4.1 Based on the Owner's approval of the Design Development Documents, and on the Owner's authorization of any adjustments in the Project requirements and the budget for the Cost of the Work, the Architect shall prepare Construction Documents for the Owner's approval. The Construction Documents shall illustrate and describe the further development of the approved Design Development Documents and shall consist of Drawings and Specifications setting forth in detail the quality levels and performance criteria of materials and systems and other requirements for the construction of the Work. The Owner and Architect acknowledge that, in order to perform the Work, the Contractor will provide additional information, including Shop Drawings, Product Data, Samples and other similar submittals, which the Architect shall review in accordance with Section 3.6.4.

§ 3.4.2 The Architect shall incorporate the design requirements of governmental authorities having jurisdiction over the Project into the Construction Documents.

§ 3.4.3 During the development of the Construction Documents, the Architect shall assist the Owner in the development and preparation of (1) procurement information that describes the time, place, and conditions of bidding, including bidding or proposal forms; (2) the form of agreement between the Owner and Contractor; and (3) the Conditions of the Contract for Construction (General, Supplementary and other Conditions). The Architect shall also compile a project manual that includes the Conditions of the Contract for Construction and Specifications, and may include bidding requirements and sample forms.

§ 3.4.4 The Architect shall update the estimate for the Cost of the Work prepared in accordance with Section 6.3.

§ 3.4.5 The Architect shall submit the Construction Documents to the Owner, advise the Owner of any adjustments to the estimate of the Cost of the Work, take any action required under Section 6.5, and request the Owner's approval.

## § 3.5 Procurement Phase Services

### § 3.5.1 General

The Architect shall assist the Owner in establishing a list of prospective contractors. Following the Owner's approval of the Construction Documents, the Architect shall assist the Owner in (1) obtaining either competitive bids; (2) confirming responsiveness of bids; (3) determining the successful bid; and, (4) awarding and preparing contracts for construction.

### § 3.5.2 Competitive Bidding

§ 3.5.2.1 Bidding Documents shall consist of bidding requirements and proposed Contract Documents.

§ 3.5.2.2 The Architect shall assist the Owner in bidding the Project by:

- .1 arranging for the reproduction of 20 sets of Bidding Documents, and facilitating the distribution of Bidding Documents per the direction of the Owner;
- .2 organizing and conducting a pre-bid conference for prospective bidders;
- .3 preparing the pre-bid conference minutes, preparing responses to questions from prospective bidders and providing clarifications and interpretations of the Bidding Documents to the Owner for distribution to prospective bidders in the form of addenda; and,
- .4 attend the opening of the bids, and subsequently assist the Owner with Architectural services rendered under this Agreement that are applicable to bidding issues, as needed.

§ 3.5.2.3 If the Bidding Documents permit substitutions, upon the Owner's written authorization, the Architect shall consider requests for substitutions and prepare information for the Owner's distribution of addenda identifying approved substitutions to all prospective bidders.

### § 3.5.3 Negotiated Proposals

Reserved.

*(Paragraphs deleted)*

## § 3.6 Construction Phase Services

### § 3.6.1 General

§ 3.6.1.1 The Architect shall provide administration of the Contract between the Owner and the Contractor as set forth below and in AIA Document A201™–2017, General Conditions of the Contract for Construction. If the Owner and Contractor modify AIA Document A201–2017, those modifications shall not affect the Architect's services under this Agreement unless the Owner and the Architect amend this Agreement.

§ 3.6.1.2 The Architect shall advise and consult with the Owner during the Construction Phase Services. The Architect shall have authority to act on behalf of the Owner only to the extent provided in this Agreement. The Architect shall not have control over, charge of, or responsibility for the construction means, methods, techniques, sequences or procedures, or for safety precautions and programs in connection with the Work, nor shall the Architect be responsible for the Contractor's failure to perform the Work in accordance with the requirements of the Contract Documents. The Architect shall be responsible for the Architect's negligent acts or omissions, but shall not have control over or charge of, and shall not be responsible for, acts or omissions of the Contractor or of any other persons or entities performing portions of the Work.

§ 3.6.1.3 Subject to Section 4.2 and except as provided in Section 3.6.6.5, the Architect's responsibility to provide Construction Phase Services commences with the award of the Contract for Construction and terminates on the date the Owner makes payment on the Architect's final Certificate for Payment.

### § 3.6.2 Evaluations of the Work

§ 3.6.2.1 The Architect shall visit the site at intervals appropriate to the stage of construction, or as otherwise required in Section 4.2.3, to become generally familiar with the progress and quality of the portion of the Work completed, and to determine, in general, if the Work observed is being performed in a manner indicating that the Work, when fully completed, will be in accordance with the Contract Documents. However, the Architect shall not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work. On the basis of the site visits, the Architect shall keep the Owner reasonably informed about the progress and quality of the portion of the Work completed, and promptly report to the Owner (1) known deviations from the Contract Documents, (2) known



deviations from the most recent construction schedule submitted by the Contractor, and (3) defects and deficiencies observed in the Work.

**§ 3.6.2.2** The Architect has the authority to reject Work that does not conform to the Contract Documents. Whenever the Architect considers it necessary or advisable, the Architect shall have the authority to require inspection or testing of the Work in accordance with the provisions of the Contract Documents, whether or not the Work is fabricated, installed or completed. However, neither this authority of the Architect nor a decision made in good faith either to exercise or not to exercise such authority shall give rise to a duty or responsibility of the Architect to the Contractor, Subcontractors, suppliers, their agents or employees, or other persons or entities performing portions of the Work.

**§ 3.6.2.3** The Architect shall interpret and decide matters concerning performance under, and requirements of, the Contract Documents on written request of either the Owner or Contractor. The Architect's response to such requests shall be made in writing within any time limits agreed upon or otherwise with reasonable promptness.

**§ 3.6.2.4** Interpretations and decisions of the Architect shall be consistent with the intent of, and reasonably inferable from, the Contract Documents and shall be in writing or in the form of drawings. When making such interpretations and decisions, the Architect shall endeavor to secure faithful performance by both Owner and Contractor, shall not show partiality to either, and shall not be liable for results of interpretations or decisions rendered in good faith. The Architect's decisions on matters relating to aesthetic effect shall be final if consistent with the intent expressed in the Contract Documents.

**§ 3.6.2.5** Unless the Owner and Contractor designate another person to serve as an Initial Decision Maker, as that term is defined in AIA Document A201–2017, the Architect shall render initial decisions on Claims between the Owner and Contractor as provided in the Contract Documents.

### **§ 3.6.3 Certificates for Payment to Contractor**

**§ 3.6.3.1** The Architect shall review and certify the amounts due the Contractor and shall issue certificates in such amounts. The Architect's certification for payment shall constitute a representation to the Owner, based on the Architect's evaluation of the Work as provided in Section 3.6.2 and on the data comprising the Contractor's Application for Payment, that, to the best of the Architect's knowledge, information and belief, the Work has progressed to the point indicated, the quality of the Work is in accordance with the Contract Documents, and that the Contractor is entitled to payment in the amount certified. The foregoing representations are subject to (1) an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, (2) results of subsequent tests and inspections, (3) correction of minor deviations from the Contract Documents prior to completion, and (4) specific qualifications expressed by the Architect.

**§ 3.6.3.2** The issuance of a Certificate for Payment shall not be a representation that the Architect has (1) made exhaustive or continuous on-site inspections to check the quality or quantity of the Work, (2) reviewed construction means, methods, techniques, sequences or procedures, (3) reviewed copies of requisitions received from Subcontractors and suppliers and other data requested by the Owner to substantiate the Contractor's right to payment, or (4) ascertained how or for what purpose the Contractor has used money previously paid on account of the Contract Sum.

**§ 3.6.3.3** The Architect shall maintain a record of the Applications and Certificates for Payment.

### **§ 3.6.4 Submittals**

**§ 3.6.4.1** The Architect shall review the Contractor's submittal schedule and shall not unreasonably delay or withhold approval of the schedule. The Architect's action in reviewing submittals shall be taken in accordance with the approved submittal schedule or, in the absence of an approved submittal schedule, with reasonable promptness while allowing sufficient time, in the Architect's professional judgment, to permit adequate review.

**§ 3.6.4.2** The Architect shall review and approve, or take other appropriate action upon, the Contractor's submittals such as Shop Drawings, Product Data and Samples, but only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. Review of such submittals is not for the purpose of determining the accuracy and completeness of other information such as dimensions, quantities, and installation or performance of equipment or systems, which are the Contractor's responsibility. The Architect's review shall not constitute approval of safety precautions or construction means, methods, techniques, sequences or

procedures. The Architect's approval of a specific item shall not indicate approval of an assembly of which the item is a component.

**§ 3.6.4.3** If the Contract Documents specifically require the Contractor to provide professional design services or certifications by a design professional related to systems, materials, or equipment, the Architect shall specify the appropriate performance and design criteria that such services must satisfy. The Architect shall review and take appropriate action on Shop Drawings and other submittals related to the Work designed or certified by the Contractor's design professional, provided the submittals bear such professional's seal and signature when submitted to the Architect. The Architect's review shall be for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Architect shall be entitled to rely upon, and shall not be responsible for, the adequacy and accuracy of the services, certifications, and approvals performed or provided by such design professionals.

**§ 3.6.4.4** The Architect shall review and respond to requests for information about the Contract Documents. The Architect shall set forth, in the Contract Documents, the requirements for requests for information. Requests for information shall include, at a minimum, a detailed written statement that indicates the specific Drawings or Specifications in need of clarification and the nature of the clarification requested. The Architect's response to such requests shall be made in writing within any time limits agreed upon, or otherwise with reasonable promptness. If appropriate, the Architect shall prepare and issue supplemental Drawings and Specifications in response to the requests for information.

**§ 3.6.4.5** The Architect shall maintain a record of submittals and copies of submittals supplied by the Contractor in accordance with the requirements of the Contract Documents.

### **§ 3.6.5 Changes in the Work**

**§ 3.6.5.1** The Architect may order minor changes in the Work that are consistent with the intent of the Contract Documents and do not involve an adjustment in the Contract Sum or an extension of the Contract Time. The Architect shall prepare or assist the Owner in preparing Change Orders and Construction Change Directives for the Owner's approval and execution in accordance with the Contract Documents.

**§ 3.6.5.2** The Architect shall maintain records relative to changes in the Work.

### **§ 3.6.6 Project Completion**

**§ 3.6.6.1** The Architect shall:

- .1 conduct inspections to determine the date or dates of Substantial Completion and the date of final completion;
- .2 issue Certificates of Substantial Completion;
- .3 forward to the Owner, for the Owner's review and records, written warranties and related documents required by the Contract Documents and received from the Contractor; and,
- .4 issue a final Certificate for Payment based upon a final inspection indicating that, to the best of the Architect's knowledge, information, and belief, the Work complies with the requirements of the Contract Documents.

**§ 3.6.6.2** The Architect's inspections shall be conducted with the Owner to check conformance of the Work with the requirements of the Contract Documents and to verify the accuracy and completeness of the list submitted by the Contractor of Work to be completed or corrected.

**§ 3.6.6.3** When Substantial Completion has been achieved, the Architect shall inform the Owner about the balance of the Contract Sum remaining to be paid the Contractor, including the amount to be retained from the Contract Sum, if any, for final completion or correction of the Work.

**§ 3.6.6.4** The Architect shall forward to the Owner the following information received from the Contractor: (1) consent of surety or sureties, if any, to reduction in or partial release of retainage or the making of final payment; (2) affidavits, receipts, releases and waivers of liens, or bonds indemnifying the Owner against liens; and (3) any other documentation required of the Contractor under the Contract Documents.

§ 3.6.6.5 Upon request of the Owner, and prior to the expiration of one year from the date of Substantial Completion, the Architect shall, without additional compensation, conduct a meeting with the Owner to review the facility operations and performance.

**ARTICLE 4 SUPPLEMENTAL AND ADDITIONAL SERVICES**

**§ 4.1 Supplemental Services**

§ 4.1.1 The services listed below are not included in Basic Services, unless noted as such, but may be required for the Project. The Architect shall provide the listed Supplemental Services only if specifically designated in the table below as the Architect’s responsibility, and the Owner shall compensate the Architect as provided in Section 11.2. Unless otherwise specifically addressed in this Agreement, if neither the Owner nor the Architect is designated, the parties agree that the listed Supplemental Service is not being provided for the Project.

*(Designate the Architect’s Supplemental Services and the Owner’s Supplemental Services required for the Project by indicating whether the Architect or Owner shall be responsible for providing the identified Supplemental Service. Insert a description of the Supplemental Services in Section 4.1.2 below or attach the description of services as an exhibit to this Agreement.)*

<b>Supplemental Services</b>	<b>Responsibility</b> <i>(Architect, Owner, or not provided)</i>
§ 4.1.1.1 Programming	NP
§ 4.1.1.2 Multiple preliminary designs	NP
§ 4.1.1.3 Measured drawings	NP
§ 4.1.1.4 Existing facilities surveys	NP
§ 4.1.1.5 Site evaluation and planning	NP
§ 4.1.1.6 Building Information Model management responsibilities	NP
§ 4.1.1.7 Development of Building Information Models for post construction use	NP
§ 4.1.1.8 Civil engineering	Included as Basic Service (excluding any IDOT required intersection design study)
§ 4.1.1.9 Landscape design	Included as Basic Service
§ 4.1.1.10 Architectural interior design	Included as Basic Service
§ 4.1.1.11 Value analysis	NP
§ 4.1.1.12 Detailed cost estimating beyond that required in Section 6.3	NP
§ 4.1.1.13 On-site project representation	Included as Basic Service, as detailed in Section 4.2.3
§ 4.1.1.14 Conformed documents for construction	NP
§ 4.1.1.15 As-designed record drawings	NP
§ 4.1.1.16 As-constructed record drawings	NP
§ 4.1.1.17 Post-occupancy evaluation	NP
§ 4.1.1.18 Facility support services	NP
§ 4.1.1.19 Tenant-related services	NP
§ 4.1.1.20 Architect’s coordination of the Owner’s consultants	NP
§ 4.1.1.21 Telecommunications/data/ISP/OSP design	Included as Basic Service
§ 4.1.1.22 Building/MCT Base ACS & VSS design	Included as Basic Service
<i>(Row deleted)</i>	
§ 4.1.1.23 Commissioning	NP
§ 4.1.1.24 Sustainable Project Services pursuant to Section 4.1.3	NP
§ 4.1.1.25 Fast-track design services	NP
§ 4.1.1.26 Multiple bid packages	NP

Init.



§ 4.1.1.27 Historic preservation	NP
§ 4.1.1.28 Furniture, furnishings, and equipment design	Included as Basic Service
§ 4.1.1.29 Other services provided by specialty Consultants	NP
§ 4.1.1.30 Other Supplemental Services: Security Fencing/Mechanized Vehicular Gates	Included as Basic Service
<i>(Row deleted)</i>	

**§ 4.1.2 Description of Supplemental Services**

§ 4.1.2.1 A description of each Supplemental Service identified in Section 4.1.1 as the Architect’s responsibility is provided below.

*(Describe in detail the Architect’s Supplemental Services identified in Section 4.1.1 or, if set forth in an exhibit, identify the exhibit. The AIA publishes a number of Standard Form of Architect’s Services documents that can be included as an exhibit to describe the Architect’s Supplemental Services.)*

§ 4.1.2.2 A description of each Supplemental Service identified in Section 4.1.1 as the Owner’s responsibility is provided below.

*(Describe in detail the Owner’s Supplemental Services identified in Section 4.1.1 or, if set forth in an exhibit, identify the exhibit.)*

§ 4.1.3 If the Owner identified a Sustainable Objective in Article 1, the Architect shall provide, as a Supplemental Service, the Sustainability Services required in AIA Document E204™–2017, Sustainable Projects Exhibit, attached to this Agreement. The Owner shall compensate the Architect as provided in Section 11.2.

**§ 4.2 Architect’s Additional Services**

The Architect may provide Additional Services after execution of this Agreement without invalidating the Agreement. Except for services required due to the fault of the Architect, any Additional Services provided in accordance with this Section 4.2 shall entitle the Architect to compensation pursuant to Section 11.3 and an appropriate adjustment in the Architect’s schedule.

§ 4.2.1 Upon recognizing the need to perform the following Additional Services, the Architect shall notify the Owner with reasonable promptness and explain the facts and circumstances giving rise to the need. The Architect shall not proceed to provide the following Additional Services until the Architect receives the Owner’s written authorization:

- .1 Services necessitated by a change in the Initial Information, previous instructions or approvals given by the Owner, or a material change in the Project including size, quality, complexity, the Owner’s schedule or budget for Cost of the Work, or procurement or delivery method;
- .2 Services necessitated by the enactment or revision of codes, laws, or regulations, including changing or editing previously prepared Instruments of Service;
- .3 Changing or editing previously prepared Instruments of Service necessitated by official interpretations of applicable codes, laws or regulations that are either (a) contrary to specific interpretations by the applicable authorities having jurisdiction made prior to the issuance of the building permit, or (b) contrary to requirements of the Instruments of Service when those Instruments of Service were prepared in accordance with the applicable standard of care;
- .4 Services necessitated by decisions of the Owner not rendered in a timely manner or any other failure of performance on the part of the Owner or the Owner’s consultants or contractors;

*(Paragraphs deleted)*

- .8 Preparation for, and attendance at, a dispute resolution proceeding or legal proceeding, except where the Architect is party thereto;
- .10 Consultation concerning replacement of Work resulting from fire or other cause during construction;
- .12 .Construction observation, other than those detailed in Section 4.2.3.2, 4.2.3.3 and 4.2.3.4.

§ 4.2.2 To avoid delay in the Construction Phase, the Architect shall provide the following as Basic Services, notify the Owner with reasonable promptness, and explain the facts and circumstances giving rise to the need. If, upon receipt of the Architect's notice, the Owner determines that all or parts of the services are not required, the Owner shall give prompt written notice to the Architect of the Owner's determination.

- .1 Reviewing a Contractor's submittal out of sequence from the submittal schedule approved by the Architect;
- .2 Responding to the Contractor's requests for information that are not prepared in accordance with the Contract Documents or where such information is available to the Contractor from a careful study and comparison of the Contract Documents, field conditions, other Owner-provided information, Contractor-prepared coordination drawings, or prior Project correspondence or documentation;
- .3 Preparing Change Orders and Construction Change Directives that require evaluation of Contractor's proposals and supporting data, or the preparation or revision of Instruments of Service;
- .4 Evaluating an extensive number of Claims as the Initial Decision Maker; or,
- .5 Evaluating substitutions proposed by the Owner or Contractor and making subsequent revisions to Instruments of Service resulting therefrom.

§ 4.2.3 The Architect, and Consultants retained under Basic Services, shall provide Construction Phase Services exceeding the limits set forth below as Additional Services. When the limits below are reached, the Architect shall notify the Owner:

- .1 Architect and/or Consultants, Two ( 2 ) reviews of each Shop Drawing, Product Data item, sample and similar submittals of the Contractor
- .2 AAIC, Thirty Six ( 36 ) visits to the site during construction; IMEG-Structural and MEP, 72 visits to the site during construction; Faith Group, Twenty (20) visits to the site during construction; Juneau Associates, Twenty (20) visits to the site during construction ,
- .3 Architect and/or Consultants, One ( 1 ) inspections for any portion of the Work to determine whether such portion of the Work is substantially complete in accordance with the requirements of the Contract Documents
- .4 Architect and/or Consultants, One ( 1 ) inspections for any portion of the Work to determine final completion.

*(Paragraph deleted)*

§ 4.2.5 If the services covered by this Agreement have not been completed within Forty-Two ( 42 ) months of the date of this Agreement, through no fault of the Architect, extension of the Architect's services beyond that time shall be compensated as Additional Services.

## ARTICLE 5 OWNER'S RESPONSIBILITIES

§ 5.1 Unless otherwise provided for under this Agreement, the Owner shall provide information in a timely manner regarding requirements for and limitations on the Project, including a written program, which shall set forth the Owner's objectives; schedule; constraints and criteria, including space requirements and relationships; flexibility; expandability; special equipment; systems; and site requirements.

§ 5.2 The Owner shall establish the Owner's budget for the Project, including (1) the budget for the Cost of the Work as defined in Section 6.1; (2) the Owner's other costs; and, (3) reasonable contingencies related to all of these costs. The Owner shall update the Owner's budget for the Project as necessary throughout the duration of the Project until final completion. If the Owner significantly increases or decreases the Owner's budget for the Cost of the Work, the Owner shall notify the Architect. The Owner and the Architect shall thereafter agree to a corresponding change in the Project's scope and quality.

§ 5.3 The Owner shall identify a representative authorized to act on the Owner's behalf with respect to the Project. The Owner shall render decisions and approve the Architect's submittals in a timely manner in order to avoid unreasonable delay in the orderly and sequential progress of the Architect's services.

§ 5.4 Reserved.

§ 5.5 Reserved.

§ 5.6 The Owner shall provide the Supplemental Services designated as the Owner's responsibility in Section 4.1.1.

Init.

§ 5.7 Reserved.

§ 5.8 The Owner shall coordinate the services of its own consultants with those services provided by the Architect. Upon the Architect's request, the Owner shall furnish copies of the scope of services in the contracts between the Owner and the Owner's consultants. The Owner shall furnish the services of consultants other than those designated as the responsibility of the Architect in this Agreement, or authorize the Architect to furnish them as an Additional Service, when the Architect requests such services and demonstrates that they are reasonably required by the scope of the Project. The Owner shall require that its consultants and contractors maintain insurance, including professional liability insurance, as appropriate to the services or work provided.

§ 5.9 Reserved.

§ 5.10 The Owner shall furnish all legal, insurance and accounting services, including auditing services, that may be reasonably necessary at any time for the Project to meet the Owner's needs and interests.

§ 5.11 The Owner shall provide prompt written notice to the Architect if the Owner becomes aware of any fault or defect in the Project, including errors, omissions or inconsistencies in the Architect's Instruments of Service.

§ 5.12 Reserved.

§ 5.13 Before executing the Contract for Construction, the Owner shall coordinate the Architect's duties and responsibilities set forth in the Contract for Construction with the Architect's services set forth in this Agreement. The Owner shall provide the Architect a copy of the executed agreement between the Owner and Contractor, including the General Conditions of the Contract for Construction.

§ 5.14 The Owner shall provide the Architect access to the Project site prior to commencement of the Work and shall obligate the Contractor to provide the Architect access to the Work wherever it is in preparation or progress.

§ 5.15 Within 15 days after receipt of a written request from the Architect, the Owner shall furnish the requested information as necessary and relevant for the Architect to evaluate, give notice of, or enforce lien rights.

## ARTICLE 6 COST OF THE WORK

§ 6.1 For purposes of this Agreement, the Cost of the Work shall be the total cost to the Owner to construct all elements of the Project designed or specified by the Architect and shall include contractors' general conditions costs, overhead and profit. The Cost of the Work also includes the reasonable value of labor, materials, and equipment, donated to, or otherwise furnished by, the Owner. The Cost of the Work does not include the compensation of the Architect; the costs of the land, rights-of-way, financing, or contingencies for changes in the Work; or other costs that are the responsibility of the Owner.

§ 6.2 The Owner's budget for the Cost of the Work is provided in Initial Information, and shall be adjusted throughout the Project as required under Sections 5.2, 6.4 and 6.5. Evaluations of the Owner's budget for the Cost of the Work, and the preliminary estimate of the Cost of the Work and updated estimates of the Cost of the Work, prepared by the Architect, represent the Architect's judgment as a design professional. It is recognized, however, that neither the Architect nor the Owner has control over the cost of labor, materials, or equipment; the Contractor's methods of determining bid prices; or competitive bidding, market, or negotiating conditions. Accordingly, the Architect cannot and does not warrant or represent that bids or negotiated prices will not vary from the Owner's budget for the Cost of the Work, or from any estimate of the Cost of the Work, or evaluation, prepared or agreed to by the Architect.

§ 6.3 In preparing estimates of the Cost of Work, the Architect shall be permitted to include contingencies for design, bidding, and price escalation; to determine what materials, equipment, component systems, and types of construction are to be included in the Contract Documents; to recommend reasonable adjustments in the program and scope of the Project; and to include design alternates as may be necessary to adjust the estimated Cost of the Work to meet the Owner's budget. The Architect's estimate of the Cost of the Work shall be based on current area, volume or similar conceptual estimating techniques. If the Owner requires a detailed estimate of the Cost of the Work, the Architect shall provide such an estimate.

§ 6.4 If, through no fault of the Architect, the Procurement Phase has not commenced within 120 days after the Architect submits the Construction Documents to the Owner, the Owner's budget for the Cost of the Work shall be adjusted to reflect changes in the general level of prices in the applicable construction market.

§ 6.5 If at any time the Architect's estimate of the Cost of the Work exceeds the Owner's budget for the Cost of the Work, the Architect shall make appropriate recommendations to the Owner to adjust the Project's size, quality, or budget for the Cost of the Work, and the Owner shall cooperate with the Architect in making such adjustments.

§ 6.6 If the Owner's budget for the Cost of the Work at the conclusion of the Construction Documents Phase Services is exceeded by the lowest bona fide bid, the Owner shall

- .1 give written approval of an increase in the budget for the Cost of the Work;
- .2 authorize rebidding or renegotiating of the Project within a reasonable time;
- .3 terminate in accordance with Section 9.5;
- .4 in consultation with the Architect, revise the Project program, scope, or quality as required to reduce the Cost of the Work; or,
- .5 implement any other mutually acceptable alternative.

§ 6.7 If the Owner chooses to proceed under Section 6.6.4, the Architect shall modify the Construction Documents as necessary to comply with the Owner's budget for the Cost of the Work at the conclusion of the Construction Documents Phase Services, or the budget as adjusted under Section 6.6.1. If the Owner requires the Architect to modify the Construction Documents because the lowest bona fide bid or negotiated proposal exceeds the Owner's budget for the Cost of the Work due to market conditions the Architect could not reasonably anticipate, the Owner shall compensate the Architect for the modifications as an Additional Service pursuant to Section 11.3; otherwise the Architect's services for modifying the Construction Documents shall be without additional compensation. In any event, the Architect's modification of the Construction Documents shall be the limit of the Architect's responsibility under this Article 6.

## ARTICLE 7 COPYRIGHTS AND LICENSES

§ 7.1 The Architect and the Owner warrant that in transmitting Instruments of Service, or any other information, the transmitting party is the copyright owner of such information or has permission from the copyright owner to transmit such information for its use on the Project.

§ 7.2 The Architect and the Architect's consultants shall be deemed the authors and owners of their respective Instruments of Service, including the Drawings and Specifications, and shall retain all common law, statutory and other reserved rights, including copyrights. Submission or distribution of Instruments of Service to meet official regulatory requirements or for similar purposes in connection with the Project is not to be construed as publication in derogation of the reserved rights of the Architect and the Architect's consultants.

§ 7.3 The Architect grants to the Owner a nonexclusive license to use the Architect's Instruments of Service solely and exclusively for purposes of constructing, using, maintaining, altering and adding to the Project, provided that the Owner substantially performs its obligations under this Agreement, including prompt payment of all sums due pursuant to Article 9 and Article 11. The Architect shall obtain similar nonexclusive licenses from the Architect's consultants consistent with this Agreement. The license granted under this section permits the Owner to authorize the Contractor, Subcontractors, Sub-subcontractors, and suppliers, as well as the Owner's consultants and separate contractors, to reproduce applicable portions of the Instruments of Service, subject to any protocols established pursuant to Section 1.3, solely and exclusively for use in performing services or construction for the Project. If the Architect rightfully terminates this Agreement for cause as provided in Section 9.4, the license granted in this Section 7.3 shall terminate.

§ 7.3.1 In the event the Owner uses the Instruments of Service without retaining the authors of the Instruments of Service, the Owner releases the Architect and Architect's consultant(s) from all claims and causes of action arising from such uses. The Owner, to the extent permitted by law, further agrees to indemnify and hold harmless the Architect and its consultants from all costs and expenses, including the cost of defense, related to claims and causes of action asserted by any third person or entity to the extent such costs and expenses arise from the Owner's use of the Instruments of Service under this Section 7.3.1. The terms of this Section 7.3.1 shall not apply if the Owner rightfully terminates this Agreement for cause under Section 9.4.

§ 7.4 Except for the licenses granted in this Article 7, no other license or right shall be deemed granted or implied under this Agreement. The Owner shall not assign, delegate, sublicense, pledge or otherwise transfer any license granted herein to another party without the prior written agreement of the Architect. Any unauthorized use of the Instruments of Service shall be at the Owner's sole risk and without liability to the Architect and the Architect's consultants.

§ 7.5 Except as otherwise stated in Section 7.3, the provisions of this Article 7 shall survive the termination of this Agreement.

## ARTICLE 8 CLAIMS AND DISPUTES

### § 8.1 General

§ 8.1.1 The Owner and Architect shall commence all claims and causes of action against the other and arising out of or related to this Agreement, whether in contract, tort, or otherwise, in accordance with the requirements of the binding dispute resolution method selected in this Agreement and within the period specified by applicable law. The Owner and Architect waive all claims and causes of action not commenced in accordance with this Section 8.1.1.

§ 8.1.2 To the extent damages are covered by property insurance, the Owner and Architect waive all rights against each other and against the contractors, consultants, agents, and employees of the other for damages, except such rights as they may have to the proceeds of such insurance as set forth in AIA Document A201-2017, General Conditions of the Contract for Construction. The Owner or the Architect, as appropriate, shall require of the contractors, consultants, agents, and employees of any of them, similar waivers in favor of the other parties enumerated herein.

§ 8.1.3 The Architect and Owner waive consequential damages for claims, disputes, or other matters in question, arising out of or relating to this Agreement. This mutual waiver is applicable, without limitation, to all consequential damages due to either party's termination of this Agreement, except as specifically provided in Section 9.7.

### § 8.2 Mediation

§ 8.2.1 Any claim, dispute or other matter in question arising out of or related to this Agreement shall be subject to mediation as a condition precedent to binding dispute resolution. If such matter relates to or is the subject of a lien arising out of the Architect's services, the Architect may proceed in accordance with applicable law to comply with the lien notice or filing deadlines prior to resolution of the matter by mediation or by binding dispute resolution.

§ 8.2.2 The Owner and Architect shall endeavor to resolve claims, disputes and other matters in question between them by mediation, which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedures in effect on the date of this Agreement. A request for mediation shall be made in writing, delivered to the other party to this Agreement, and filed with the person or entity administering the mediation. The request may be made concurrently with the filing of a complaint or other appropriate demand for binding dispute resolution but, in such event, mediation shall proceed in advance of binding dispute resolution proceedings, which shall be stayed pending mediation for a period of 60 days from the date of filing, unless stayed for a longer period by agreement of the parties or court order. If an arbitration proceeding is stayed pursuant to this section, the parties may nonetheless proceed to the selection of the arbitrator(s) and agree upon a schedule for later proceedings.

§ 8.2.3 The parties shall share the mediator's fee and any filing fees equally. The mediation shall be held in the place where the Project is located, unless another location is mutually agreed upon. Agreements reached in mediation shall be enforceable as settlement agreements in any court having jurisdiction thereof.

§ 8.2.4 If the parties do not resolve a dispute through mediation pursuant to this Section 8.2, the method of binding dispute resolution shall be the following:

*(Check the appropriate box.)*

- [ X ] Arbitration pursuant to Section 8.3 of this Agreement
- [ ] Litigation in a court of competent jurisdiction
- [ ] Other: *(Specify)*

Init.



If the Owner and Architect do not select a method of binding dispute resolution, or do not subsequently agree in writing to a binding dispute resolution method other than litigation, the dispute will be resolved in a court of competent jurisdiction.

### **§ 8.3 Arbitration**

**§ 8.3.1** If the parties have selected arbitration as the method for binding dispute resolution in this Agreement, any claim, dispute or other matter in question arising out of or related to this Agreement subject to, but not resolved by, mediation shall be subject to arbitration, which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Arbitration Rules in effect on the date of this Agreement. A demand for arbitration shall be made in writing, delivered to the other party to this Agreement, and filed with the person or entity administering the arbitration.

**§ 8.3.1.1** A demand for arbitration shall be made no earlier than concurrently with the filing of a request for mediation, but in no event shall it be made after the date when the institution of legal or equitable proceedings based on the claim, dispute or other matter in question would be barred by the applicable statute of limitations. For statute of limitations purposes, receipt of a written demand for arbitration by the person or entity administering the arbitration shall constitute the institution of legal or equitable proceedings based on the claim, dispute or other matter in question.

**§ 8.3.2** The foregoing agreement to arbitrate, and other agreements to arbitrate with an additional person or entity duly consented to by parties to this Agreement, shall be specifically enforceable in accordance with applicable law in any court having jurisdiction thereof.

**§ 8.3.3** The award rendered by the arbitrator(s) shall be final, and judgment may be entered upon it in accordance with applicable law in any court having jurisdiction thereof.

### **§ 8.3.4 Consolidation or Joinder**

**§ 8.3.4.1** Either party, at its sole discretion, may consolidate an arbitration conducted under this Agreement with any other arbitration to which it is a party provided that (1) the arbitration agreement governing the other arbitration permits consolidation; (2) the arbitrations to be consolidated substantially involve common questions of law or fact; and (3) the arbitrations employ materially similar procedural rules and methods for selecting arbitrator(s).

**§ 8.3.4.2** Either party, at its sole discretion, may include by joinder persons or entities substantially involved in a common question of law or fact whose presence is required if complete relief is to be accorded in arbitration, provided that the party sought to be joined consents in writing to such joinder. Consent to arbitration involving an additional person or entity shall not constitute consent to arbitration of any claim, dispute or other matter in question not described in the written consent.

**§ 8.3.4.3** The Owner and Architect grant to any person or entity made a party to an arbitration conducted under this Section 8.3, whether by joinder or consolidation, the same rights of joinder and consolidation as the Owner and Architect under this Agreement.

**§ 8.4** The provisions of this Article 8 shall survive the termination of this Agreement.

## **ARTICLE 9 TERMINATION OR SUSPENSION**

**§ 9.1** If the Owner fails to make payments to the Architect in accordance with this Agreement, such failure shall be considered substantial nonperformance and cause for termination or, at the Architect's option, cause for suspension of performance of services under this Agreement. If the Architect elects to suspend services, the Architect shall give seven days' written notice to the Owner before suspending services. In the event of a suspension of services, the Architect shall have no liability to the Owner for delay or damage caused the Owner because of such suspension of services. Before resuming services, the Owner shall pay the Architect all sums due prior to suspension and any expenses incurred in the interruption and resumption of the Architect's services. The Architect's fees for the remaining services and the time schedules shall be equitably adjusted.

**§ 9.2** If the Owner suspends the Project, the Architect shall be compensated for services performed prior to notice of such suspension. When the Project is resumed, the Architect shall be compensated for expenses incurred in the

interruption and resumption of the Architect's services. The Architect's fees for the remaining services and the time schedules shall be equitably adjusted.

§ 9.3 If the Owner suspends the Project for more than 90 cumulative days for reasons other than the fault of the Architect, the Architect may terminate this Agreement by giving not less than seven days' written notice.

§ 9.4 Either party may terminate this Agreement upon not less than seven days' written notice should the other party fail substantially to perform in accordance with the terms of this Agreement through no fault of the party initiating the termination.

§ 9.5 The Owner may terminate this Agreement upon not less than seven days' written notice to the Architect for the Owner's convenience and without cause.

§ 9.6 If the Owner terminates this Agreement for its convenience pursuant to Section 9.5, or the Architect terminates this Agreement pursuant to Section 9.3, the Owner shall compensate the Architect for services performed prior to termination, Reimbursable Expenses incurred, and costs attributable to termination, including the costs attributable to the Architect's termination of consultant agreements.

§ 9.7  
*(Paragraphs deleted)*  
Reserved.

§ 9.8 Except as otherwise expressly provided herein, this Agreement shall terminate one year from the date of Substantial Completion.

§ 9.9 The Owner's rights to use the Architect's Instruments of Service in the event of a termination of this Agreement are set forth in Article 7 and Section 9.7.

#### **ARTICLE 10 MISCELLANEOUS PROVISIONS**

§ 10.1 This Agreement shall be governed by the law of the place where the Project is located, excluding that jurisdiction's choice of law rules. If the parties have selected arbitration as the method of binding dispute resolution, the Federal Arbitration Act shall govern Section 8.3.

§ 10.2 Terms in this Agreement shall have the same meaning as those in AIA Document A201-2017, General Conditions of the Contract for Construction.

§ 10.3 Neither the Owner nor the Architect shall assign this Agreement without the written consent of the other.

§ 10.4  
Reserved.

§ 10.5 Nothing contained in this Agreement shall create a contractual relationship with, or a cause of action in favor of, a third party against either the Owner or Architect.

§ 10.6 Unless otherwise required in this Agreement, the Architect shall have no responsibility for the discovery, presence, handling, removal or disposal of, or exposure of persons to, hazardous materials or toxic substances in any form at the Project site.

§ 10.7 With the Owner's written approval, the Architect shall have the right to include photographic or artistic representations of the design of the Project among the Architect's promotional and professional materials. The Architect shall be given reasonable access to the completed Project to make such representations. However, the Architect's materials shall not include the Owner's confidential or proprietary information if the Owner has previously advised the Architect in writing of the specific information considered by the Owner to be confidential or proprietary. This Section 10.7 shall survive the termination of this Agreement unless the Owner terminates this Agreement for cause pursuant to Section 9.4.

§ 10.8 Except as required by law, if the Architect or Owner receives information specifically designated as "confidential" or "business proprietary," the receiving party shall keep such information strictly confidential and shall not disclose it to any other person except as set forth in Section 10.8.1. This Section 10.8 shall survive the termination of this Agreement.

§ 10.8.1 The receiving party may disclose "confidential" or "business proprietary" information after 7 days' notice to the other party, when required by law, arbitrator's order, or court order, including a subpoena or other form of compulsory legal process issued by a court or governmental entity, or to the extent such information is reasonably necessary for the receiving party to defend itself in any dispute. The receiving party may also disclose such information to its employees, consultants, or contractors in order to perform services or work solely and exclusively for the Project, provided those employees, consultants and contractors are subject to the restrictions on the disclosure and use of such information as set forth in this Section 10.8.

§ 10.9 The invalidity of any provision of the Agreement shall not invalidate the Agreement or its remaining provisions. If it is determined that any provision of the Agreement violates any law, or is otherwise invalid or unenforceable, then that provision shall be revised to the extent necessary to make that provision legal and enforceable. In such case the Agreement shall be construed, to the fullest extent permitted by law, to give effect to the parties' intentions and purposes in executing the Agreement.

## ARTICLE 11 COMPENSATION

§ 11.1 For the Architect's Basic Services described under Article 3, the Owner shall compensate the Architect as follows:

.1 Stipulated Sum  
(Insert amount)

Nine hundred seventy-one thousand seven hundred seventeen dollars and zero cents (\$971,717.00)

.2 Percentage Basis  
(Insert percentage value)

Non-applicable.

.3 Other  
(Describe the method of compensation)  
Reserved.

§ 11.2  
(Paragraphs deleted)  
Reserved.

§ 11.3 For Additional Services that may arise during the course of the Project, including those under Section 4.2, the Owner shall compensate the Architect as follows:  
(Insert amount of, or basis for, compensation.)

Hourly or via mutually agreed upon Additional Service amount for additional Construction Observation. Will need to be internally determined when additional observation is warranted.

§ 11.4 Compensation Additional Services of the Architect's consultants when not included in Section 11.3, shall be the amount invoiced to the  
(Paragraphs deleted)  
Architect.

§ 11.5 When compensation for Basic Services is based on a stipulated sum or a percentage basis, the proportion of compensation for each phase of services shall be as follows:

Schematic Design Phase	\$0.00	percent (	0	%)
------------------------	--------	-----------	---	----

Init.



Design Development Phase	\$194,343.40	percent (	20	%)
Construction Documents Phase	\$437,272.65	percent (	45	%)
Procurement Phase	\$48,585.85	percent (	5	%)
Construction Phase	\$291,515.10	percent (	30	%)
<hr/>				
Total Basic Compensation		one hundred	percent (	100 %)

§ 11.6 When compensation identified in Section 11.1 is on a percentage basis, progress payments for each phase of Basic Services shall be calculated by multiplying the percentages identified in this Article by the Owner’s most recent budget for the Cost of the Work. Compensation paid in previous progress payments shall not be adjusted based on subsequent updates to the Owner’s budget for the Cost of the Work.

§ 11.6.1 When compensation is on a percentage basis and any portions of the Project are deleted or otherwise not constructed, compensation for those portions of the Project shall be payable to the extent services are performed on those portions. The Architect shall be entitled to compensation in accordance with this Agreement for all services performed whether or not the Construction Phase is commenced.

§ 11.7 The hourly billing rates for services of the Architect and the Architect’s consultants are set forth below. The rates shall be adjusted in accordance with the Architect’s and Architect’s consultants’ normal review practices. *(If applicable, attach an exhibit of hourly billing rates or insert them below.)*

See Attachment ‘B’

Employee or Category	Rate (\$0.00)
----------------------	---------------

**§ 11.8 Compensation for Reimbursable Expenses**

§ 11.8.1 Reimbursable Expenses are in addition to compensation for Basic, Supplemental, and Additional Services and include expenses incurred by the Architect and the Architect’s consultants directly related to the Project, as follows

*(Paragraphs deleted)*

Reimbursable Expenses shall not exceed an amount of \$118,528 and shall be itemized on each Request for Payment by the Architect. Eligible Reimbursable Expense shall include:

*(Paragraphs deleted)*

Geotechnical studies, land survey for design, renderings, printing and copies, travel & vehicle costs, postage, CADD, project management software, construction testing and construction observation.

*(Paragraph deleted)*

§ 11.8.2 For Reimbursable Expenses the compensation shall be the expenses incurred by the Architect and the Architect’s consultants plus zero percent ( 0 %) of the expenses incurred.

**§ 11.9 Architect’s Insurance**

Reserved

**§ 11.10 Payments to the Architect**

**§ 11.10.1 Initial Payments**

§ 11.10.1.1 An initial payment of zero ( \$ 0 ) shall be made upon execution of this Agreement and is the minimum payment under this Agreement. It shall be credited to the Owner’s account in the final invoice.

§ 11.10.1.2 If a Sustainability Certification is part of the Sustainable Objective, an initial payment to the Architect of zero ( \$ 0 ) shall be made upon execution of this Agreement for registration fees and other fees payable to the Certifying Authority and necessary to achieve the Sustainability Certification. The Architect’s payments to the Certifying Authority shall be credited to the Owner’s account at the time the expense is incurred.

## § 11.10.2 Progress Payments

§ 11.10.2.1 Unless otherwise agreed, payments for services shall be made monthly in proportion to services performed.

*(Paragraphs deleted)*

Provided that an invoice is received by the 10<sup>th</sup> day of a month, the Owner will make payment not later than the 10<sup>th</sup> day of the following month. If an invoice for payment is received by the Owner after the date fixed above, payment will be made by the Owner not later than sixty (60) calendar days after the Owner received the invoice.

## § 11.10.2.2 Reserved

§ 11.10.2.3 Records of Reimbursable Expenses, expenses pertaining to Supplemental and Additional Services, and services performed on the basis of hourly rates shall be available to the Owner at mutually convenient times.

## ARTICLE 12 SPECIAL TERMS AND CONDITIONS

Special terms and conditions that modify this Agreement are as follows:

*(Include other terms and conditions applicable to this Agreement.)*

**12.1 Conflict of Interest.** Architect, by agreeing to provide work, services or materials, thereby attest that it has no direct or indirect pecuniary or proprietary interest, and that it shall not acquire any interest which conflicts in any manner or degree with the work required to be performed and/or provided under this Agreement, and that it shall not employ any person or agent having any such interest. In the event that Architect, its agents, employees or representatives hereafter acquire such a conflict of interest. Architect shall immediately disclose such interest to Owner and immediately take action to eliminate the conflict or to withdraw from this Agreement, as Owner may require.

**12.2 Contingent Fees and Gratuities.** Architect, by agreeing to provide work, services or materials, thereby attest that no person or selling agent except bona fide employees or designated agents or representative of Architect has been employed or retained to solicit or secure this Agreement with an understanding that a commission, percentage, brokerage, or contingent fee would be paid; and not gratuities in the form of entertainment, gifts or otherwise were offered or given by Architect or any of its agents, employees or representatives, to any official, member of employee of Owner or other governmental agency with a view toward securing this Agreement or securing favorable treatment with respect to the awarding or amending, or the making of any determination with respect to the performance of this Agreement.

**12.3 Freedom of Information (FOIA).** Access to Owner's government records if governed by the Illinois Freedom of Information Act (5 ILCS 140/1). The Architect acknowledges that Owner is subject to the requirements of the FOIA and shall assist and cooperate with Owner to enable Owner to comply with its information disclosures obligations. The Architect shall not charge Owner for reasonable cost associated with freedom of information request.

**12.4 Civil Rights Requirements.** Architect shall comply with the civil rights laws pertaining to nondiscrimination and equal employment opportunity as required by 42 U.S.C § 200d and e et seq. The Owner agrees that it will not discriminate against any employee or applicant for employment because of race, color, religion, national origin, disability, age, sex, sexual orientation, gender identify, or status as a parent.

**12.5 Drug and Alcohol Policy.** Architect shall maintain a drug and alcohol-free workplace environment to secure worker safety and workplace integrity.

**12.6 Organizational Conflict of Interest.** Unless specifically exempted from the conditions of this provision by Owner, any Owner contractor, subcontractor, subsidiary, or other entity which is legally related and which develops or drafts specifications, requirements, statement of work, or solicitations, will be excluded from competing for the directly ensuing procurement.

**12.7 Notices.** Written notice under this Agreement may be given by one party to the other by delivery in person or via certified mail. Courtesy copies may be sent electronically.

**ARTICLE 13 SCOPE OF THE AGREEMENT**

§ 13.1 This Agreement represents the entire and integrated agreement between the Owner and the Architect and supersedes all prior negotiations, representations or agreements, either written or oral. This Agreement may be amended only by written instrument signed by both the Owner and Architect.

§ 13.2 This Agreement is comprised of the following documents identified below:

- .1 AIA Document B101™–2017, Standard Form Agreement Between Owner and Architect

*(Paragraphs deleted)*

[ X ] Other Exhibits incorporated into this Agreement:  
*(Clearly identify any other exhibits incorporated into this Agreement, including any exhibits and scopes of services identified as exhibits in Section 4.1.2.)*

Attachment A: Estimated Cost of Work

Attachment B: Schedules of Hourly Rates

- .4 Other documents:  
*(List other documents, if any, forming part of the Agreement.)*

This Agreement entered into as of the day and year first written above.



\_\_\_\_\_  
**OWNER** *(Signature)*

SJ Morrison Managing Director  
*(Printed name and title)*

\_\_\_\_\_  
**ARCHITECT** *(Signature)*

Calvin C. Morris, AIA, NCARB; Principal-In-Charge  
*(Printed name, title, and license number, if required)*

Cost of Work  
10/20/2020

Division	Description	Holland Estimate	Reductions	Revised Estimate	Building	Base Security	Fence/Gates
DIV 1	General Conditions .....	1,110,171.00	(11,500)	1,098,671.00	824,003.25	164,800.65	109,867.10
DIV 1	BIM .....	25,800.00	(25,800)	-	-	-	-
DIV 2	Existing Conditions .....	78,975.00	(45,200)	33,775.00	27,020.00	6,755.00	-
DIV 3	Concrete Work .....	310,784.00		310,784.00	310,784.00		
DIV 4	Masonry Work .....	63,420.00		63,420.00	63,420.00		
DIV 5	Structural/Misc Steel .....	512,644.00		512,644.00	512,644.00		
DIV 6	Carpentry Work .....	209,176.00		209,176.00	209,176.00		
DIV 7	Thermal-Moisture Protect .....	541,787.00		541,787.00	541,787.00		
DIV 8	Doors & Windows .....	832,199.00		832,199.00	832,199.00		
DIV 9	Finishes .....	1,061,946.00		1,061,946.00	1,061,946.00		
DIV 10	Specialties .....	201,858.00	(19,500)	182,358.00	182,358.00		
DIV 11	Equipment .....	125,202.00		125,202.00	125,202.00		
DIV 12	Furnishings .....	49,837.00		49,837.00	49,837.00		
DIV 13	Special Construction .....	23,936.00		23,936.00	23,936.00		
DIV 14	Conveying Systems .....	103,000.00		103,000.00	103,000.00		
DIV 21	Fire Suppresion .....	156,600.00		156,600.00	156,600.00		
DIV 22	Plumbing Work .....	217,700.00		217,700.00	217,700.00		
DIV 23	HVAC Work .....	793,300.00		793,300.00	793,300.00		
DIV 26	Electrical Work .....	992,764.00		992,764.00	893,487.60	99,276.40	
Faith Group DIV 27	Communications (VSS/ACS) .....	1,146,661.00		1,146,661.00	378,398.13	768,262.87	
Faith Group DIV 28	Electronic Safety & Security .....	738,500.00		738,500.00	184,625.00	553,875.00	
DIV 31	Earthwork .....	297,673.00		297,673.00	297,673.00		
DIV 32	Exterior Improvements .....	321,062.00		321,062.00	321,062.00		
Fence/Gates DIV 32	Site Improvements .....	1,071,449.00		1,071,449.00	178,325.00		893,124.00
DIV 33	Utilities .....	201,408.00		201,408.00	201,408.00		
	Rounding Error .....	2.00		2.00	2.00	-	-
		11,187,854.00	(102,000.00)	11,085,854.00	8,489,892.98	1,592,969.92	1,002,991.10
	Market Contingency 2.0%	223,757.08		221,717.08	169,797.86	31,859.40	20,059.82
		11,411,611.08		11,307,571.08	8,659,690.84	1,624,829.32	1,023,050.92
	Construction Contingency 3.0%	342,348.33		339,227.13	259,790.73	48,744.88	30,691.53
		11,753,959.41		11,646,798.21	8,919,481.56	1,673,574.20	1,053,742.45
	Contractor Overhead & Profit 5.0%	587,697.97		582,339.91	445,974.08	83,678.71	52,687.12
		12,341,657.38		12,229,138.12	9,365,455.64	1,757,252.91	1,106,429.57
	Owner FF&E	735,200.00		735,200.00	735,200.00	-	-
	<b>Total</b>	<b>13,076,857.38</b>		<b>12,964,338.12</b>	<b>10,100,655.64</b>	<b>1,757,252.91</b>	<b>1,106,429.57</b>



## 2020 Hourly Standard Rates

AAIC inc.

<u>POSITION</u>		<u>Rate</u>
Principal	\$	200.00
Sr. Project Manager	\$	175.00
Project Manager	\$	150.00
Project Architect	\$	135.00
Architect/Project Manager	\$	130.00
Architect	\$	110.00
Project Designer	\$	125.00
Architectural Technician III	\$	100.00
Architectural Technician II	\$	80.00
Architectural Technician I	\$	60.00
Administrative	\$	75.00
Observation	\$	125.00

One Design Mesa

Collinsville, Illinois

62234

618-345-1270

fax 618-345-1282

[www.aaicinc.com](http://www.aaicinc.com)

*architects*

*interiors*

*planners*





**2020 STANDARD HOURLY RATES - SMEPT/Cx**  
**(rates adjusted annually)**

Client Executive / Market Director	\$250
Project Executive	\$225
<hr/>	
Senior Engineer Technical Specialist	\$210
Senior Engineer III	\$200
Senior Engineer II	\$185
Senior Engineer	\$160
Project Engineer II	\$150
Project Engineer	\$130
Engineer	\$120
<hr/>	
Senior Designer Technical Specialist	\$190
Senior Designer III	\$180
Senior Designer II	\$165
Senior Designer	\$150
Project Designer II	\$140
Project Designer	\$130
Designer IV	\$120
Designer III	\$115
Designer II	\$110
Designer	\$100
<hr/>	
Sr. Commissioning Authority/Engineer	\$185
Project Commissioning Authority/Engineer	\$140
Commissioning Authority/Engineer	\$120
<hr/>	
Senior Construction Administrator	\$155
Construction Administrator	\$125
<hr/>	
Senior Virtual Design Coordinator	\$105
Virtual Design Coordinator	\$100
Virtual Design Technician	\$85
Administrative Assistant	\$75
<hr/>	

Exhibit A

**CURRENT SCHEDULE OF HOURLY RATES**

Professional VIII	175.00
Professional VII	165.00
Professional VI	140.00
Professional V	127.00
Professional IV	117.00
Professional III	104.00
Professional II	95.00
Professional I	81.00
Technician V	100.00
Technician IV	82.00
Technician III	73.00
Technician II	60.00
Technician I	55.00
Aide	42.00
Clerical	63.00

\* Our most common survey crew consists of one (1) Technician V and one (1) Technician III. The Licensed Professional Surveyor is classified as a Professional VII.

If the assignment requires payment of premium for overtime hours, these rates will be increased by 50% for those overtime hours.

**REIMBURSABLE EXPENSES**

Boat Rental	10.00/hr.	Personal Vehicle-Mileage	0.58/mi.
Computer/CADD	10.00/hr.	Photocopies, black & white	0.15 each
Computer/Word Proc.	5.00/hr.	Photocopies, color, 8 ½ x 11	0.75 each
Concrete Monuments	17.00 each	Photocopies, color, 8 ½ x 14	1.00 each
Company Vehicle	10.00/hr.	Photocopies, color, 11 x 17	1.50 each
Fence Posts	7.00 each	Photocopy Prints	0.30/s.f.
Gator	10.00/hr.	Postage	Actual Cost
Misc. Costs & Rental Fees	Actual Cost	PVC Pipe – 5 foot	4.00 each
Mylar	4.00/s.f.	Rebar	3.00 each
Outside Services	Actual + 10%	Robotic Total Station	50.00/hr.
Per Diem (Per day per man)	\$125.00	Surveying Instrument	8.00/hr.
Paint	\$ 5.00 each	Wood Stakes	0.75 each

Non-salary costs of authorized travel per diem outside the St. Louis metropolitan area, fees associated with permits, the recording of documents, "express mail" and other direct expenses of items requested by the Client will be billed at cost.

This schedule is subject to reasonable change without prior notice. In any event, this schedule will expire and be superseded by a new schedule annually.

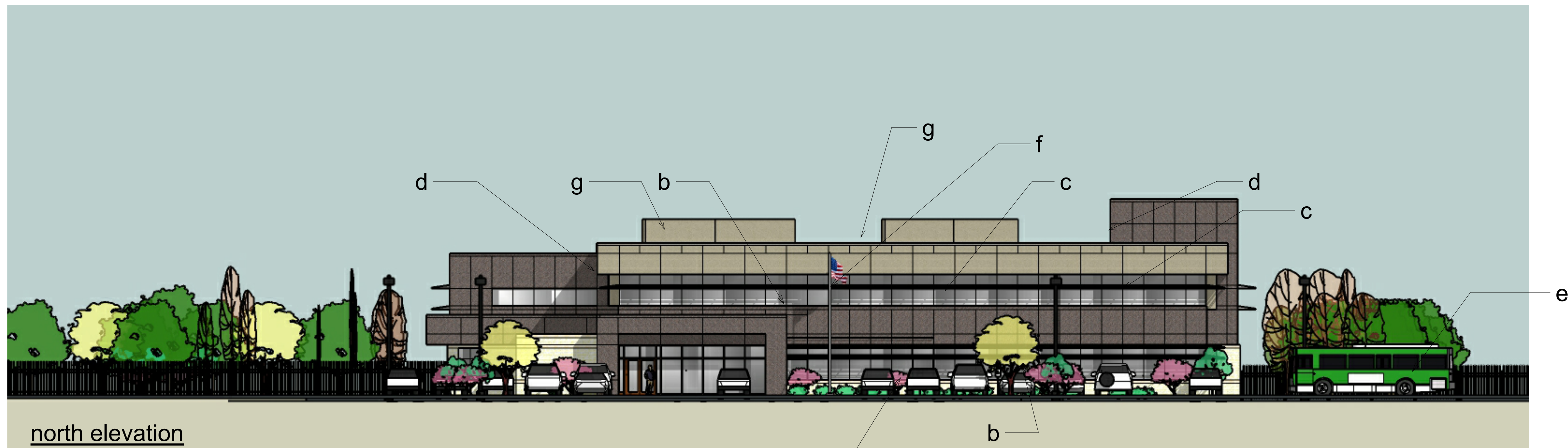
Revised 7/1/19



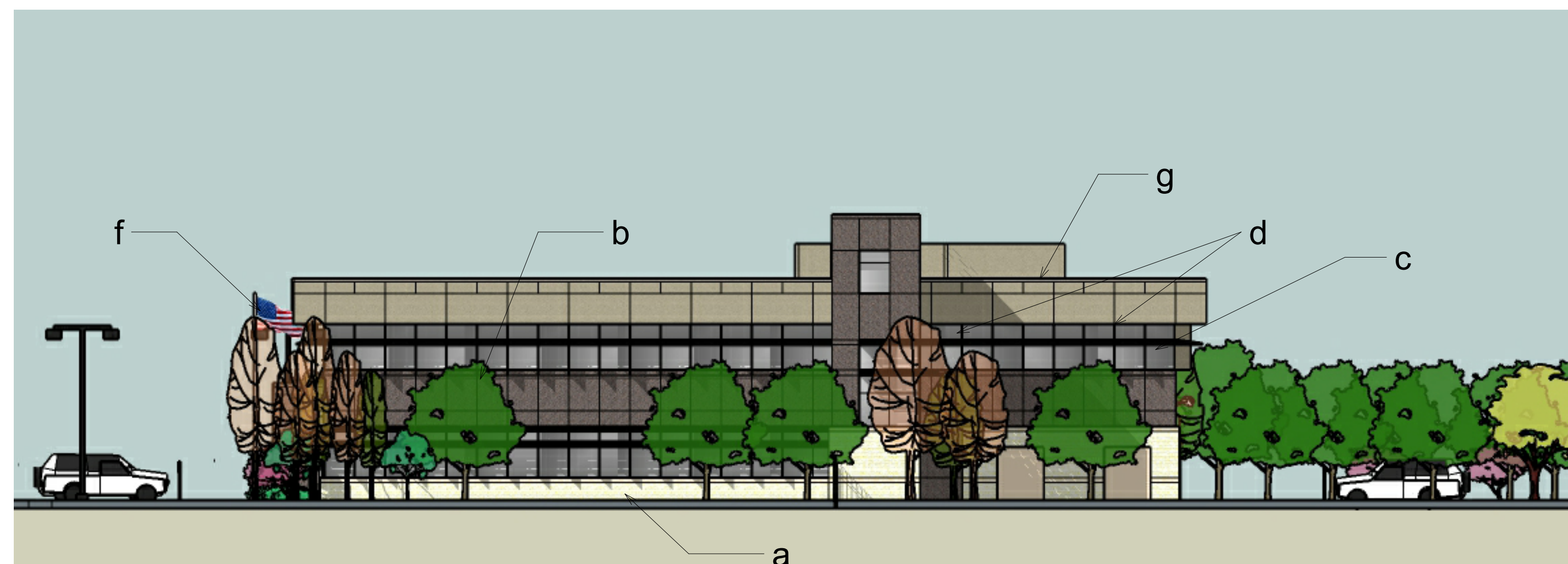
**ATTACHMENT A  
FAITH GROUP, LLC  
Standard Rate Schedule  
Madison County Transit  
Valid till 12-31-2021**

<b>Staff Position</b>	<b>2021</b>
Sr. Project Manager	\$225
Consultant	\$205
Director	\$195
Design Manager	\$185
Sr. Engineer	\$175
Project Manager	\$160
Sr. Designer	\$150
Commissioning Agent	\$140
Engineer	\$135
Designer	\$125
BIM Manager	\$115
CADD/Revit Technician	\$95
Project Coordinator	\$85
Administrator	\$65

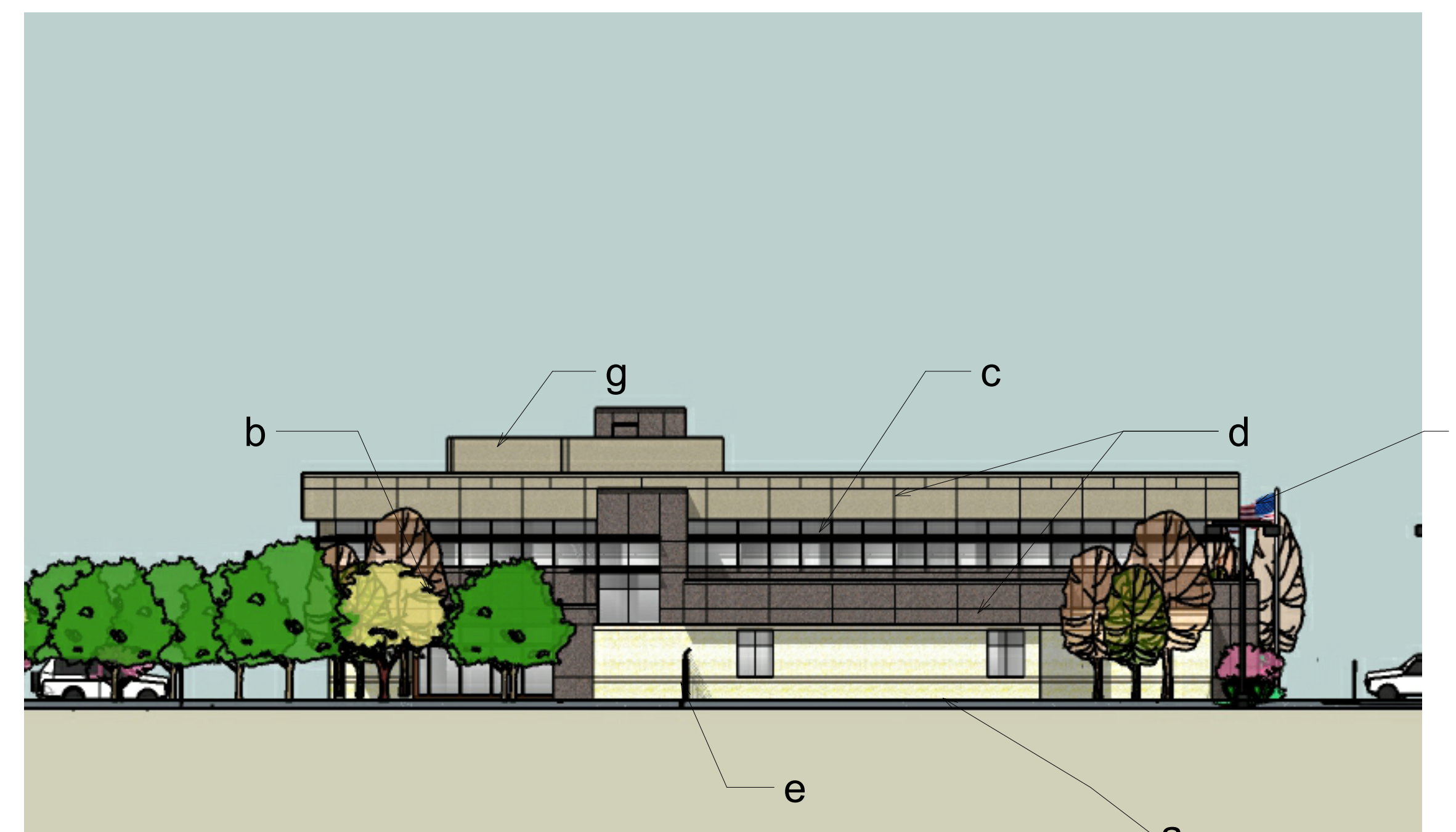




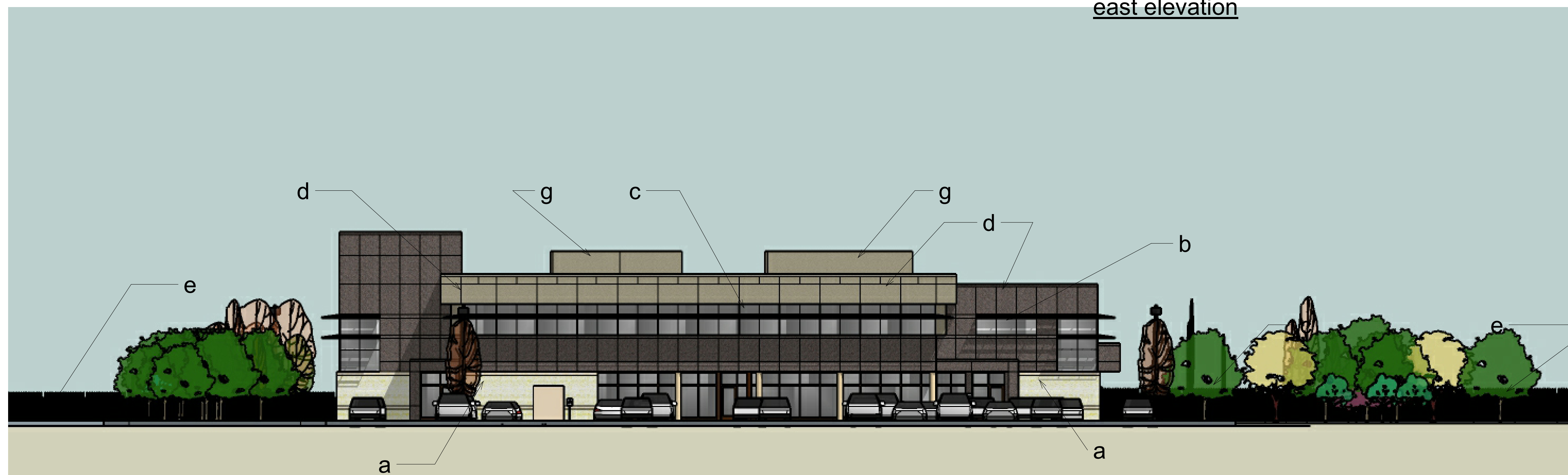
north elevation



west elevation



east elevation



south elevation

- legend
- a - masonry
  - b - curtain wall
  - c - sun shade
  - d - architectural cladding
  - e - fence
  - f - flag pole
  - g - mech. screens

REVISIONS:	REMARKS:
NO.:	DATE:

CONSULTANTS:

MCT OFFICE FACILITY

Owner: Enter address here

DRAWING: Unnamed

SIGNED: XX-XX-XX

EXPIRES: 00-00-00

DATE: 5/07/2020

DRAWN: Designer	APP: Approver
CHECK: Checker	APP: Approver

PROJECT PHASE: Schematic Design

AAIC PROJECT NUMBER: 19012

SHEET NUMBER: A103

OF XX SHEETS

COPYRIGHT 2019 BY AAIC, INC.

6/19/2020 3:34:48 PM



### Department Legend

- ADMINISTRATION
- COMMUNICATIONS
- EXPANSION
- MIS
- PUBLIC SUPPORT
- SUPPORT SPACE

LOWER LEVEL - 14,084 S.F.  
 UPPER LEVEL - 12,668 S.F.  
 26,752 S.F.



① Level 1  
 1/16" = 1'-0"



**Owner**  
**MCT OFFICE FACILITY**

No.	Description	Date

Lower Level Floor Plan		A101
Project number	19012	
Date	4/23/2020	
Drawn by	Author	
Checked by	Checker	
Scale		1/16" = 1'-0"

### Department Legend

- ACCOUNTING
- ENGINEERING
- EXECUTIVE SUITE
- MIS
- PROCUREMENT
- SUPPORT SPACE



① Level 2  
1/16" = 1'-0"



**Owner**  
**MCT OFFICE FACILITY**

No.	Description	Date

### Upper Level Floor Plan

Project number	19012	<b>A102</b>
Date	4/23/2020	
Drawn by	Author	
Checked by	Checker	
		Scale 1/16" = 1'-0"

## RESOLUTION 21-12

### AUTHORIZING THE SUBMITTAL OF AN APPLICATION FOR AN ILLINOIS TRANSPORTATION ENHANCEMENT PROGRAM GRANT FOR THE INSTALLATION OF A GRADE SEPARATION AT IL-111 AND MCT SCHOOLHOUSE TRAIL

**WHEREAS**, the Madison County Mass Transit District (District) was created in December 1980 by resolution of the Madison County Board pursuant to Section 3 of the Local Mass Transit District Act, approved July 21, 1959, as amended (70 ILCS 3610/1 et. seq.); and,

**WHEREAS**, the Illinois Department of Transportation is now accepting applications for the 2020 Illinois Transportation Enhancement Program (ITEP) with a two million dollar (\$2,000,000) maximum per project; and,

**WHEREAS**, qualifying projects may receive up to eighty percent (80%) reimbursement for preliminary engineering, utility relocations, construction engineering, and construction cost; and,

**WHEREAS**, the District proposes to design and award a construction project that is estimated to cost 4.5 million dollars (\$4,500,000) to construct a bridge over IL-111 for the MCT Schoolhouse Trail near the intersection of Horseshoe Lake Road to enhance and improve bicyclist and pedestrian safety; and,

**NOW, THEREFORE**, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE MADISON COUNTY MASS TRANSIT DISTRICT THAT:

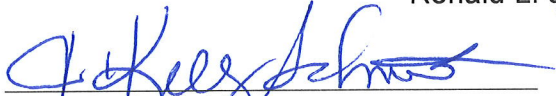
1. Madison County Mass Transit District file an application with the Illinois Department of Transportation to obtain Illinois Transportation Enhancement Program (ITEP) funds not to exceed the amount of 4.5 million dollars (\$4,500,000) to construct a bridge over IL-111 for the MCT Schoolhouse Trail near the intersection of Horseshoe Lake Road to enhance and improve pedestrian safety.
2. Upon approval of its application, the Madison County Mass Transit District Capital Budget line item shall be increased by an amount equivalent to the approved grant award revenues and project cost.
3. Upon approval of its application, the Madison County Mass Transit District shall increase its Assigned Fund Balance by the percent required of the contract award necessary to meet the grant requirements.
4. Ronald L. Jedda, Chairman, J. Kelly Schmidt, Vice Chairman, and/or SJ Morrison, Managing Director, of the Madison County Mass Transit District, are hereby authorized and directed to take all action necessary to execute, complete, and perform all obligations associated with the proposed obligation of funds, and perform all obligations associated with any procurement actions and resulting contracts, furnish such additional information as may reasonably be required in connection with the aforesaid actions, and to take any and all such further actions as are necessary and appropriate, including any and all change orders and/or amendments, on behalf of and in a manner most beneficial to the Madison County District.

**ADOPTED** by the Madison County Mass Transit District, Madison County, Illinois, on this twenty-ninth day of October 2020.



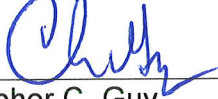
---

Ronald L. Jedda, Chairman



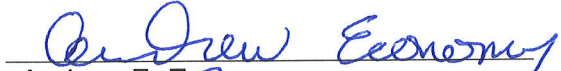
---

J. Kelly Schmidt




---

Christopher C. Guy



---


Andrew F. Economy



---

Allen P. Adomite

APPROVED as to Form:



---

Tonya Genovese, Legal Counsel

## CERTIFICATE

I, Summer Moore, do hereby certify that I am the fully qualified and acting Secretary of the Board of Trustees of the Madison County Mass Transit District, and as such Secretary, I am the keeper of the records and files of the Madison County Mass Transit District.

I do further certify that at a duly constituted and legally convened meeting of the Board of Trustees of the Madison County Mass Transit District held on Thursday, October 29, 2020, a resolution was adopted in full accordance and conformity with the by-laws of the Madison County Mass Transit District and the statutes of the State of Illinois, as made and provided, and that the following is a full, complete, and true copy of the pertinent provisions of said Resolution.

**NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE MADISON COUNTY MASS TRANSIT DISTRICT THAT:**

1. Madison County Mass Transit District file an application with the Illinois Department of Transportation to obtain Illinois Transportation Enhancement Program (ITEP) funds not to exceed the amount of 4.5 million dollars (\$4,500,000) to construct a bridge over IL-111 for the MCT Schoolhouse Trail near the intersection of Horseshoe Lake Road to enhance and improve pedestrian safety.
2. Upon approval of its application, the Madison County Mass Transit District Capital Budget line item shall be increased by an amount equivalent to the approved grant award revenues and project cost.
3. Upon approval of its application, the Madison County Mass Transit District shall increase its Assigned Fund Balance by the percent required of the contract award necessary to meet the grant requirements.
4. Ronald L. Jedda, Chairman, J. Kelly Schmidt, Vice Chairman, and/or SJ Morrison, Managing Director, of the Madison County Mass Transit District, are hereby authorized and directed to take all action necessary to execute, complete, and perform all obligations associated with the proposed obligation of funds, and perform all obligations associated with any procurement actions and resulting contracts, furnish such additional information as may reasonably be required in connection with the aforesaid actions, and to take any and all such further actions as are necessary and appropriate, including any and all change orders and/or amendments, on behalf of and in a manner most beneficial to the Madison County District.

I further certify that the original of the complete said resolution is on file in the records of the Madison County Mass Transit District in my custody. I do further certify that the foregoing Resolution remains in full force and effect.

IN WITNESS WHEREOF, I have hereunto affixed my official signature as Secretary of the Madison County Mass Transit District on this twenty-ninth day of October 2020.

  
\_\_\_\_\_

Application for  
Illinois Transportation Enhancement Program (ITEP)  
Cycle 14 (2020)

MADISON COUNTY MASS  
TRANSIT DISTRICT

IL-111 AND  
SCHOOLHOUSE TRAIL  
GRADE SEPARATION

*(ITEP NO. XXXXX)*

Submitted by  
Madison County Mass Transit District  
November 2020



## DRAFT General Project Information

<b>Submittal Date:</b>	10/15/2020	<b>ITEP#</b>	DRAFT - DO NOT SUBMIT
		<b>GATA Registration Number:</b>	672232
<b>Project Sponsor:</b>	Madison County Mass Transit District	<b>Project Title:</b>	IL-111 and Schoolhouse Trail Grade Separation
<b>IDOT District Number(s)</b>	8	<b>Metropolitan Planning Organization (MPO)</b>	
<b>Mayoral Council(s):</b>	N/A	<b>Located In:</b>	Granite City
<b>County(ies):</b>	Madison	<b>Transportation Management Area:</b>	EWGCOG (East- West Gateway Council of Governments)
<b>Congressional District(s):</b>	12-Mike Bost		
<b>Representative:</b>	112-Katie Stuart		
<b>Senate:</b>	56-William R. Haine		



## Sponsor Information

**Agency Type:** Other

**Sponsor:** Mass Transit District

**Project Sponsor:** Madison County Mass Transit District

### Contact Person Details

**Position Title:** Managing Director

**Salutation:** Mr.

**First Name:** Steven

**M.I.:** J

**Last Name:**

Morrison

**Address:** One Transit Way

**City:** Granite City

**Zip:** 62040

**Phone Number:** (618) 797-4600  
**Ext:**

**Fax:** (618) 797-6273

**Email**

**Address:**

smorrison@mct.org

**Co-Sponsor(s):**

### Mayor/Village President Details

**Position Title:** Managing Director

**Salutation:** Mr.

**First Name:** Steven

**M.I.:** J

**Last Name:**

Morrison

**Address:** One Transit Way

**City:** Granite City

**Zip:** 62040

**Phone Number:** (618) 797-4600  
**Ext:**

**Fax:** (618) 797-6273

**Email**

**Address:**

smorrison@mct.org

## Project Category

### Pedestrian/Bicycle Facilities

- Facilitates transportation from one destination to another
- Included in a local, regional or statewide plan

## Project Costs

**Cost Estimates Prepared By:** Michelle Spillers

**Phone No:** (618) 345-2200

**Agency/Firm:** Oates Associates Inc.

Type of Work	Federal Share	Sponsor Share (Local Match)	Ineligible Items	Totals
Preliminary Engineering I	\$0	\$251,000	\$0	\$251,000
Preliminary Engineering II	\$0	\$287,000	\$0	\$287,000
Right-of-Way Acquisition (50/50)	\$0	\$0	\$0	\$0
Street Lighting (50/50)	\$0	\$0	\$0	\$0
Utility Relocations	\$0	\$0	\$0	\$0
Construction	\$2,000,000	\$1,582,000	\$0	\$3,582,000
Construction Engineering	\$0	\$358,000	\$0	\$358,000
Total Project Costs	\$2,000,000	\$2,478,000	\$0	\$4,478,000

**Do you qualify for credits under the Local Agency Federal Flexible Match Program?(Refer to Section C and Appendix 6 of the Guidelines Manual.)**

- Yes  
 No

**Anticipated Eligible Amount:**

**If you have or will be applying for funding through other programs or state agencies for the proposed enhancement project or for the sponsor match, please provide the following information: the agency, type of program and amount of funds.**

Illinois Dept of Transportation-Highway Safety Improvement Program in Spring of 2020, application did not receive funding. We asked for \$3,597,000 of State Funds.

## Project Description

### **Provide a brief description of the project:**

This project will create a grade separation bridge structure over IL 111 for the MCT Schoolhouse Trail. Currently users of the trail must proceed 600' north to the intersection of Horseshoe Lake Road to wait for the pedestrian crosswalk. A number of pedestrian/cyclist accidents have occurred at this intersection in the last 7 years, including one fatality. By constructing the bridge, these types of accidents will be eliminated.

### **Project Relationship to Surface Transportation:**

The Madison County Trail Network is widely used by all residents of Madison County and surrounding counties to access recreational areas, municipal and civic buildings, and schools. This particular section of trail is more widely used by recreational pedalcyclists and walking and running pedestrians.

### **Project Location:**

The Schoolhouse trail is located 600' south of the intersection of IL 111 and Horseshoe Lake Road. The trail runs up Horseshoe Lake Road to utilize the pedestrian crossing at the south leg to permit crossing of IL 111 and then returns south down Horseshoe Lake Road to continue on the abandoned railroad right of way of Schoolhouse Trail. The project is located just to the east of the corporate boundaries of Granite City, Illinois.

### **Project Limits:**

The project limits are 1200 feet west of IL 111 along the Schoolhouse Trail to 1000 feet east of IL 111 on Schoolhouse Trail. The northern limits will extend up to the Horseshoe Lake Drive intersection in order to provide new connections for the trail to the shoulder of IL 111.

### **Project Length:**

2400 feet.

### **Project Scope Of Work:**

The grade separation project will involve earthwork, trail removal, trail construction, bridge construction, signage, and trail markings.

### **Anticipated Benefits:**

This project will increase safety for pedestrians and cyclists and allow them to cross IL 111 without interaction with the motoring public. This project will also make motoring public trips safer as well as they will not have to worry about pedestrians and cyclists crossing the road in front of them. This project will make the Schoolhouse Trail very desirable for recreational users as this project is the second to last major road that the trail has to cross. The rest of the trail is separated from the motoring public at all locations except for one at IL 157.

### **Describe how the project will improve safety for transportation facility users:**

In the past 7 years, 62 crashes have occurred at the intersection of IL 111 and Horseshoe Lake Road. One of these accidents resulted in the death of a cyclist. IL 111 has an ADT of 6,750 and Horseshoe Lake Rd has an ADT of 8,900 and they are posted at 55 mph. The speed and volumes at this intersection increase the risk and severity of crashes at this location. Implementation of the proposed countermeasure will increase safety for both the cyclists and the motoring public by separating them.

## Project Status

### Project Relationship

**What relationship does your project have with other planned improvements?**

- Project is a stand-alone project
- Project is proposed to be developed and implemented with another project
- Project is a part of a larger project (not being implemented at the same time)
- Project is an extension of a completed project
- Project completes a previously ITEP-funded project

**Have funds from the ITEP been previously committed to the proposed enhancement project?**

- Yes
- No

### Amount Received:

*(if yes, list amount of funds and provide explanation for resubmittal.)*

### Description:

**Have funds from the ITEP been previously committed to any projects related to the proposed enhancement project?**

- Yes
- No

### Amount Received:

### Description:

**What is the predicted usage of the facility?**

By pedestrians and cyclists for recreation.

**For projects that involve buildings such as a historic train depot, please identify who will own and operate the facility.**

N/A

### Land Acquisition and Easements

*(All applications must have a Right-of-Way/Easement Status box marked)*

- No additional right-of-way or easements are required for project construction
- Right-of-way or easements will be required. (Please answer the following if this box is checked.)

**Who currently owns the property to be acquired or leased?**

Illinois Department of Transportation

**How much property will be purchased or leased? (List by acreage / number of parcels / Square footage as appropriate)**

31,000 square feet

### Project Readiness

- PE I is under way
- PE I has been completed (PDR submitted)
- PE II is under way
- PE II has been completed
- Plans have been approved by appropriate agency

- All ROW secured (if there are parcels not yet secured, do not check box)  
 Local match has been secured

**If PE1 has been completed, please enter Project Development Report (PDR) approval date/information**

**When do you anticipate construction to begin? (Refer to Sunset Clause - Section J)**

**Month:** May **Year:** 2022

**Public Involvement**

**Do you have a governmental resolution in support of the project?**

- Yes  
 No

**Have public meetings been held about this project?**

- Yes  
 No

**Are there any adverse impacts?**

- Yes  
 No

**Does this project have a statement(s) of support or letters of recommendation?**

- Yes  
 No

## Project Maintenance Plantenance Plan

**IDOT requires a maintenance agreement to be included in all enhancement project agreements. To demonstrate project readiness, the following questions must be answered.**

**Do you currently have a maintenance plan for this project?**

- Yes  
 No

**Do you currently have the proper equipment to provide needed maintenance?**

- Yes  
 No

**Will maintenance be provided by municipal employees or volunteers?**

- Municipal Employees  
 Volunteers  
 Others (please explain)

Madison County Transit District Employees

**How is the maintenance plan funded?** Funds appropriated on an annual basis

**How many years does the maintenance plan cover?** Perpetuity

## Index of Exhibits

- 1. Location Map and Concept Plan**
- 2. Photos**
- 3. Estimate of Cost**
- 4. Resolution**
- 5. Local Assurance**
- 6. Letters of Support**
- 7. Public Involvement**
- 8. GATA Documents**
  - a. Uniform Application for State Grant Assistance**
  - b. Uniform Grant Budget Template**
  - c. Uniform Grant Agreement Affidavit of Disclosure of Conflicts Interest-Grantee**
  - d. Programmatic Risk Assessment Questionnaire FY20**

# **Exhibit 1**

## **Location Map & Concept Plan**



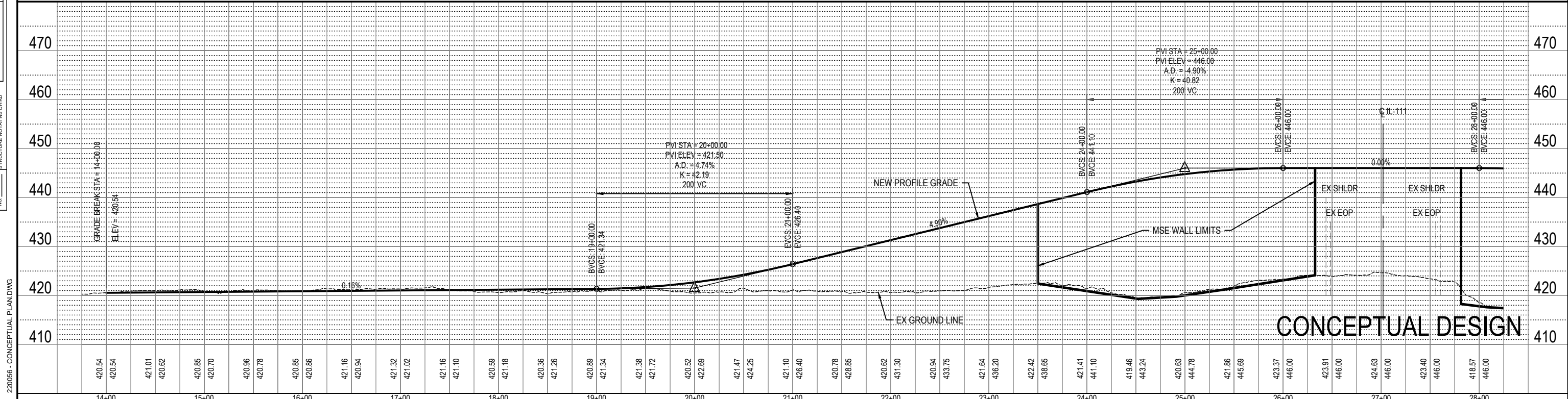
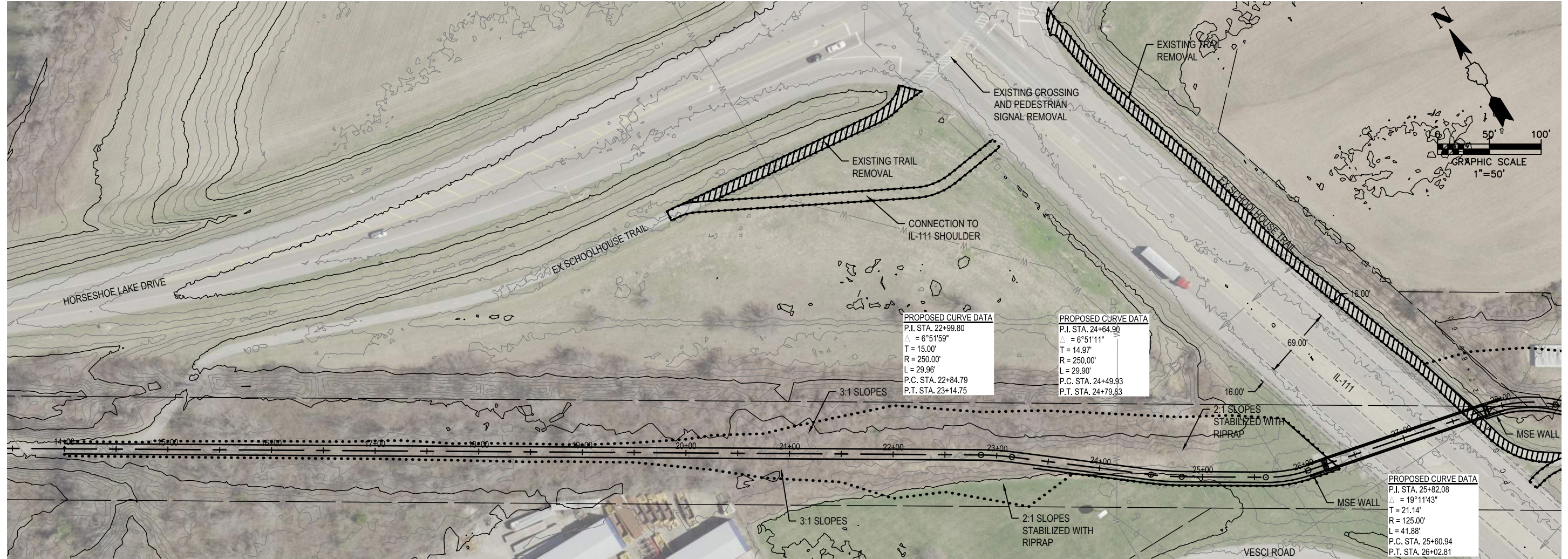






PLAN	SURVEYED	DATE
	PLOTTED	BY
	CHECKED	
	AT/OFWAY CHECKED	
	NO. _____	
	NO. _____	

PROFILE	SURVEYED	DATE
	PLOTTED	BY
	CHECKED	
	STRUCTURE NOTATIONS CHECKED	
	NO. _____	
	NO. _____	



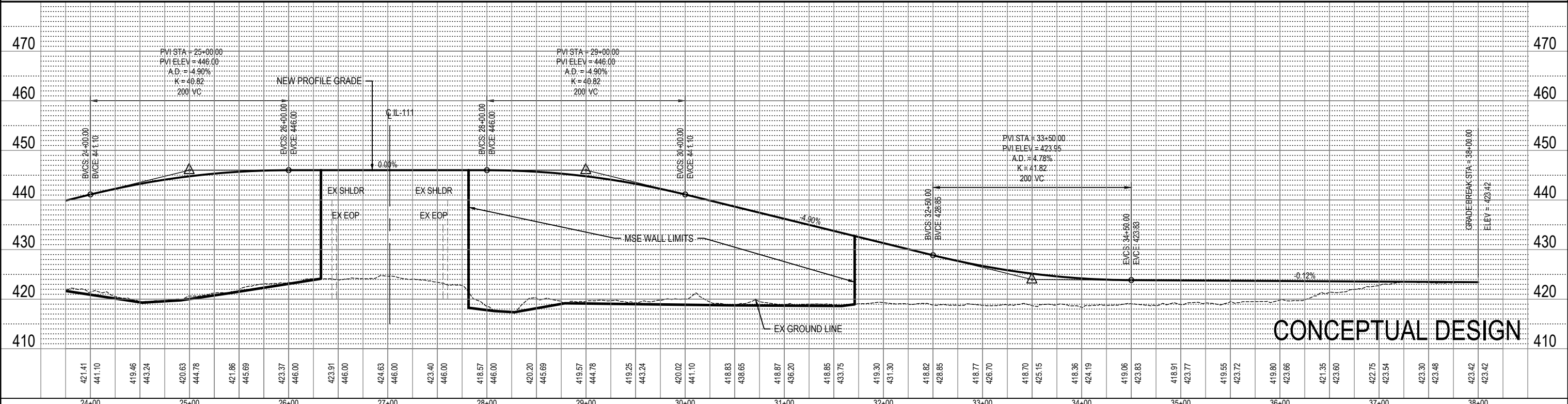
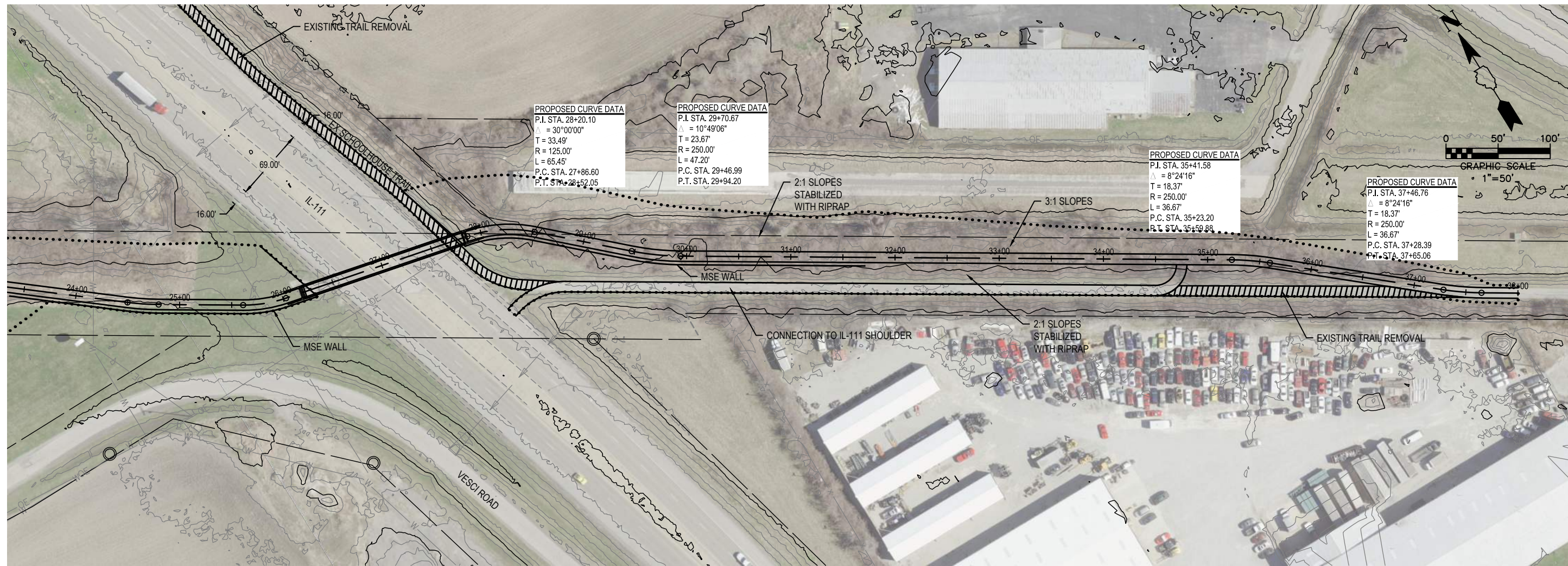
FILE NAME = 220056 - CONCEPTUAL PLAN.DWG

	USER NAME = MICHELLE SPILLERS	DESIGNED -	REVISED -		<b>PLAN &amp; PROFILES</b>	F.A.U. RTE. SECTION COUNTY TOTAL SHEETS SHEET NO. MADISON 2
	PLOT SCALE = 1" = 1'	DRAWN -	REVISED -			
ILLINOIS DESIGN FIRM LICENSE NO.: 184.001115	PLOT DATE = 2020-08-26	CHECKED -	REVISED -	SCALE:	SHEET NO. xxx OF xxx SHEETS	STA. TO STA.
SCHOOLHOUSE TRAIL OVER IL-111 MADISON COUNTY TRANSIT						



DATE	
BY	
PLAN	
NO.	
NOTE BOOK	
NO.	
SURVEYED	
PLOTTED	
CHECKED	
AT	
DATE	
FILE NAME	

DATE	
BY	
PROFILE	
NO.	
NOTE BOOK	
NO.	
SURVEYED	
PLOTTED	
CHECKED	
AT	
DATE	
FILE NAME	



FILE NAME = 220056 - CONCEPTUAL PLAN.DWG

 OATES ASSOCIATES WWW.OATESASSOCIATES.COM ILLINOIS DESIGN FIRM LICENSE NO.: 184.001115	USER NAME = MICHELLE SPILLERS PLOT SCALE = 1" = 1' PLOT DATE = 2020-08-26	DESIGNED - DRAWN - CHECKED - DATE -	REVISED - REVISED - REVISED - REVISED -	 <b>Madison County Transit</b>	<b>PLAN &amp; PROFILES</b> SCALE: _____ SHEET NO. xxx OF xxx SHEETS STA. _____ TO STA. _____	F.A.U. RTE. _____ SECTION _____ COUNTY _____ TOTAL SHEETS 2 SHEET NO. _____ MADISON COUNTY TRANSIT
	SCHOOLHOUSE TRAIL OVER IL-111 MADISON COUNTY TRANSIT					

# Exhibit 2

## Photos





Figure 1: Schoolhouse Trail (East) looking East



Figure 2: Schoolhouse Trail (East) looking West towards IL-111





Figure 3: Schoolhouse Trail (West) looking East towards IL-111

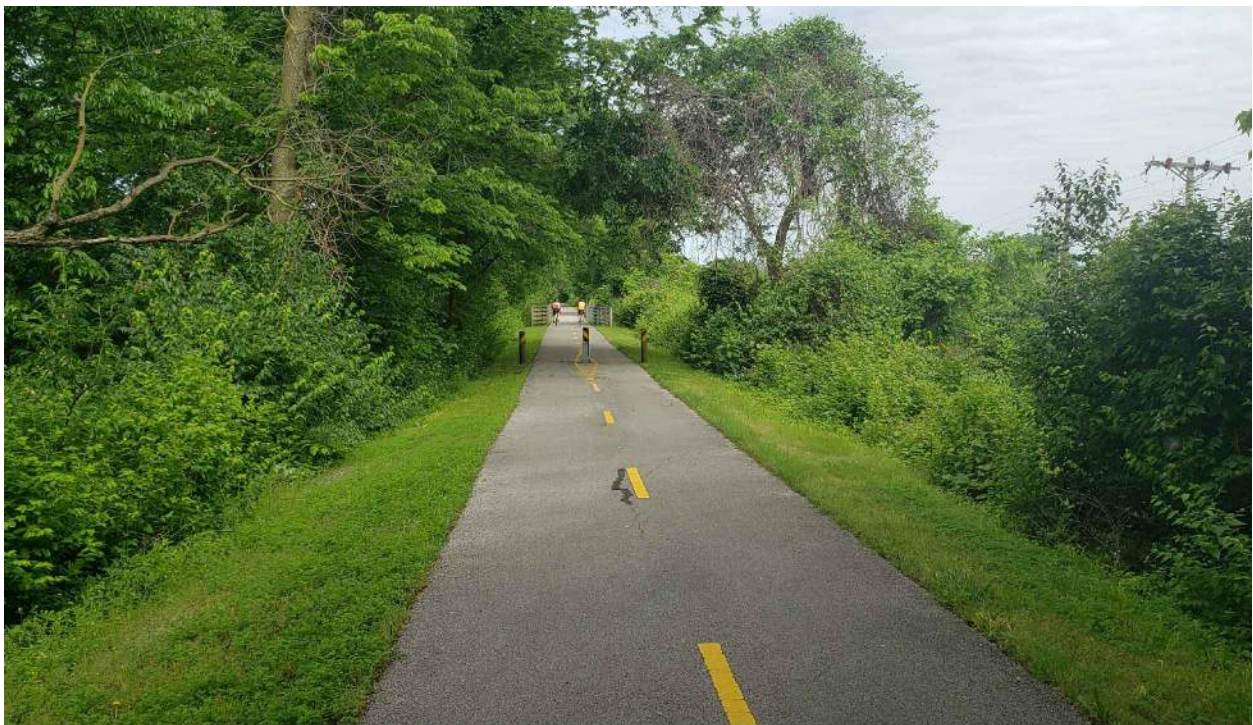


Figure 4: Schoolhouse Trail (West) looking West





Figure 5: IL-111 looking North towards Schoolhouse Trail



Figure 6: IL-111 looking South towards Schoolhouse Trail





Figure 7: IL-111 / Horseshoe Lake Road looking South along Schoolhouse Trail



Figure 8: Looking West towards IL-111 / Horseshoe Lake Road





Figure 9: Looking East towards IL-111 / Horseshoe Lake Road



Figure 10: IL-111 / Horseshoe Lake Road looking West along Schoolhouse Trail

# **Exhibit 3**

## **Estimate of Cost**

**Conceptual Estimate of Project Costs**

Project Owner:	Madison County Transit
Project Title:	Schoolhouse Trail Grade Separation at IL-111 south of Horseshoe Lake Road - Bridge (Overpass) Option
Date:	9/24/2020

CODE	ITEM	UNIT	QUANTITY	UNIT COST	COST	FEDERAL FUNDS	AGENCY MATCH	REMARKS	
<b>PATH CONSTRUCTION</b>									
20100500	TREE REMOVAL, ACRES	ACRE	2.00	\$8,000.00	\$16,000				
20400100	BORROW EXCAVATION	CU YD	14,000	\$20.00	\$280,000				
25000100	SEEDING, CLASS 1	ACRE	3.00	\$1,500.00	\$4,500				
25000400	NITROGEN FERTILIZER NUTRIENT	POUND	260	\$2.50	\$650				
25000500	PHOSPHORUS FERTILIZER NUTRIENT	POUND	260	\$2.50	\$650				
25000600	POTASSIUM FERTILIZER NUTRIENT	POUND	260	\$2.50	\$650				
25100115	MULCH, METHOD 2	ACRE	3.00	\$1,500.00	\$4,500				
25100630	EROSION CONTROL BLANKET	SQ YD	9,000	\$1.50	\$13,500				
28000250	TEMPORARY EROSION CONTROL SEEDING	POUND	290	\$5.00	\$1,450				
28000400	PERIMETER EROSION BARRIER	FOOT	5,600	\$3.00	\$16,800				
28000500	INLET & PIPE PROTECT	EACH	8	\$100.00	\$800				
28100105	STONE RIPRAP, CLASS A3	SQ YD	1,400	\$50.00	\$70,000				
30200650	PROCESSING MODIFIED SOIL 12"	SQ YD	2,900	\$10.00	\$29,000				
35100500	AGGREGATE BASE COURSE, TYPE A 6"	SQ YD	2,900	\$20.00	\$58,000				
40603310	HOT-MIX ASPHALT SURFACE COURSE, MIX "C", N50	TON	485	\$80.00	\$38,800				
44000100	PAVEMENT REMOVAL	SQ YD	1,400	\$12.00	\$16,800				
50900805	PEDESTRIAN RAILING	FOOT	2,080	\$75.00	\$156,000				
54213663	PRC FLAR END SEC 18	EACH	4	\$1,000.00	\$4,000				
78001110	PAINT PAVEMENT MARKING - LINE 4"	FOOT	1,100	\$1.00	\$1,100				
542A0223	PIPE CULVERTS, CLASS A, TYPE 1 18"	FOOT	60	\$65.00	\$3,900				
X0327980	PAVEMENT MARKING REMOVAL - WATER BLASTING	SQ FT	250	\$5.00	\$1,250				
X1400031	REMOVE PEDESTRIAN PUSH-BUTTON	EACH	2	\$200.00	\$400				
X7240300	SIGN REMOVAL	EACH	5	\$100.00	\$500				
X8950307	REMOVE EXISTING PEDESTRIAN SIGNAL HEAD	EACH	2	\$300.00	\$600				
Z0004002	BOLLARDS	EACH	6	\$1,000.00	\$6,000				
	TRAFFIC CONTROL AND PROTECTION	L SUM	1	\$20,000.00	\$20,000				
<b>BRIDGE CONSTRUCTION</b>									
50200100	STRUCTURE EXCAVATION	CU YD	2,340	\$25.00	\$58,500				
50300225	CONCRETE STRUCTURES	CU YD	42	\$700.00	\$29,400				
50301350	CONCRETE SUPERSTRUCTURE (APPROACH SLAB)	CU YD	11	\$400.00	\$4,400				
50800205	REINFORCEMENT BARS, EPOXY COATED	POUND	7,200	\$2.50	\$18,000				
51201900	FURNISHING STEEL PILES HP14X89	FOOT	350	\$75.00	\$26,250				
51202305	DRIVING PILES	FOOT	350	\$1.00	\$350				
51203900	TEST PILE STEEL HP14X89	EACH	1	\$10,000.00	\$10,000				
51204650	PILE SHOES	EACH	6	\$150.00	\$900				
52200500	MECHANICALLY STABILIZED EARTH RETAINING WALL	SQ FT	19,100	\$65.00	\$1,241,500				
X0322508	PEDESTRIAN TRUSS SUPERSTRUCTURE	L SUM	2,500	\$200.00	\$500,000			(Includes deck and fence)	
	GROUND MODIFICATIONS FOR MSE RETAINING WALLS	L SUM	1	\$400,000.00	\$400,000				
					<b>SUBTOTAL</b>	<b>\$3,035,150</b>			
					<b>Subtotal of Construction Cost:</b>	<b>\$3,035,150</b>			
MOBILIZATION				5%	\$152,000			Estimated about 5% of the construction value	
MISC CONSTRUCTION ITEMS				10%	\$304,000			Estimated about 10% of the construction value	
INFLATION				3%	\$91,000			Estimated about 3% (1 years at 3%) of the construction value	
					<b>SUBTOTAL ESTIMATED CONSTRUCTION COST:</b>	<b>\$3,582,000</b>	<b>\$2,000,000</b>	<b>\$1,582,000</b>	
PRELIMINARY ENGINEERING - PHASE 1				7%	\$251,000		\$251,000	Estimated about 7% of the construction value	
PRELIMINARY ENGINEERING - PHASE 2				8%	\$287,000		\$287,000	Estimated about 8% of the construction value	
CONSTRUCTION ENGINEERING - PHASE 3				10%	\$358,000		\$358,000	Estimated about 10% of the construction value	
					<b>TOTAL ESTIMATED PROJECT CONSTRUCTION COST ELIGIBLE ITEMS:</b>	<b>\$4,478,000</b>	<b>\$2,000,000</b>	<b>\$2,478,000</b>	

NOTES:  
 1. Cost Estimate does not include cost of Right of Way or Easements  
 2. Cost Estimate does not include cost of utility relocations

# Exhibit 4 Resolution

# Exhibit 5

## Local Assurance



This page must be signed by a representative of the local sponsoring agency  
in order for the project to be considered for funding

The project sponsor certifies that it is willing and able to manage, maintain, and operate the project as a highway authority eligible to receive federal funding. The project sponsor possesses legal authority to nominate the transportation enhancement project and to finance, acquire, and construct the proposed project. The sponsor authorizes the nomination of the transportation enhancement project, including all assurances contained therein. The sponsor authorizes the person identified below as the official project representative to act in connection with the nomination and to provide such additional information as may be required. The project sponsor affirms that, if selected, the project will commence within the time periods defined by the Sunset Clause (refer to Section J of the ITEP Guidelines Manual) and in accordance with departmental policies.

**I certify that the information contained in this transportation enhancement application, including attachments, is accurate and that I have read and understand the information and agree to the assurances on this form.**

Name

Steven J. Morrison

Title

Managing Director

Signature

Date

Name of Sponsoring Agency

Madison County Mass Transit District

# Exhibit 6

## Letters of Support



# Exhibit 7

## Public Involvement

# Exhibit 8

## GATA Document

**Exhibit 8a**  
**GATA Document**  
**Uniform Application for State Grant Assistance**

## Uniform Grant Application

### State Agency Completed Section

1.	Type of Submission	<input type="checkbox"/> Pre-application <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed / Corrected Application
2.	Type of Application	<input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation (i.e. multiple year grant) <input type="checkbox"/> Revision (modification to initial application)
3.	Date / Time Received by State	Completed by State Agency upon Receipt of Application
4.	Name of the Awarding State Agency	Illinois Department of Transportation
5.	Catalog of State Financial Assistance (CSFA) Number	494-00-1000
6.	CSFA Title	Illinois Transportation Enhancement Program
Catalog of Federal Domestic Assistance (CFDA) <input type="checkbox"/> Not applicable (No federal funding)		
7.	CFDA Number	20.205
8.	CFDA Title	HIGHWAY PLANNING AND CONSTRUCTION (Federal-Aid Highway Program)
9.	CFDA Number	
10.	CFDA Title	
Funding Opportunity Information		
11.	Funding Opportunity Number	21-1000-01
12.	Funding Opportunity Title	2020 Cycle 14
Competition Identification <input type="checkbox"/> Not Applicable		
13.	Competition Identification Number	
14.	Competition Identification Title	

<b>Applicant Completed Section</b>		
<b>Applicant Information</b>		
15.	Legal Name	Madison County Mass Transit District
16.	Common Name (DBA)	Madison County Transit or MCT
17.	Employer / Taxpayer Identification Number (EIN, TIN)	37-1099038
18.	Organizational DUNS number	131055548
19.	GATA ID	672232 Assigned through the Grantee Portal
20.	SAM Cage Code	4HBD5
21.	Business Address	Street address: One Transit Way City: Granite City State: IL County: Madison Zip + 4: 62040-7500
<b>Applicant's Organizational Unit</b>		
22.	Department Name	
23.	Division Name	
<b>Applicant's Name and Contact Information for Person to be Contacted for <i>Program</i> Matters involving this Application</b>		
24.	First Name	Steven
25.	Last Name	Morrison
26.	Suffix	
27.	Title	Managing Director
28.	Organizational Affiliation	
29.	Telephone Number	618-797-4600
30.	Fax Number	618-797-6273
31.	Email address	smorrison@mct.org
<b>Applicant's Name and Contact Information for Person to be Contacted for <i>Business/Administrative Office</i> Matters involving this Application</b>		
32.	First Name	Michelle
33.	Last Name	Domer
34.	Suffix	
35.	Title	Director of Accounting
36.	Organizational Affiliation	Agency for Community Transit
37.	Telephone Number	618-797-4600
38.	Fax Number	618-797-6273
39.	Email address	mdomer@mct.org

## Applicant Completed Section

### Areas Affected

40.	Areas Affected by the Project (cities, counties, state-wide)	Add Attachments (e.g., maps) Madison County, IL
41.	Legislative and Congressional Districts of Applicant	Congressional 12, 13 and 15; IL House District 100, 108, 111, 112, 113; IL Senate District 50, 54, 56, 57
42.	Legislative and Congressional Districts of Program / Project	Congressional 12; IL House District 112; IL Senate District 56

### Applicant's Project

43.	Description Title of Applicant's Project	Grade Separation of MCT Schoolhouse Trail at IL 111
44.	Proposed Project Term	Start Date: March 2021 End Date: September 2023
45.	Estimated Funding (include all that apply)	<input checked="" type="checkbox"/> Amount Requested from the State: \$2,000,000 <input type="checkbox"/> Applicant Contribution (e.g., in kind, matching): <input checked="" type="checkbox"/> Local Contribution: \$2,478,00 <input type="checkbox"/> Other Source of Contribution: <input type="checkbox"/> Program Income: <div style="text-align: right;">Total Amount \$4,478,000</div>

### Applicant Certification:

By signing this application, I certify (1) to the statements contained in the list of certifications\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil or administrative penalties. (U.S. Code, Title 18, Section 1001)

(\* ) The list of certification and assurances, or an internet site where you may obtain this list is contained in the Notice of Funding Opportunity. If a NOFO was not required for the award, the state agency will specify required assurances and certifications as an addendum to the application.

I agree

### Authorized Representative

46.	First Name	Steven
47.	Last Name	Morrison
48.	Suffix	
49.	Title	Managing Director
50.	Telephone Number	618-797-4600
51.	Fax Number	618-797-6273
52.	Email Address	smorrison@mct.org
53.	Signature of Authorized Representative	
54.	Date Signed	

**Exhibit 8b**  
**GATA Document**  
**Uniform Grant Budget Template**



## State of Illinois UNIFORM GRANT BUDGET TEMPLATE

This form is used to apply to individual State of Illinois discretionary grant programs. Applicants should submit budgets based upon the total estimated costs for the project including all funding sources. Pay attention to applicable program specific instructions, if attached. The applicant organization should refer to 2 CFR 200, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards" cited within these instructions.

**You must consult with your Business Office prior to submitting this form for any award restrictions, limitations or requirements when filling out the narrative and Uniform Budget Template.**

### **Section A – Budget Summary** STATE OF ILLINOIS FUNDS

All applicants must complete Section A and provide a break-down by the applicable budget categories shown in lines 1-17. Eligible applicants requesting funding for only one year should complete the column under " Year 1." Eligible applicants requesting funding for multi-year grants should complete all applicable columns. **Please read all instructions before completing form.**

#### **STATE OF ILLINOIS GRANT FUNDS**

Provide a total requested State of Illinois Grant amount for each year in the Revenue portion of Section A. The amount entered in Line (a) will equal the total amount budgeted on Line 18 of Section A.

#### **BUDGET SUMMARY – STATE OF ILLINOIS FUNDS**

All applicants must complete Section A and provide a break-down by the applicable budget categories shown in lines 1-17.

Line 18: Show the total budget request for each fiscal year for which funding is requested.

***Please use detail worksheet and narrative section for further descriptions and explanations of budgetary line items.***

**Section A (continued) Indirect Cost Information:** *(This information should be completed by the applicant's Business Office).* If the applicant is requesting reimbursement for indirect costs on line 17, the applicant's Business Office must select one of the options listed on the Indirect Cost Information page under Section-A Indirect Cost Information (1-4).

Option (1): The applicant has a Negotiated Indirect Cost Rate Agreement (NICRA) that was approved by the Federal government. A copy of this agreement must be provided to the State of Illinois' Indirect Cost Unit for review and documentation. This NICRA will be accepted by all State of Illinois Agencies up to any statutory, rule-based or programmatic restrictions or limitations. *If this option is selected by the applicant, basic information is required for completion of this section. See bottom of "Section-A Indirect Cost Information".*

**NOTE: The applicant may not have a Federally Negotiated Indirect Cost Rate Agreement. Therefore, in order for the applicant to be reimbursed for Indirect Costs from the State of Illinois, the applicant must either:**

- A) Negotiate an Indirect Cost Rate with the State of Illinois' Indirect Cost Unit with guidance from our State Cognizant Agency on an annual basis.**
- B) Elect to use the de minimis rate of 10% modified total direct cost (MTDC) which may be used indefinitely on State of Illinois Awards.**
- C) Use a Restricted Rate designated by programmatic statutory policy. (See Notice of Funding Opportunity for Restricted Rate Programs).**





**State of Illinois  
UNIFORM GRANT BUDGET TEMPLATE**

---

**Section A – Budget Summary (continued)**

Option (2a): The applicant currently has a Negotiated Indirect Cost Rate Agreement with the State of Illinois that will be accepted by all State of Illinois Agencies up to any statutory, rule-based or programmatic restrictions or limitations. The applicant is required to submit a new Indirect Cost Rate Proposal to the Indirect Cost Unit within six (6) months after the close of each fiscal year (2 CFR 200 Appendix IV (C)(2)(c). **Note:** *If this option is selected by the applicant, basic information is required for completion of this section. See bottom of “Section-A Indirect Cost Information”.*

Option (2b): The applicant currently does not have a Negotiated Indirect Cost Rate Agreement with the State of Illinois. The applicant must submit its initial Indirect Cost Rate Proposal (ICRP) immediately after the applicant is advised that the State award will be made and, in no event, later than three (3) months after the effective date of the State award (2 CFR 200 Appendix IV (C)(2)(b). The initial ICRP will be sent to the State of Illinois’ Indirect Cost Unit. **Note:** *The applicant should check with the State of Illinois awarding Agency for information regarding reimbursement of indirect costs while its proposal is being negotiated.*

Option (3): The applicant elects to charge the de minimis rate of 10% modified total direct cost (MTDC) which may be used indefinitely on State of Illinois awards (2 CFR 200.414 (c)(4)(f) & (200.68). **Note:** *(The applicant must be eligible, see 2 CFR 200.414 (f), and submit documentation on the calculation of MTDC within your Budget Narrative under Indirect Costs.)*

Option (4): If you are applying for a grant under a Restricted Rate Program, indicate whether you are using a restricted indirect cost rate that is included on your approved Indirect Cost Rate Agreement, or whether you are using a restricted indirect cost rate that complies with statutory or programmatic policies. **Note:** *See Notice of State Award for Restricted Rate Programs.*

**Section B – Budget Summary**

**NON-STATE OF ILLINOIS FUNDS**

NON-STATE OF ILLINOIS FUNDS: If the applicant is required to provide or volunteers to provide cost-sharing or matching funds or other non-State of Illinois resources to the project, the applicant must provide a revenue breakdown of all Non-State of Illinois funds in lines (b)-(d). the total of “Non-State Funds” should equal the amount budgeted on Line 18 of Section B. If a match percentage is required, the amount should be entered in this section.

**BUDGET SUMMARY – NON-STATE OF ILLINOIS FUNDS**

If the applicant is required to provide or volunteers to provide cost-sharing or matching funds or other non-State of Illinois resources to the project, these costs should be shown for each applicable budget category on lines 1017 of Section B.

Lines 1-17: For each project year, for which matching funds or other contributions are provided, show the total contribution for each applicable budget category.

Line 18: Show the total matching or other contribution for each fiscal year.

***Please see detail worksheet and narrative section for further descriptions and explanations of budgetary line items.***



**State of Illinois**  
**UNIFORM GRANT BUDGET TEMPLATE**

---

**Section C – Budget Worksheet & Narrative**

[Attach separate sheet(s)]

Pay attention to applicable program specific instructions, if attached.

All applicants are required to submit a budget narrative along with Section A and Section B. The budget narrative is sometimes referred to as the budget justification. The narrative serves two purposes: it explains how the costs were estimated and it justifies the need for the cost. The narrative may include tables for clarification purposes. The State of Illinois recommends using the State of Illinois Uniform Budget Template worksheet and narrative guide provided.

1. Provide an itemized budget breakdown, and justification by project year, for each budget category listed in Sections A and B.
2. For non-State of Illinois funds or resources listed in Section B that are used to meet a cost-sharing or matching requirement or provided as a voluntary cost-sharing or matching commitment, you must include:
  - a. The specific costs or contributions by budget category;
  - b. The source of the costs or contributions; and
  - c. In the case of third-party in-kind contributions, a description of how the value was determined for the donated or contributed goods or services.

[Please review cost sharing and matching regulations found in 2 CFR 200.306.]

3. If applicable to this program, provide the rate and base on which fringe benefits are calculated.
4. If the applicant is requesting reimbursement for indirect costs on line 17, this information should be completed by the applicant's Business Office. Specify the estimated amount of the base to which the indirect cost rate is applied and the total indirect expense. Depending on the grant program to which the applicant is applying and/or the applicant's approved Indirect Cost Rate Agreement, some direct cost budget categories in the applicant's grant application budget may not be included in the base and multiplied by your indirect cost rate. Please indicate which costs are included and which costs are excluded from the base to which the indirect cost rate is applied.
5. Provide other explanations or comments you deem necessary.



## State of Illinois UNIFORM GRANT BUDGET TEMPLATE

---

Keep in mind the following—

Although the degree of specificity of any budget will vary depending on the nature of the project and State of Illinois agency requirements, a complete, well-thought-out budget serves to reinforce your credibility and increase the likelihood of your proposal being funded.

- A well-prepared budget should be reasonable and demonstrate that the funds being asked for will be used wisely.
- The budget should be as concrete and specific as possible in its estimates. Make every effort to be realistic, to estimate costs accurately.
- The budget format should be as clear as possible. It should begin with a budget narrative, which you should write after the entire budget has been prepared.
- Each section of the budget should be in outline form, listing line items under major headings and subheadings.
- Each of the major components should be subtotaled with a grand total at the end.

Your budget should justify all expenses and be consistent with the program narrative:

- Salaries should be comparable to those within the applicant organization.
- If new staff is being hired, additional space and equipment are considered, as necessary.
- If the budget lists an equipment purchase, it is the type allowed by the agency.
- If additional space is rented, the increase in insurance is supported.
- If an indirect cost rate applies to the proposal, the division between direct and indirect costs is not in conflict, and the aggregate budget totals refer directly to the approved formula. Indirect costs are costs that are not readily assignable to a particular project, but are necessary to the operation of the organization and the performance of the project (like the cost of operating and maintaining facilities, depreciation, and administrative salaries).

### §200.308 Revision of budget and program plans

(e) The Federal/State awarding agency may, at its option, restrict the transfer of funds among direct cost categories or programs, functions and activities for Federal/State awards in which the Federal/State share of the project exceeds the Simplified Acquisition Threshold and the cumulative amount of such transfers exceeds or is expected to exceed 10 percent or \$1,000 per detail line item, whichever is greater of the total budget as last approved by the Federal/State awarding agency. The Federal/State awarding agency cannot permit a transfer that would cause any Federal/State appropriation to be used for purposes other than those consistent with the appropriation.



## State of Illinois UNIFORM GRANT BUDGET TEMPLATE

State Agency: Illinois Department of Transportation

Organization Name: Madison County Transit District

Notice of Funding  
Opportunity (NOFO) Number: 21-1000-01

Data Universal Number System (DUNS) Number (enter numbers only) : 131055548

Catalog of State Financial Assistance (CSFA) Number:

CSFA Short Description:

**Section A: State of Illinois Funds**

Fiscal Year:

<b>REVENUES</b>		<b>Total Revenue</b>
State of Illinois Grant Requested		\$ 2,000,000.00
<b>Budget Expenditure Categories</b>	<b>OMB Uniform Guidance Federal Awards Reference 2 CFR 200</b>	<b>Total Expenditures</b>
1. Personnel (Salary and Wages)	200.430	\$
2. Fringe Benefits	200.431	\$
3. Travel	200.474	\$
4. Equipment	200.439	\$
5. Supplies	200.94	\$
6. Contractual Services and Subawards	200.318 & 200.92	\$
7. Consultant (Professional Service)	200.459	\$
8. Construction		\$ 2,000,000.00
9. Occupancy (Rent and Utilities)	200.465	\$
10. Research and Development (R&D)	200.87	\$
11. Telecommunications		\$
12. Training and Education	200.472	\$
13. Direct Administrative Costs	200.413 (c)	\$
14. Miscellaneous Costs		\$
15. A. Grant Exclusive Line Item(s)		\$
15. B. Grant Exclusive Line Item(s)		\$
16. Total Direct Costs (add lines 1-15)	200.413	\$ 2,000,000.00
17. Total Indirect Costs	200.414	\$
Rate %: <input style="width: 100px;" type="text"/>		
Base: <input style="width: 100px;" type="text"/>		
18. Total Costs State Grant Funds (Lines 16 and 17) <b>MUST EQUAL REVENUE TOTALS ABOVE</b>		\$ 2,000,000.00

Instructions  
found at end of  
document.



## State of Illinois UNIFORM GRANT BUDGET TEMPLATE

Organization Name: Madison County Transit District

NOFO Number: 21-1000-01

### SECTION A - Continued - Indirect Cost Rate Information

If your organization is requesting reimbursement for indirect costs on line 17 of the Budget Summary, please select one of the following options

1. Our Organization receives direct Federal funding and currently has a Negotiated Indirect Cost Rate Agreement (NICRA) with our Federal Cognizant Agency. A copy of this agreement will be provided to the State of Illinois' Indirect Cost Unit for review and documentation before reimbursement is allowed. This NICRA will be accepted by all State of Illinois agencies up to any statutory, rule-based or programmatic restrictions or limitations. **NOTE: (If this option is selected, please, provide basic Negotiated Indirect Cost Rate Agreement in area designated below.)**

Your organization may not have a Federally Negotiated Cost Rate Agreement. Therefore, in order for your organization to be reimbursed for the Indirect Costs from the State of Illinois your organization must either:

- a. Negotiate an Indirect Cost Rate with the State of Illinois' Indirect Cost Unit with guidance from your State Cognizant Agency on an annual basis;
- b. Elect to use the de minimis rate of 10% modified for total direct costs (MTDC) which may be used indefinitely on State of Illinois awards; or
- c. Use a Restricted Rate designated by programmatic or statutory policy (see Notice of Funding Opportunity for Restricted Rate Programs).

2a. Our Organizations currently has a Negotiated Indirect Cost Rate Agreement (NICRA) with the State of Illinois that will be accepted by all State of Illinois agencies up to any statutory, rule-based or programmatic restrictions or limitations. Our Organization is required to submit a new Indirect Cost Rate Proposal to the Indirect Cost Unit within 6 months after the close of each fiscal year [2 CFR 200, Appendix IV(C)(2)(c)]. **NOTE: (If this option is selected, please provide basic Indirect Cost Rate information in area designated below.)**

2b. Our Organization currently does not have a Negotiated Indirect Cost Rate Agreement (NICRA) with the State of Illinois. Our organization will submit our initial Indirect Cost Rate Proposal (ICRP) immediately after our Organization is advised that the State award will be made no later than three (3) months after the effective date of the State award [2 CFR 200 Appendix (C)(2)(b)]. The initial ICRP will be sent to the State of Illinois Indirect Cost unit. **Note: (Check with you State of Illinois Agency for information regarding reimbursement of indirect costs while your proposal is being negotiated.)**

3. Our Organization has never received a Negotiated Indirect Cost Rate Agreement from either the Federal government or the State or Illinois and elects to charge the de minimis rate of 10% modified total direct cost (MTDC) which may be used indefinitely on State of Illinois awards [2 CFR 200.414 (C)(4)(f) and 200.68.] **[Note: Your Organization must be eligible, see 2 CFR 200.414 (f), and submit documentation on the calculation of MTDC within your Budget Narrative under Indirect Costs.]**

4. For Restricted Rate Programs, our Organization is using a restricted indirect cost rate that:

is included as a "Special Indirect Cost Rate" in the NICRA, pursuant to 2 CFR 200 Appendix IV(5); or

complies with other statutory policies.

The Restricted Indirect Cost Rate is: \_\_\_\_\_ %

5. No reimbursement of Indirect Cost is being requested. (Please consult your program office regarding possible match requirements.)

#### Basic Negotiated Indirect Cost Rate Information (Use only if option 1 or 2(a), above is selected.)

Period Covered by NICRA: From:  To:  Approving Federal or State Agency:

Indirect Cost Rate:  % The Distribution Base Is:



**State of Illinois  
UNIFORM GRANT BUDGET TEMPLATE**

Organization Name: Madison County Transit District

NOFO Number: 21-1000-01

**Section B: Non-State of Illinois Funds**

Fiscal Year: 02/01/2022

<b>REVENUES</b>			<b>Total Revenue</b>
Grantee Match Requirement %:	(Agency to Populate)		
b) Cash		\$	2,478,000.00
c) Non-Cash		\$	
d) other Funding and Contributions		\$	
Total Non-State Funds (lined b through d)		\$	2,478,000.00

<b>Budget Expenditure Categories</b>	<b>OMB Uniform Guidance Federal Awards Reference 2 CFR 200</b>		<b>Total Expenditures</b>
1. Personnel (Salaries and Wages)	200.430	\$	
2. Fringe Benefits	200.431	\$	
3. Travel	200.474	\$	
4. Equipment	200.439	\$	
5. Supplies	200.94	\$	
6. Contractual Services and Subawards	200.318 & 200.92	\$	896,000.00
7. Consultant (Professional Services)	200.459	\$	
8. Construction		\$	1,582,000.00
9. Occupancy (Rent and Utilities)	200.465	\$	
10. Research and Development (R&D)	200.87	\$	
11. Telecommunications		\$	
12. Training and Education	200.472	\$	
13. Direct Administrative Costs	200.413 (c)	\$	
14. Miscellaneous Costs		\$	
15. A. Grant Exclusive Line Item(s)		\$	
15. B. Grant Exclusive Line Item(s)		\$	
16. Total Direct Costs (add lines 1-15)	200.413	\$	2,478,000.00
17. Total indirect Costs	200.414	\$	
Rate %:	<input type="text"/>		
Base:	<input type="text"/>		
18. Total Costs State Grant Funds (Lines 16 and 17) <b>MUST EQUAL REVENUE TOTALS ABOVE</b>		\$	2,478,000.00



State of Illinois
UNIFORM GRANT BUDGET TEMPLATE

Organization Name: Madison County Transit District

NOFO Number: 21-1000-01

Data Universal Number System (DUNS) Number (enter numbers only) : 131055548

Fiscal Year: 02/01/2022

Catalog of State Financial Assistance (CSFA) Number: 494-00-1000

CSFA Short Description: Illinois Transportation Enhancement Program

By signing this report, I certify to the best of my knowledge and belief that the report is true, complete and accurate and that any false, fictitious or fraudulent information or the omission of any material fact could result in the immediate termination of my grant award(s).

Madison County Mass Transit District

Institution/Organization Name:

Madison County Mass Transit District

Institution/Organization Name:

Director of Accounting

Title (Chief Financial Officer or equivalent):

Managing Director

Title (Executive Director or equivalent):

Michelle Domer

Printed Name (Chief Financial Officer or equivalent):

Steven J. Morrison

Printed Name (Executive Director or equivalent):

Signature (Chief Financial Officer or equivalent):

Signature (Executive Director or equivalent):

Date of Execution (Chief Financial Officer):

Date of Execution (Executive Director):

Note: The State Awarding Agency may change required signers based on the grantee's organizational structure. The required signers must have the authority to enter onto contractual agreements on the behalf of the organization.



## State of Illinois UNIFORM GRANT BUDGET TEMPLATE

**FFATA Data Collection Form (if needed by agency)**

Under FFATA, all sub-recipients who receive \$30,000 or more must provide the following information for federal reporting. Please fill out the following form accurately and completely.

4-digit extension if applicable:			
Sub-recipient DUNS: N/A		Sub-recipient Parent Company DUNS:	
Sub-recipient Name:			
Sub-recipient DBA Name:			
Sub-recipient Street Address:			
City:	State:	Zip-Code:	Congressional District:
Sub-recipient Principal Place of Performance:			
City:	State:	Zip-Code:	Congressional District:
Contract Number (if known):	Award Amount:	Project Period: From:	Project Period: To:

State of Illinois Awarding Agency and Project Detail Description:

**Under certain circumstances, sub-recipient must provide names and total compensation of its top 5 highly compensated officials. Please answer the following questions and follow the instructions.**

**Q1.** In your business or organization's previous fiscal year, did your business or organization (including parent organization, all branches and affiliates worldwide) receive (1) 80% or more of your annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants and/or cooperative agreements and (2) \$25,000,000 or more in annual gross revenue from U.S. federal contracts, subcontracts, loans, grants, subgrants and/or cooperative agreements?

Yes  If Yes, must answer Q2 below. No  If No, you are not required to provide data.

**Q2.** Does the public have access to information about the compensation of the senior executives in your business or organization (including parent organization, all branches and all affiliates worldwide) through periodic reports filed under section 13(a) or 15(d) of the Security Exchange Act of 1934 (5 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue code of 1986 (i.e., on IRS Form 990)?

Yes  No  If No, you must provide the data. Please fill out the rest of this form.

**Please provide names and total compensation of the top five officials:**

Name:	Amount:
Name:	Amount:
Name:	Amount:
Name:	Amount:
Name:	Amount:





## State of Illinois UNIFORM GRANT BUDGET TEMPLATE

### 1). Personnel (Salaries and Wages) (2 CFR 200.430)

List each position by title and name of employee, if available. Show the annual salary rate and the percentage of time to be devoted to the project and length of time working on the project. Compensation paid for employees engaged in grant activities must be consistent with that paid for similar work within the applicant organization. Include a description of the responsibilities and duties of each position in relationship to fulfilling the project goals and objectives in the narrative space provided below. Also, provide a justification and description of each position (including vacant positions). Relate each position specifically to program objectives. Personnel cannot exceed 100% of their time on all active projects.

Name	Position	Salary or Wage	Basis (Yr./Mo./Hr.)	% of Time	Length of Time	Personnel Cost	Add/Delete Row
				%			Add Delete
State Total							
				%			Add Delete
NON-State Total							
Total Personnel							
Personnel Narrative (State):							
Personnel Narrative (Non-State): (i.e. "Match" or "Other Funding")							



**State of Illinois  
UNIFORM GRANT BUDGET TEMPLATE**

**2). Fringe Benefits (2 CFR 200.431)**

Fringe benefits should be based on actual known costs or an established formula. Fringe benefits are for the personnel listed in category (1) direct salaries and wages, and only for the percentage of time devoted to the project. Provide the fringe benefit rate used and a clear description of how the computation of fringe benefits was done. Provide both the annual (for multiyear awards) and total. If a fringe benefit rate is not used, show how the fringe benefits were computed for each position. The budget justification should be reflected in the budget description. Elements that comprise fringe benefits should be indicated.

Name	Position(s)	Base	Rate (%)	Fringe Benefit Cost	Add/Delete Rows
			%		Add Delete
State Total					
			%		Add Delete
Non-State Total					
Total Fringe Benefits					

Fringe Benefits Narrative (State):

Fringe Benefits Narrative (Non-State): (i.e. "Match" or "Other Funding")



**State of Illinois  
UNIFORM GRANT BUDGET TEMPLATE**

**3). Travel (2 CFR 200.474)**

Travel should include: origin and destination, estimated costs and type of transportation, number of travelers, related lodging and per diem costs, brief description of the travel involved, its purpose, and explanation of how the proposed travel is necessary for successful completion of the project. In training projects, travel and meals for trainees should be listed separately. Show the number of trainees and unit cost involved. Identify the location of travel, if known; or if unknown, indicate "location to be determined." Indicate source of Travel Policies applied, Applicant or State of Illinois Travel Regulations. NOTE: Dollars requested in the travel category should be for staff travel only. Travel for consultants should be shown in the consultant category along with the consultant's fee. Travel for training participants, advisory committees, review panels and etc., should be itemized the same way as indicated above and placed in the "Miscellaneous" category.

Purpose of Travel/Items	Location	Cost Rate	Basis	Quantity	Number of Trips	Travel Cost	Add/Delete Row
							Add
							Delete
State Total							
							Add
							Delete
NON-State Total							
Total Travel							

Travel Narrative (State):

---

Travel Narrative (Non-State): (i.e..e "Match" of "Other Funding")



**State of Illinois  
UNIFORM GRANT BUDGET TEMPLATE**

**4). Equipment (2 CFR 200.439)**

Provide justification for the use of each item and relate them to specific program objectives. Provide both the annual (for multiyear awards) and total for equipment. Equipment is defined as an article of tangible personal property that has a useful life of more than one year and a per-unit acquisition cost which equals or exceeds the lesser of the capitalization level established by the non-Federal entity for financial statement purposes, or \$5,000. An applicant organization may classify equipment at a lower dollar value but cannot classify it higher than \$5,000. (Note: Organization's own capitalization policy for classification of equipment can be used). Applicants should analyze the cost benefits of purchasing versus leasing equipment, especially high cost items and those subject to rapid technical advances. Rented or leased equipment costs should be listed in the "Contractual" category. Explain how the equipment is necessary for the success of the project. Attach a narrative describing the procurement method to be used.

Item	Quantity	Cost Per Item	Equipment Cost	Add/Delete Rows
				Add
				Delete
State Total				
				Add
				Delete
Non-State Total				
Total Equipment				

Equipment Narrative (State):

Equipment Narrative (Non-State): (i.e. "Match" or "Other Funding")



**State of Illinois  
UNIFORM GRANT BUDGET TEMPLATE**

**5). Supplies (2 CFR 200.94)**

List items by type (office supplies, postage, training materials, copying paper, and other expendable items such as books, hand held tape recorders) and show the basis for computation. Generally, supplies include any materials that are expendable or consumed during the course of the project.

Item	Quantity/Duration	Cost Per Item	Supplies Cost	Add/Delete Rows
				Add
				Delete
State Total				
				Add
				Delete
Non-State Total				
Total Supplies				

Supplies Narrative (State):

Supplies Narrative (Non-State): (i.e. "Match" or "Other Funding")



## State of Illinois UNIFORM GRANT BUDGET TEMPLATE

### 6). Contractual Services (2 CFR 200.318) & Subawards (200.92)

Provide a description of the product or service to be procured by contract and an estimate of the cost. Applicants are encouraged to promote free and open competition in awarding contracts. A separate justification must be provided for sole contracts in excess of \$150,000 (See 2 CFR 200.88). NOTE : this budget category may include **subawards**. Provide separate budgets for each subaward or contract, regardless of the dollar value and indicate the basis for the cost estimates in the narrative. Describe products or services to be obtained and indicate the applicability or necessity of each to the project.

**Please also note the differences between subaward, contract, and contractor (vendor):**

- 1) Subaward (200.92) means an award provided by a pass-through entity to a sub-recipient for the sub-recipient to carry out part of a Federal/State award, including a portion of the scope of work or objectives. It does not include payments to a contractor or payments to an individual that is a beneficiary of a Federal/State program.
- 2) Contract (200.22) means a legal instrument by which a non-Federal entity purchases property or services needed to carry out the project or program under a Federal award. The term as used in this part does not include a legal instrument, even if the non-Federal entity considers it a contract, when the substance of the transaction meets the definition of a Federal award or subaward.
- 3) "Vendor" or "Contractor" is generally a dealer, distributor or other seller that provides supplies, expendable materials, or data processing services in support of the project activities.

Item	Contractual Services Cost	Add/Delete Rows
	\$0.00	Add Delete
State Total	\$0.00	
Preliminary Engineering P-01	\$251,000.00	Add Delete
Preliminary Engineering P-02	\$287,000.00	Add Delete
Preliminary Engineering P-03	\$358,000.00	Add Delete
Non-State Total	\$896,000.00	
Total Contractual Services	\$896,000.00	

Contractual Services Narrative (State):

Contractual Services Narrative (Non-State): (i.e. "Match" or "Other Funding")

Match



**State of Illinois  
UNIFORM GRANT BUDGET TEMPLATE**

**7). Consultant Services and Expenses (2 CFR 200.459)**

**Consultant Services (Fees):** For each consultant enter the name, if known, service to be provided, hourly or daily fee (8-hour day), and estimated time on the project.

**Consultant Expenses:** List all expenses to be paid from the grant to the individual consultant in addition to their fees (i.e., travel, meals, lodging, etc.) Consultant--Indicate whether applicant's formal, written Procurement Policy or the Federal Acquisitions Policy is used.

Consultant Services (Fees)	Services Provided	Fee	Basis	Quantity	Consultant Services (Fee) Cost	Add/Delete Row
						Add
						Delete
State Total						
						Add
						Delete
NON-State Total						
Total Consultant Services (Fees)						

Consultant Services Narrative (State):

Consultant Services Narrative (Non-State):

Consultant Expenses - Items	Location	Cost Rate	Basis	Quantity	Number of Trips	Consultant Expenses Cost	Add/Delete Row
							Add
							Delete
State Total							
							Add
							Delete
NON-State Total							
Total Consultant Expenses							

Consultant Expenses Narrative (State):

Consultant Expenses Narrative (Non-State): (i.e. "Match" or "Other Funding")



**State of Illinois  
UNIFORM GRANT BUDGET TEMPLATE**

**8). Construction**

Provide a description of the construction project and an estimate of the costs. As a rule, construction costs are not allowable unless with prior written approval. In some cases, minor repairs or renovations may be allowable. Consult with the program office before budgeting funds in this category. Estimated construction costs must be supported by documentation including drawings and estimates, formal bids, etc. As with all other costs, follow the specific requirements of the program, the terms and conditions of the award, and applicable regulations.

Purpose	Description of Work	Construction Cost	Add/Delete Rows
Grade Separation of Schoolhouse Trail at IL 111	Construction	\$2,000,000.00	Add
			Delete
State Total		\$2,000,000.00	
Grade Separation of Schoolhouse Trail at IL 111	Construction	\$1,582,000.00	Add
			Delete
Non-State Total		\$1,582,000.00	
Total Construction		\$3,582,000.00	

Construction Narrative (State):

Construction Narrative (Non-State): (i.e. "Match" or "Other Funding")  
Match





## State of Illinois UNIFORM GRANT BUDGET TEMPLATE

### 9). Occupancy - Rent and Utilities (2 CFR 200.465)

List items and descriptions by major type and the basis of the computation. Explain how rental and utility expenses are allocated for distribution as an expense to the program/service. For example, provide the square footage and the cost per square foot rent and utility, and provide a monthly rental and utility cost and how many months to rent. **NOTE:** This budgetary line item is to be used for direct program rent and utilities, all other indirect or administrative occupancy costs should be listed in the indirect expense section of the Budget worksheet and narrative. Maintenance and repair costs may be included here if directly allocated to program.

Description	Quantity	Basis	Cost	Length of Time	Occupancy Cost	Add/Delete Row
						Add
						Delete
State Total						
						Add
						Delete
NON-State Total						
Total Occupancy - Rent and Utilities						

Occupancy - Rent and Utilities Narrative (State):

Occupancy - Rent and Utilities Narrative (Non-State): (i.e. "Match" or "Other Funding")



**State of Illinois  
UNIFORM GRANT BUDGET TEMPLATE**

**10). Research & Development (R&D) (2 CFR 200.87)**

**Definition:** All research activities, both basic and applied, and all development activities that are performed by non-Federal entities directed toward the production of useful materials, devices, systems, or methods, including design and development of prototypes and processes. Provide a description of the research and development project and an estimate of the costs. Consult with the program office before budgeting funds in this category.

Purpose	Description of Work	Research and Development Cost	Add/Delete Rows
			Add
			Delete
State Total			
			Add
			Delete
Non-State Total			
Total Research and Development			

Research and Development Narrative (State):

Research and Development Narrative (Non-State): (i.e. "Match" or "Other Funding")



**State of Illinois  
UNIFORM GRANT BUDGET TEMPLATE**

**11). Telecommunications**

List items and descriptions by major type and the basis of the computation. Explain how telecommunication expenses are allocated for distribution as an expense to the program/service. NOTE: This budgetary line item is to be used for direct program telecommunications, all other indirect or administrative telecommunication costs should be listed in the indirect expense section of the Budget worksheet and narrative.

Description	Quantity	Basis	Cost	Length of Time	Telecommunications Cost	Add/Delete Row
						Add
						Delete
State Total						
						Add
						Delete
NON-State Total						
Total Telecommunications						

Telecommunications Narrative (State):

Telecommunications Narrative (Non-State): (i.e. "Match" or "Other Funding")



**State of Illinois  
UNIFORM GRANT BUDGET TEMPLATE**

**12). Training and Education (2 CFR 200.472)**

Describe the training and education cost associated with employee development. Include rental space for training (if required), training materials, speaker fees, substitute teacher fees, and any other applicable expenses related to the training. When training materials (pamphlets, notebooks, videos, and other various handouts) are ordered for specific training activities, these items should be itemized below.

Description	Quantity	Basis	Cost	Length of Time	Training and Education Cost	Add/Delete Row
						Add
						Delete
State Total						
						Add
						Delete
NON-State Total						
Total Training and Education						

Training and Education Narrative (State):

Training and Education Narrative (Non-State): (i.e. "Match" or "Other Funding")



## State of Illinois UNIFORM GRANT BUDGET TEMPLATE

### 13). Direct Administrative Costs (2 CFR 200.413 (c))

The salaries of administrative and clerical staff should normally be treated as indirect (F&A) costs. Direct charging of these costs may be appropriate only if all of the following conditions are met: (1) Administrative or clerical services are integral to a project or activity; (2) Individuals involved can be specifically identified with the project or activity; (3) Such costs are explicitly included in the budget or have the prior written approval of the State awarding agency; and (4) The costs are not also recovered as indirect costs.

Name	Position	Salary or Wage	Basis (Yr./Mo./Hr.)	% of Time	Length of Time	Direct Administrative Cost	Add/Delete Row
				%			Add
							Delete
State Total							
				%			Add
							Delete
NON-State Total							
Total Direct Administrative Costs							

Direct Administrative Costs Narrative (State):

Direct Administrative Costs Narrative (Non-State): (i.e. "Match" or "Other Funding")



**State of Illinois  
UNIFORM GRANT BUDGET TEMPLATE**

**14). Other or Miscellaneous Costs**

This category contains items not included in the previous categories. List items by type of material or nature of expense, break down costs by quantity and cost per unit if applicable, state the necessity of other costs for successful completion of the project and exclude unallowable costs (e.g., Printing, Memberships & subscriptions, recruiting costs, etc.)

Description	Quantity	Basis	Cost	Length of Time	Other or Miscellaneous Cost	Add/Delete Row
						Add
						Delete
State Total						
						Add
						Delete
NON-State Total						
Total Other or Miscellaneous Costs						

Other or Miscellaneous Costs Narrative (State):

Other or Miscellaneous Costs Narrative (Non-State): (i.e. "Match" or "Other Funding")



**State of Illinois  
UNIFORM GRANT BUDGET TEMPLATE**

**15). GRANT EXCLUSIVE LINE ITEM**

Grant Exclusive Line Item Description: \_\_\_\_\_

Costs directly related to the service or activity of the program that is an integral line item for budgetary purposes. To use this budgetary line item, an applicant must have Program approval. (Please cite reference per statute for unique costs directly related to the service or activity of the program). (Note: Use columns within table as needed for the item being reported. Leave blank those columns that are not applicable. This table does NOT auto-calculate each line. You must enter the line totals. The table will auto-calculate the State, Non-State, and Total Grant Exclusive Line Item amounts based on your line entries. The State, Non-State and Total Grant Exclusive Line Item amounts will NOT carry forward to the Budget Narrative Summary table. You will have to enter the State and Non-State Totals for ALL Grant Exclusive Line Items in the Budget Narrative Summary table. Use the "Add New Grant Exclusive Line Item" button below to add additional tables as needed.)

Description	Quantity	Basis	Cost	Length of Time	Grant Exclusive Line Item Cost	Add/Delete Row
						Add
						Delete
State Total						
						Add
						Delete
NON-State Total						
Total Grant Exclusive Line Item						

Grant Exclusive Line Item Narrative (State):

Grant Exclusive Line Item Narrative (Non-State): (i.e. "Match" or "Other Funding")

Add New Grant Exclusive Line Item	Delete Grant Exclusive Line Item
-----------------------------------	----------------------------------



**State of Illinois  
UNIFORM GRANT BUDGET TEMPLATE**

**16). Indirect Cost (2 CFR 200.414)**

Provide the most recent indirect cost rate agreement information with the itemized budget. The applicable indirect cost rate(s) negotiated by the organization with the cognizant negotiating agency must be used in computing indirect costs (F&A) for a program budget. The amount for indirect costs should be calculated by applying the current negotiated indirect cost rate(s) to the approved base(s). After the amount of indirect costs is determined for the program, a breakdown of the indirect costs should be provided in the budget worksheet and narrative below.

Description	Base	Rate	Indirect Cost	Add/Delete Rows
				Add
				Delete
State Total				
				Add
				Delete
Non-State Total				
Total Indirect Costs				

Indirect Costs Narrative (State):

Indirect Costs Narrative (Non-State):





## State of Illinois UNIFORM GRANT BUDGET TEMPLATE

**Budget Narrative Summary**--When you have completed the budget worksheet, transfer the totals for each category to the spaces below to the uniform template provided (SECTION A & B). Verify the total costs and the total project costs. Indicate the amount of State requested funds and the amount of non-State funds that will support the project.. (Note: The State, Non-State, and Total cost amounts for each line item below are auto-filled based upon the entries in the preceding budget tables 1-14 and 16. The State and Non-State Total amounts from Table 15 above, Grant Exclusive Line Item(s), must be entered into this table by hand due to the possibility of there being more than one Grant Exclusive Line Item table. Once the Grant Exclusive Line Item(s) amounts are entered into this table, the State Request amount, Non-State Amount and the Total Project Costs will be calculated automatically. It is imperative that the summary tables be completed accurately for the Budget Narrative Summary to be accurate.)

Budget Category	State	Non-State	Total
1. Personnel			
2. Fringe Benefits			
3. Travel			
4. Equipment			
5. Supplies			
6. Contractual Services	\$0.00	\$896,000.00	\$896,000.00
7. Consultant (Professional Services)			
8. Construction	\$2,000,000.00	\$1,582,000.00	\$3,582,000.00
9. Occupancy (Rent and Utilities)			
10. Research and Development (R & D)			
11. Telecommunications			
12. Training and Education			
13. Direct Administrative Costs			
14. Other or Miscellaneous Costs			
15. GRANT EXCLUSIVE LINE ITEM(S)			
16. Indirect Costs			
State Request	\$2,000,000.00		
Non-State Amount		\$2,478,000.00	
<b>TOTAL PROJECT COSTS</b>			<b>\$4,478,000.00</b>



State of Illinois
UNIFORM GRANT BUDGET TEMPLATE

For State Use Only

Grantee: Madison County Transit District
Data Universal Number System (DUNS) Number (enter numbers only) : 131055548
Notice of Funding Opportunity (NOFO) Number: 21-1000-01

Catalog of State Financial Assistance (CSFA) Number: 494-00-1000 CSFA Short Description: Illinois Transportation Enhancement Program

Fiscal Year(s):

Initial Budget Request Amount:

Prior Written Approval for Expense Line Item:

Statutory Limits or Restrictions:

Checklist:

Final Budget Amount Approved:

Program Approval Name Program Approval Signature Date

Fiscal & Administrative Approval Name Fiscal & Administrative Approval Signature Date

Budget Revision Approved:

Program Approval Name Program Approval Signature Date

Fiscal & Administrative Approval Signature Fiscal & Administrative Approval Signature Date

§200.308 Revision of budget and program plans

(e) The Federal/State awarding agency may, at its option, restrict the transfer of funds among direct cost categories or programs, functions and activities for Federal/State awards in which the Federal/State share of the project exceeds the Simplified Acquisition Threshold and the cumulative amount of such transfers exceeds or is expected to exceed 10 percent or \$1,000 per detail line item, whichever is greater of the total budget as last approved by the Federal/State awarding agency. The Federal/State awarding agency cannot permit a transfer that would cause any Federal/State appropriation to be used for purposes other than those consistent with the appropriation.

**Exhibit 8c**  
**GATA Document**  
**Uniform Grant Agreement Affidavit of Disclosure of**  
**Conflicts of Interest-Grantee**



# Uniform Grant Agreement Affidavit of Disclosure of Conflicts of Interest-Grantee



Agreement No.

Employee Name

Michelle Domer

Position of Employee

Director of Accounting

Grantee's disclosure of the information contained in this Form is required by the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards published in Title 2, Part 200 of the Code of Federal Regulations, 2 CFR 200.112, and 44 Ill. Admin Code 7000.40(b)(3). As an Employee or Officer of Grantee, I will remain bias-free before, during and after the award process of the Grant Agreement. Pursuant to the above referenced Uniform Guidance and Administrative Rules, I have identified below any relationship I have, or have had, of a family, political, financial, or social nature with any of Grantor's employees related to this Grant Agreement, and wait for direction from the Grants Unit Manager and the Department's Ethics Officer before proceeding to participate with Grantor in the award process. After submittal of this Disclosure to the Department's Bureau of Business Services, the Bureau of Business Services will provide this form to the Ethics Officer if a conflict is noted.

Check statement 1 or 2. If you check statement 1, please sign and date the form. If you check statement 2, please complete the information and then sign and date the form.

- 1. I do not have, nor have I had, any relationship described above nor any other conflict of interest with any of Grantor's employees for this Grant Agreement.
- 2. I have, or have had, a relationship described above or other conflict of interest with the following employees of Grantor for this Grant Agreement.

Name of Grantor's employee or officer

Nature of Potential Conflict

By checking this box and typing my name below, I verify this document has been reviewed and approved by the owner and myself.

Approver Name

Michelle Domer

Date

10/15/20



# Uniform Grant Agreement Affidavit of Disclosure of Conflicts of Interest-Grantee



Agreement No.

Employee Name

Steven J Morrison

Position of Employee

Managing Director

Grantee's disclosure of the information contained in this Form is required by the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards published in Title 2, Part 200 of the Code of Federal Regulations, 2 CFR 200.112, and 44 Ill. Admin Code 7000.40(b)(3). As an Employee or Officer of Grantee, I will remain bias-free before, during and after the award process of the Grant Agreement. Pursuant to the above referenced Uniform Guidance and Administrative Rules, I have identified below any relationship I have, or have had, of a family, political, financial, or social nature with any of Grantor's employees related to this Grant Agreement, and wait for direction from the Grants Unit Manager and the Department's Ethics Officer before proceeding to participate with Grantor in the award process. After submittal of this Disclosure to the Department's Bureau of Business Services, the Bureau of Business Services will provide this form to the Ethics Officer if a conflict is noted.

Check statement 1 or 2. If you check statement 1, please sign and date the form. If you check statement 2, please complete the information and then sign and date the form.

- 1. I do not have, nor have I had, any relationship described above nor any other conflict of interest with any of Grantor's employees for this Grant Agreement.
- 2. I have, or have had, a relationship described above or other conflict of interest with the following employees of Grantor for this Grant Agreement.

Name of Grantor's employee or officer

Nature of Potential Conflict

By checking this box and typing my name below, I verify this document has been reviewed and approved by the owner and myself.

Approver Name

Steven J. Morrison

Date

10/15/20

**Exhibit 8d**  
**GATA Document**  
**Programmatic Risk Assessment Questionnaire FY20**

**Programmatic Risk Assessment Questionnaire  
FY20**

The purpose of this assessment is to evaluate the programmatic risk of the applicant. Limited program experience, protocols and internal control governing program delivery will increase an applicant’s degree of risk but will not preclude the applicant from becoming a grantee. The applicant’s degree of risk may require additional conditions to be incorporated into the grant award pursuant to 2 CFR 200.207.

Patterns or trends in programmatic risk will influence GATA training as well as the agency’s monitoring plan. Appropriate support must be provided by GATU and the agency to build grantee capacity.

Process:

- A. The agency adds agency and / or grant-specific questions under section 5.
- B. The questionnaire (including the agency and/or grant-specific questions) is distributed to the applicant by the agency prior to an awarding decision.
- C. The applicant returns the completed questionnaire to the agency. The agency scores the questionnaire based on the responses provided by the applicant. (The automated form will score the responses.)
- D. The calculated responses equate to a risk profile for each of the 5 risk categories.
- E. The agency aligns the risk profile to the applicable specific condition(s) for medium and high risk applicants in each of the 5 risk categories.
- F. The agency communicates the applicable specific condition(s) within the Notice of State Award.

A separate programmatic risk assessment  
is required for each grant application.

Responses should be program-specific.

Program Associated with this Programmatic Risk Assessment:	Illinois Transportation Enhancement Program (ITEP)
Awarding State Agency:	Illinois Department of Transportation
Entity Completing Programmatic Risk Assessment:	Madison County Mass Transit District
Individual Completing Programmatic Risk Assessment:	Steven J. Morrison
Contact Information for Completer (Phone and Email):	(618) 797-4600 smorrison@mct.org

In response to the requirements of 2 CFR 200.205, the awarding agency is required to review the programmatic risk posed by applicants. Five risk categories are assessed through this questionnaire:

1. Quality of management systems and ability to meet the management standards
2. History of performance
3. Reports and findings from audits performed under Subpart F—Audit Requirements of this part or the reports and findings of any other available audit
4. The applicant's ability to effectively implement statutory, regulatory, or other requirements imposed on awardees.
5. Agency-specific Questions (As applicable based on terms of the Notice of Funding Opportunity)

1. Quality of management systems and ability to meet the management standards

1.1. Do you have written policies and procedures that guide program delivery on the topics of:

- |  |  |
|--|--|
| a. Quality assurance   | <input checked="" type="checkbox"/> YES/ <input type="checkbox"/> NO |
| b. Outcome tracking and reporting mechanisms   | <input checked="" type="checkbox"/> YES/ <input type="checkbox"/> NO |
| c. Relevant documentation of services/goods delivered  | <input checked="" type="checkbox"/> YES/ <input type="checkbox"/> NO |
| d. Staff performance management policies and procedures  | <input checked="" type="checkbox"/> YES/ <input type="checkbox"/> NO |
| e. Personnel policies and procedures that include conflict of interest statements                  | <input checked="" type="checkbox"/> YES/ <input type="checkbox"/> NO |
| f. Complaint/grievance resolution policies and procedures  | <input checked="" type="checkbox"/> YES/ <input type="checkbox"/> NO |
| g. Governing body policies and procedures that include conflict of interest statements             | <input checked="" type="checkbox"/> YES/ <input type="checkbox"/> NO |
| h. Safeguarding funds, property and other assets against loss from unauthorized use or disposition | <input checked="" type="checkbox"/> YES/ <input type="checkbox"/> NO |
| i. Management of grant term extensions, where applicable   | <input checked="" type="checkbox"/> YES/ <input type="checkbox"/> NO |

1.2. Do you have internal controls that govern program delivery on the topics of:

- |   |  |
|---|--|
| a. Quality assurance reporting                    | <input checked="" type="checkbox"/> YES/ <input type="checkbox"/> NO   |
| b. Appropriate (to industry) supervision of staff | <input checked="" type="checkbox"/> YES/ <input type="checkbox"/> NO   |
| c. Unit costs analysis and management             | <input checked="" type="checkbox"/> YES/ <input type="checkbox"/> NO   |
| d. Accreditation/licensing compliance program     | <input type="checkbox"/> YES/ <input type="checkbox"/> NO / <input checked="" type="checkbox"/> NOT APPLICABLE |

1.3. Does the organization have written standards of conduct covering real or perceived conflict of interest related to actions of employees engaged in the selection, award or administration of contracts supported by grant awards?  YES/ NO

1.4. How many years of experience does the project leader have managing the scope of services required under this program?

- More than five years  
 One to five years  
 Less than one year

1.5. Does the organization have a time and effort system that:

- a. Records all time worked, including time not charged to awards?  YES /  NO



- b. Is signed-off by the employee and a supervisor?  YES/ NO
- c. Includes an approved methodology?  YES/ NO/ NOT APPLICABLE

Question is not applicable because grants are based on a set rate or a per unit of service. Go to question 1.6.

1.6. Does the organization have controls for invoicing grants paid based on a rate or unit of service?

YES/ NO

1.7. Does the organization apply the same standard for match requirements as it does for expenses?

YES/ NO/ NOT APPLICABLE - WE'VE NOT BEEN SUBJECT TO MATCH REQUIREMENTS

1.8. To what extent are you able to produce periodic grant status reports to inform stakeholders about program outcomes?

- Reports are an established part of grant management procedures
- We're developing reports as part of grant management procedures
- We do not currently have established reports as part of grant management

2. **History of performance** (The applicant's record in managing grant awards, if it is a prior recipient of awards, including timeliness of compliance with applicable reporting requirements, conformance to the terms and conditions of previous awards, and if applicable, the extent to which any previously awarded amounts will be expended prior to future awards)

2.1. How many years of experience does your organization have with grants of comparable scope and/or capacity?

- More than five years
- One to five years
- Less than one year
- No experience GO TO QUESTION 3.3

2.2. If your organization has experience with grants of comparable scope and/or capacity, provide a brief description of similar project goals and outcomes; specify the applicable year: (The District was a recipient of an IDOT Illinois Jobs Now grant CAP-11-791-ILL for Phase 1 improvements to the District's headquarters located at One Transit Way in Granite City, Illinois. The Final IDOT quarterly report for this project was submitted January 2014.)

2.3. During your last two fiscal years, how frequently has your organization submitted project performance reports on time?

- Always
- Reported late up to three times
- Reported late four or more times
- Not applicable – not a requirement of awards previously received

2.4. Have there been any significant changes in your organization in the last fiscal year related to:

- a. Leadership change(s)  YES/ NO
- b. Significant program / grant initiative(s)  YES/ NO
- c. Structural changes  YES/ NO
- d. Fiscal changes  YES/ NO
- e. Statutory or regulatory requirements  YES/ NO
- f. Other  YES/ NO

2.5. Provide a brief explanation for all "YES" responses to question 2.4. (Managing Director Jerry Kane resigned in December 2019. Steven Morrison was named Interim Managing Director in January 2020)

2.6. Does the organization utilize a sub-grantee/sub-recipient / sub-award to manage, administer or complete a project?  YES/ NO If NO, go to question 2.10.

2.7. What responsibilities does the sub-grantee/sub-recipient/sub-award perform?

- a. Participant eligibility determination  YES/ NO
- b. Performance reporting  YES/ NO
- c. Program delivery functions  YES/ NO
- d. Financial reporting  YES/ NO
- e. Other  YES/ NO

2.8. What percentage of grant funds does the organization pass on to sub-grantees/sub-recipients/sub-awards?

- Less than 10%
- 10-20%
- More than 20%

2.9. Does your organization have an implemented policy for sub-grantee monitoring?  YES/ NO

If NO, go to 2.10. If YES, does it include:

- on-site review
- review of prior monitoring
- desk / quantitative review

2.10 Do you obtain prior written approval from the funding agency when:

- a. The scope or objective of the program changes  YES/ NO
- b. Key personnel specified in the application change  YES/ NO
- c. The approved project director disengages for more than 3 months or reduces 25% of time devoted to the project  YES/ NO

Question is not applicable because organization has not been subject to these requirements

2.11 Does your organization have performance measurements that tie to financial data?

- YES/ NO

**3. Reports and findings from audits performed under Subpart F—Audit Requirements of this part or the reports and findings of any other available audit**

3.1. During the last two fiscal years, has your organization been out of compliance with *programmatic* terms and conditions of awards?

- Organization has not been audited; Go to Question 3.6
- No occurrences of non-compliance; Go to Question 3.6
- One to three occurrences of non-compliance
- Four or more occurrences of non-compliance

3.2. If your organization had at least one occurrence of non-compliance with programmatic terms and conditions, summarize each occurrence. (Text response)

3.3. Have corrective actions been implemented within the specified timeframe?  YES/ NO

3.4. Provide explanation for any corrective actions that were not implemented within the timeframe specified and for any corrective actions that remain open. (Text response)

3.5. Have there been conflict of interest-related findings within the last two fiscal years?  YES/ NO

- a. If NO, go to question 3.6
- b. If YES, specify the conflict of interest-related finding and your response to the finding. (Text response)

3.6. Has your organization been subject to conditional approvals due to program issues?  YES/ NO

- a. If NO, to go question 4.1.
- b. If YES, specify the terms of the special condition and whether or not the special condition is still applicable. (Text response)

**4. The applicant's ability to effectively implement statutory, regulatory, or other requirements imposed on awardees.**

4.1. To what extent does your organization have policies to ensure programmatic expenses are reasonable, necessary and prudent (allowable)?

- Policies are implemented and followed
- Policies are not fully implemented
- The organization does not currently have these types of policies

4.2. To what extent does your organization have policies to ensure programmatic activities are allowable?

- Policies are implemented and followed
- Policies are not fully implemented
- The organization does not currently have these types of policies

4.3. To what extent is your organization able to comply with all statutory requirements of this program?

- Fully able to comply with all statutory requirements
- With the following exception(s), the organization is able to comply: Text response of exception(s)

4.4. Has the organization been out of compliance with any statutory, regulatory or other requirements of grant funding within the last two fiscal years?  YES/ NO

If YES, provide explanation. (Text response)

**Certification Section**

---

Authorized Signature

---

Date

# IL 111 / Schoolhouse Trail Conceptual Grade Separation Study

---

## Prepared for:

Madison County Transit District  
One Transit Way  
P.O. Box 7500  
Granite City, IL 62040



## Prepared by:

Oates Associates, Inc.  
100 Lanter Ct., Suite 1  
Collinsville, IL 62234

Oates Project Number: 220056



*September 2020*

[www.oatesassociates.com](http://www.oatesassociates.com)

Collinsville  
St. Louis  
Belleville  
St. Charles

## Table of Contents

1. INTRODUCTION.....	1
2. EXISTING CONDITIONS.....	2
3. PROPOSED ALIGNMENTS.....	4
4. SUMMARY.....	10

## Appendices

Appendix A – Project Photos

Appendix B – Bridge Alignment Conceptual Plans

Appendix C – Bridge Cost Estimate

Appendix D – Box Culvert Elevation Calculations

## 1. INTRODUCTION

The Madison County Transit District (MCT) contracted with Oates Associates to perform a conceptual study to analyze grade separation structures for the Schoolhouse Trail crossing at Illinois Route 111 (IL 111) in Pontoon Beach.

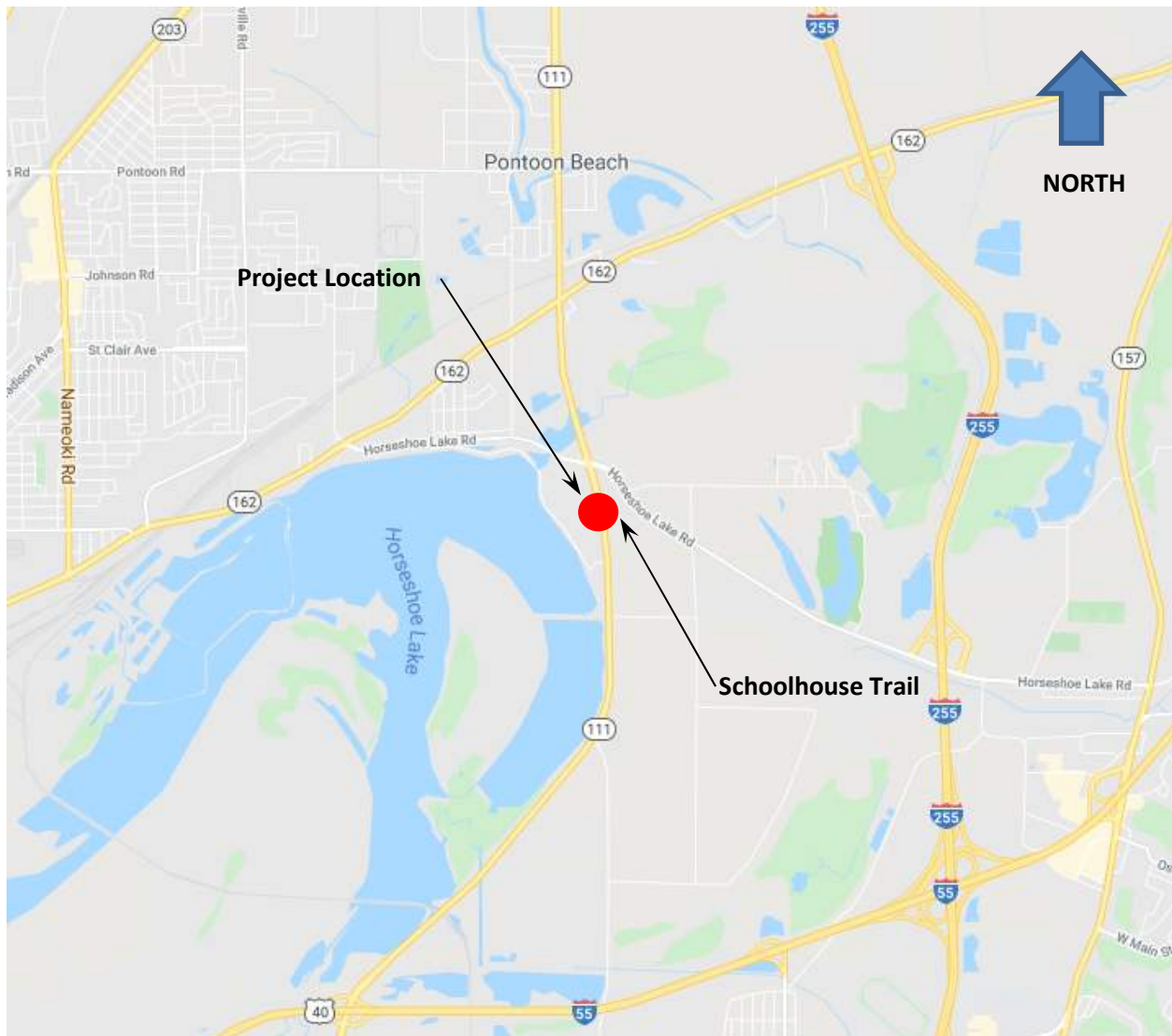


Fig. 1.1 - Location Map

In 1997, MCT took ownership of several former Norfolk and Western Railroad lines. The goal was to transform these old, abandoned railroad corridors into bikeways. One rail line that ran from the Madison to Troy, Illinois would eventually become the Schoolhouse Trail. The Schoolhouse Trail is roughly 15.5 miles in length running from Illinois Route 203 in Madison, Illinois to just south of Illinois Route 162 near Troy, Illinois. The trail provides direct connections to points of interest such as Horseshoe Lake State Park, the Maryville YMCA, Drost Park, and the Eastport commercial development in Collinsville which houses the Metro East Park and Recreation District headquarters, parking and rest facilities, and

Splash City Water Park. It connects Madison, Pontoon Beach, Collinsville, Maryville, and the west side of Troy. The trail also links to the MCT Nature Trail and Goshen Trail.

The study location is just south of the intersection of Horseshoe Lake Road and IL 111 at the area where the Schoolhouse Bike Trail crosses IL 111 near Horseshoe Lake Rd. Many times, there is a need to separate pedestrian and bike crossing from busy roads due to safety concerns. In these cases, both underpasses and overpasses are options. The topography of the surrounding area usually will govern which type of grade separation is selected.

While there are no clear “warrants” for a trail grade separation crossing of a roadway, a number of factors can be considered:

- The number of lanes, volume, and speed of traffic for the roadway to be crossed
- The suitability of the existing topography for grade separation
- Any changes in alignment that may be necessary to achieve the grade separation
- The opportunities or limitations placed on path connectivity to the surrounding area by the grade separation
- Consideration of safety and security issues particularly crash history and fatalities at the location
  - There was a pedalcyclist fatality at IL-111 and HLR in 2012
- The volume and mix of path users. For instance, older or younger users who may feel safer crossing a roadway through a separated structure versus at grade.

The Schoolhouse Trail is a popular, heavily traveled segment of the MCT Trail System. In particular, the segment of trail near Horseshoe Lake is popular with riders as part of trail loops of varying lengths. This segment sees significant use due to the convenience access to Horseshoe Lake Park and connection with MCT's Nature Trail.

MCT is proposing a grade separation of Schoolhouse Trail at IL 111 near Horseshoe Lake Road. The existing IL 111 crossing is “at-grade” utilizing push button actuated pedestrian traffic signals to cross. While this may be safer than having no signals at all, it still creates some wait time for users who may opt to ignore the signals as they become frustrated or impatient. In addition, the right turn lanes from eastbound HLR and northbound IL 111 are more continuous movements and poses a threat to users crossing this “slip lane”. There was a fatality of a pedalcyclist in 2012 at this crossing.

The purpose of this report is to study the feasibility of a grade-separation structure along the Schoolhouse Trail alignment crossing IL 111 approximately 600 feet south of the intersection of Horseshoe Lake Road and IL 111. The feasibility of a box culvert (underpass) option and a bridge (overpass) option were studied so that MCT can decide which option is the best fit for their needs.

## 2. EXISTING CONDITIONS

This study deals specifically with the Schoolhouse Trail crossing at IL 111 south of Pontoon Beach. The existing crossing is at the south leg of the HLR/IL 111 intersection. More specifically the crossing lies in the Northeast Quarter of the Southwest Quarter of Section 14, Township 3 North, Range 9 West, in the Third Principal Meridian. The terrain is flat, which is consistent with that of the American Bottoms (Mississippi River floodplain). The area is largely agricultural with some industrial and commercial development. The trail



parallels HLR from Granite City to Collinsville and mostly runs in an east/west direction. It is made of a 10-foot wide asphalt surface over compacted aggregate base.

HLR is functionally classified as a minor arterial route and falls under the jurisdiction of the Nameoki Township. It runs in an east-west direction from Granite City to Collinsville and terminates on the east at IL 157. Near the IL 111 intersection, it sees an average of about 8900 vehicles per day and consists of two through lanes – one eastbound and one westbound lane. At the IL 111 intersection, the roadway consists of a westbound lane, eastbound lane, dedicated left turn lanes in both directions, and separate right turn lanes in each direction.

IL 111 runs in a north/south direction at the study location. It is functionally classified as a minor arterial route and is under the jurisdiction of the Illinois Department of Transportation (IDOT) (State of Illinois). It has an average daily traffic of 6750 cars per day consists of 4 lanes – two northbound through lanes and two southbound through lanes. At the HLR intersection the roadway consists of two westbound lanes, two eastbound lanes, dedicated left turn lanes in both directions, and separate right turn lanes in each direction.

The Schoolhouse Trail generally runs east-west south of HLR and sits on right of way that is typically 100 feet wide. For the most part, the trail is centered within the right of way. There is a segment of right of way approximately 220 feet east of IL 111 that transitions to 75 feet, and the trail is offset south of center in this area.

At the east side of IL 111, Schoolhouse Trail turns north to cross IL 111 the intersection with HRL. An existing at grade signalized pedestrian crossing is provided crossing the south leg of the intersection. Pedestrian signals and push buttons are provided at the crossing. The existing at grade crossing crosses seven traffic lanes (two thru lanes in each direction free flow right turn lanes each direction, and a left turn lane). Westbound trail users cross a right turn "slip" lane from IL 111 onto eastbound HRL to a median. A pushbutton is installed on a signal post where users wait for the appropriate light to cross. A pushbutton for ped signals is also installed on the mast arm on the west side of IL 111 for users traveling east. Schoolhouse Trail continues on the west side of IL 111, turning south to realign with the old railroad ROW corridor.

The Schoolhouse Trail right of way at the study location approximately 600 ft south of the intersection of IL 111 and HLR is continuous, meaning that MCT has ownership of the right of way that crosses IL 111. The State of Illinois (IDOT) has a permanent easement for the roadway.

### 3. PROPOSED ALIGNMENTS

Two grade separation options were evaluated for the IL 111 crossing. One option looks at the feasibility of a box culvert (underpass) that would carry traffic under IL 111 with the second option looking at a bridge (overpass) that would span the roadway.

#### 3.1 Box Culvert (Underpass) Option



*Fig. 3.1 - Example of a culvert underpass on old IL 157 in Edwardsville near the LeClair neighborhood*

Box culverts can be either precast concrete or cast-in-place with advantages to each. A pre-cast box culvert would be quicker to install but the joints between each section would need to be addressed using a poured slab floor. A cast-in-place structure would provide smooth, jointless installation but come at the cost of lengthy construction. Finally, three-sided precast concrete culvert sections are available and allow for a poured, minimal joint floor. These structures generally require a poured concrete footing to be placed on. For this report, a pre-cast concrete box culvert was assumed having a 14-foot wide span (5-foot lanes in each direction with 2-foot "shoulder") and 10-foot height (can be a minimum of 8 feet), which is the generally accepted for tunnel (underpass) type applications. This would allow emergency vehicular access as well as bicycle riders in a raised position without worry of interference with the ceiling. The box culvert length was assumed at 170 feet, which was

determined using IDOT clear zone distances. An ADA 5% grade into the box results in about 300 feet of slope to match existing trail grades on each side of the box culvert.

### **Drainage**

A critical consideration when looking at a box culvert option is how the structure will be drained. Inevitably, rainwater will enter the culvert and it will need to be removed to prevent flooding during frequent storms. There are generally two options to consider: 1.) gravity drain through storm sewers, or 2.) mechanical means by using a pump station.

The gravity system would function by having a discharge of the storm sewer at an elevation lower than the box culvert. In this case, Horseshoe Lake is located about 1600 feet west of the proposed crossing. Based on survey data, approximately 20 feet of fall is available from the IL 111 pavement (measured from edge of shoulder) to the normal pool elevation of Horseshoe Lake. Assuming a pavement thickness of roughly a foot, 2 feet of thickness for a sub-base, a box culvert ceiling thickness of a foot, a 10-foot box culvert height, and slope of the box floor for drainage only about 4 feet of grade change is available for a storm sewer. A maximum slope of only 0.2% to 0.3% could be achieved with this minimal grade change available over the 1600 feet to Horseshoe Lake. This slope does not meet the typical minimum slope required to for storm sewer. The flat slope makes it likely infeasible that the underpass can be drained gravity.

A mechanical or pump station means to drain the box culvert is an alternative option, which uses pumps to discharge the water from the culvert. A sump or "wet well" is used to collect the storm water. A pump triggered by float sensors is activated once water levels reach preset elevations pumps the stormwater to a surface receiving stream. In this case, that would likely be the ditch along Schoolhouse Trail to Horseshoe Lake. In most cases, a backup generator is incorporated since in most severe weather events the electrical grid (power to the pump) is also down. The life span of the system is on average about 15-20 years. Pump stations and their associated appurtenances are generally much more costly to install than a gravity system. Ongoing maintenance requirements and concerns about reliability of pump operation make this option undesirable. As directed by the MCT Director of Engineering, pumps were not further considered for the purposes of this report.

Proximity of the box culvert (underpass) and drainage discharge points to an adjacent floodplain are a major concern. The crossing location at IL 111 is not immediately within a flood zone, however a drainage system would discharge to Horseshoe Lake which is located in a Flood Zone A and the area just north of Horseshoe Lake Road is in Zone AH with a flood elevation of 411 which is above the likely box culvert floor elevation of 409.7. During flood conditions, flooding downstream of the box culvert location will make it infeasible to drain a box culvert (underpass) by gravity.

Therefore, since gravity drainage and pumping the stormwater are not viable, a box culvert (underpass) is not considered a viable grade separation option. It is not feasible to provide adequate drainage for a box culvert (underpass) at this location.

### 3.2 Bridge (Overpass) Option



3.2 Example of a pre-engineered pedestrian truss bridge over IL 162 near Troy

Bridges can come in many different configurations and accommodate different span lengths:

- Pre-engineered (pre-fab) metal bridge (truss) – spans up to 250 feet for pedestrian loading
- Composite steel and concrete (girders) – generally 100 feet or less
- Cable-stayed – spans up to 400 feet
- Suspension – spans up to 500 feet
- Arch – spans up to 300 feet

A pre-engineered truss bridge was assumed for the study. The bridge will consist of a single span over IL 111. The span extends to the clear zones of IL 111 with mechanically stabilized earth (MSE) walls assumed at each abutment. The existing Schoolhouse Trail alignment is at a 40-degree angle to IL 111 resulting in a 230-foot span required; but span greater than 200 feet requires a more robust design which significantly increases the bridge cost, so we looked at other alignment options.

After reviewing various options, a 30-degree skew requiring a 165 span was selected to reduce span length and limit acquisition of ROW required. Conceptual drawings of this proposed alignment are included in Appendix C. We looked at a pier in the IL 111 median, however a pier is not preferred by IDOT as it can create an additional safety concern for



vehicular traffic on IL 111 (as discussed at June 29, 2020 meeting with IDOT). Various trail alignments were considered over IL 111 to reduce the bridge span required. An alignment perpendicular to IL 111 would result in the shortest required span, however this would also require sharper curves in the trail alignment and additional property acquisition. IDOT is initially agreeable to use of existing IDOT ROW on the north side of the existing MCT ROW and relocating a portion of the existing aggregate storage located on IDOT ROW at this location (as discussed at June 29, 2020 meeting with IDOT). The selected 30-degree skew alignment allows the trail and embankment to remain on MCT and IDOT ROW without encroaching on adjacent private property as well as providing a favorable trail alignment for rideability.

Cast-in-place concrete abutments on steel H-piles were assumed. Pedestrian overpasses require a minimum vertical clearance of 17 feet, 3 inches in accordance with IDOT's Bureau of Design and Environment Manual (BDE page 39, figure 6.3, note 15). Clearance over IL 111 to the low chord of the truss of the bridge was set at a minimum of 18 feet based on direction from IDOT (email dated June 4, 2020 from RuAnna Stumpf and reiterated at June 29, 2020 meeting) to allow for future overlay of IL 111, new Transportation Asset Management Plan policy, and allowances for over height permit moves. For this study we've assumed a height to finish grade of bridge at 446.5 feet which assumes structure depth/thickness of 3.5 feet.

The general recommended width for a bridge is to use the approach trail width (10 feet in this case) plus 2-foot shoulders which would equal a width of 14 feet. In order to save some cost, a bridge surface width of 12 feet was provided allowing for a trail width of 10-feet in conjunction with 1-foot shoulders.

In many cases, bridges will need to be designed to accommodate vehicular traffic for emergency vehicle access, maintenance equipment, and inspection. This segment of trail is also accessible by vehicles from HLR and Hanfelder Road approximately 0.65 miles east of the proposed bridge and from HLR and Lake Drive approximately 0.5 miles west of the proposed bridge.

Due to the height of the bridge, the distance needed to maintain a 5% grade from the abutment to existing grade will need to be about 700 feet long on each side of the bridge. An additional 500 feet of trail, following natural grade, is required on the west side of IL 111 to connect with the existing trail pavement.

Connections to the IL 111 shoulders to the trail are proposed as required by IDOT to provide direct trail access for highway bicyclist. The northbound shoulder connection is provided on the east side of IL 111 by utilizing the existing trail alignment to the proposed trail alignment crossing the proposed bridge. A connection is provided from the southbound shoulder just north of the bridge alignment with a short segment of new trail connecting to the existing portion of the trail that is currently used to access the pedestrian crossing from the west. This trail then reconnects to the main trail alignment west of the proposed bridge. The shoulder connections can be further coordinated with IDOT during final design to determine whether both the northbound shoulder and southbound shoulders are necessary or if one connection is sufficient.

**Utilities**

While the bridge option provides some advantage using abutments that could be placed to miss utilities, some of the existing utilities and their exact locations remain a concern. MSE wall foundations and added weight of embankments over existing utilities may also be a concern.

There is existing Ameren underground electric along the north side of the existing trail within MCT ROW. Ameren Underground gas is also located on the north side of the trail with a spur crossing the trail at approximately Sta 28+00 and running parallel to IL 111. Underground Fiber Optic runs parallel to the west side of IL 111 crossing the trail alignment at approximately 25+75. Southwestern Electric Coop overhead electric line and pole at approximately Sta 28+10 is in direct conflict with the proposed trail and embankment. There is a Buckeye underground gas main crossing the existing trail at approximately STA 29+90 which is under proposed embankment and MSE wall. There is an existing water main west of IL 111 which is believed to cross the proposed trail alignment at approximately STA 24+25 and is located under proposed embankment and MSE wall.

Cost of utility relocation within MCT right of way is assumed to be the responsibility of the respective utility, but on IDOT and/or on private easement the cost may be MCT's responsibility.

**Construction**

The construction of a bridge can be completed without significant impacts to traffic. Outside lane restrictions may be needed when constructing the abutments and MSE walls adjacent to IL 111. The bridge section is set with a crane, and the road will need to be closed during lifting and placement of the bridge. The span can be set when traffic is low for instance, on the weekends or at night.

**Environmental**

Since the project is proposed to include encroachment onto IDOT ROW it is likely that tree mitigation will be required as well as compensatory storm water storage for embankment placed in the American Bottoms. Threatened and endangered species may be in the vicinity of the project location. Coordination with the Illinois Department of Natural Resources (IDNR) through their online EcoCat procedure has been completed. EcoCAT results indicated that the Illinois Natural Heritage Database shows two species of protected birds, Common Moorhen (*Gallinula chloropus*) and Least Bittern (*Ixobrychus exilis*), may be in the project vicinity. A submittal for initial coordination with the Illinois Historic Preservation Agency (IHPA) was completed. Results were not available at the time of submittal.

**Features**

1. Drainage and flooding is not a concern
2. Most of the work can be done without interrupting traffic
3. Requires less subsurface work
  - a. Less chance of utility conflict
4. Less security concerns than a box culvert since it is more “open”
5. Aesthetically pleasing

**Challenges**

1. Time required for earth settlement at bridge cone
2. Visual interference for IL 111 traffic
3. Requires more ongoing maintenance than a box culvert
4. Areas with 2:1 slopes will require stabilization and ongoing maintenance
5. Longer construction duration
6. Possible utility issues
  - a. Possible conflicts with utilities along IL 111
    - i. Buckeye Gas Main – embankment over main
    - ii. AT&T Fiber Optic – embankment over line
    - iii. Southwestern Electric Overhead Electric – -pole in conflict
    - iv. Illinois American Water – embankment over main
7. Encroachment on IDOT ROW to the north of trail
  - a. Mitigation required for tree removal
  - b. Mitigation required for fill
  - c. Aggregate storage area to be relocated



## 4. SUMMARY

Grade separation will enhance safety for all users by separating bicycle and pedestrian users crossing IL 111 from motor vehicles. At IL 111 and HLR a box culvert (underpass) option for grade separation was found to be infeasible due to drainage and flooding concerns. A bridge (overpass) option is recommended at this location. A 30-degree skew allows for a balance between bridge length and ROW acquisition. Proposed conceptual plans for this alignment as well as a cost estimate are included in Appendix C.

IDOT is initially agreeable to allowing the proposed bridge embankment and trail alignment to encroach on IDOT ROW north of the trail. IDOT was also agreeable to relocating aggregate storage located on this property. Work on this property will likely require tree mitigation as well as stormwater detention mitigation for embankment placed. Additional coordination will be required with IDOT to review the proposed plans and formalize an agreement to utilize this property.

The estimated construction cost for this alignment is \$3.6 million dollars (cost excludes engineering, right of way, and utility relocations). This project was submitted for a Highway Safety Improvement Program Grant on June 12, 2020.

# Appendix A

## Project Photos



**Photo 1:** IL 111 looking North towards Schoolhouse Trail



**Photo 2:** IL 111 looking South towards Schoolhouse Trail





**Photo 3:** Schoolhouse Trail (East) looking West towards IL 111



**Photo 4:** Looking West towards IL 111 / Horseshoe Lake Road

# **Appendix B**

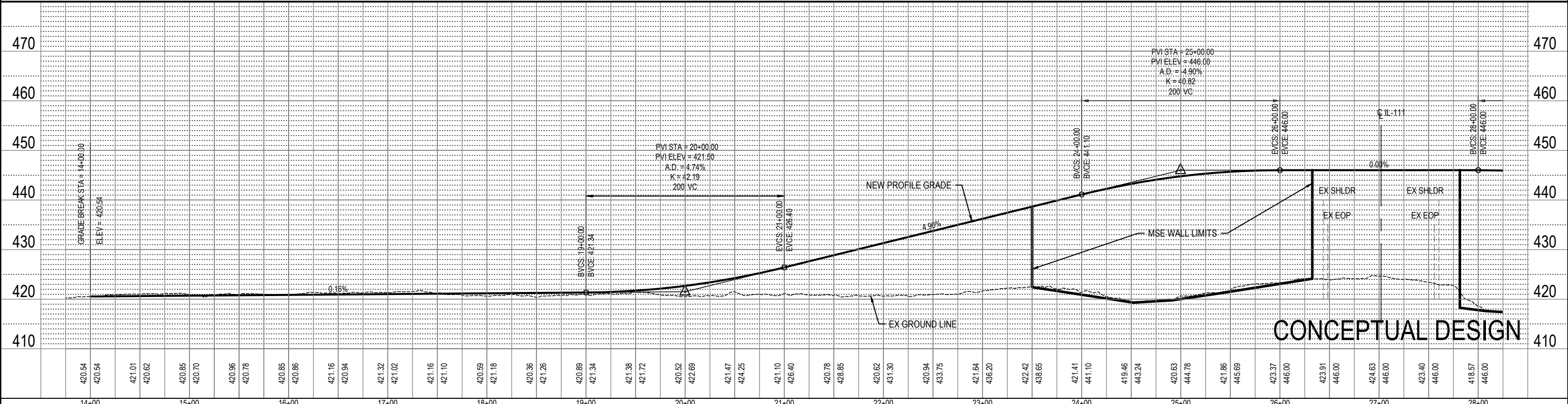
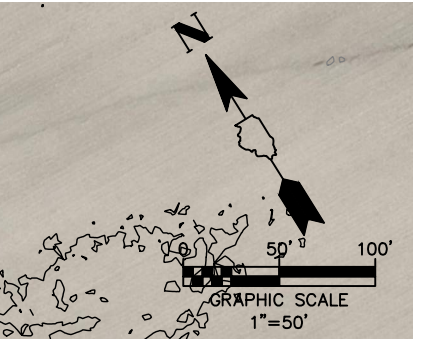
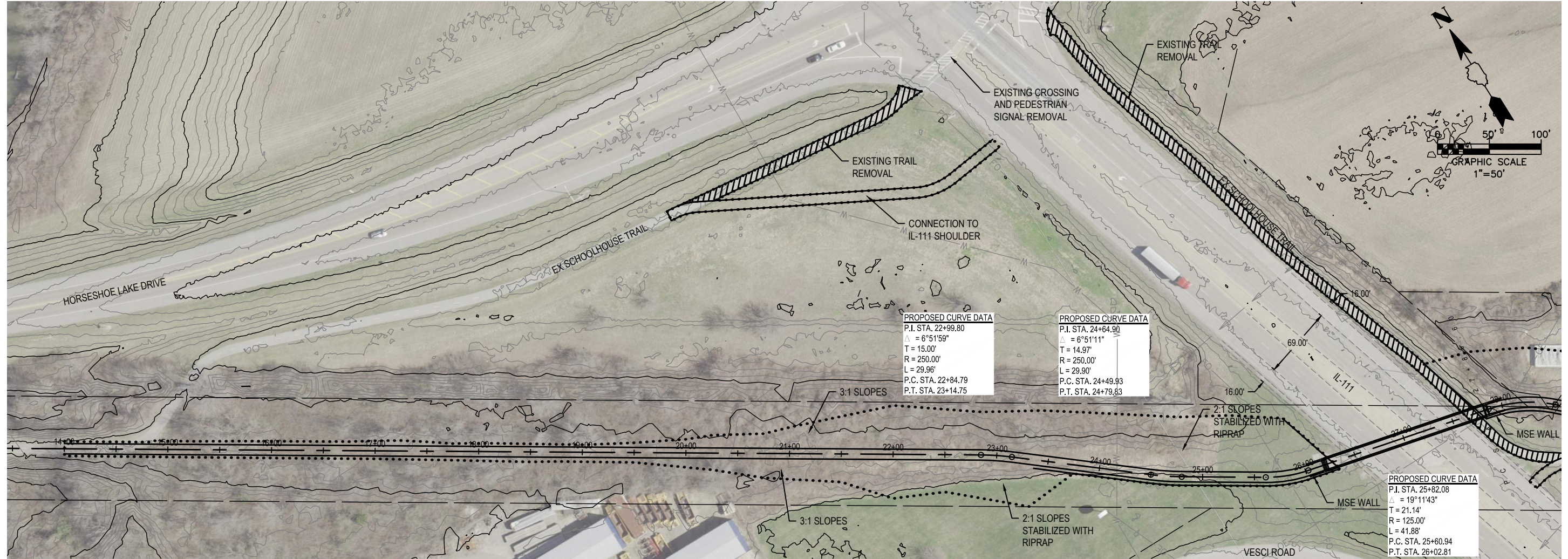
## **Bridge Alignment Conceptual Plans**



PLAN	SURVEYED	DATE
	PLOTTED	BY
	CHECKED	
	AT OMAHA CHECKED	
	NO. CAD FILE NAME	

PROFILE	SURVEYED	DATE
	PLOTTED	BY
	CHECKED	
	STRUCTURE NOTATIONS CHECKED	

FILE NAME = 220056 - CONCEPTUAL PLAN.DWG

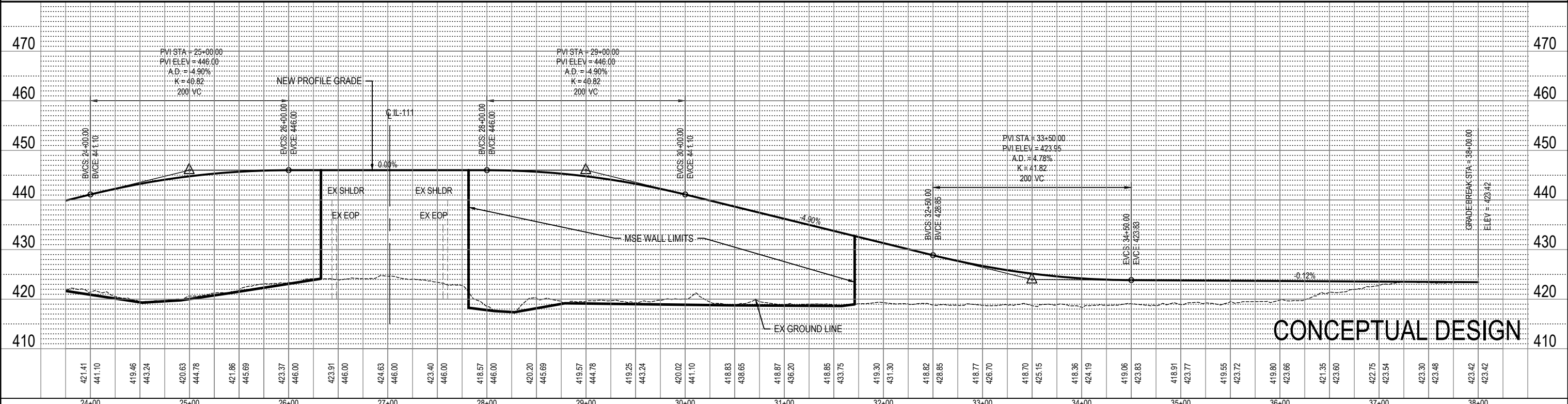
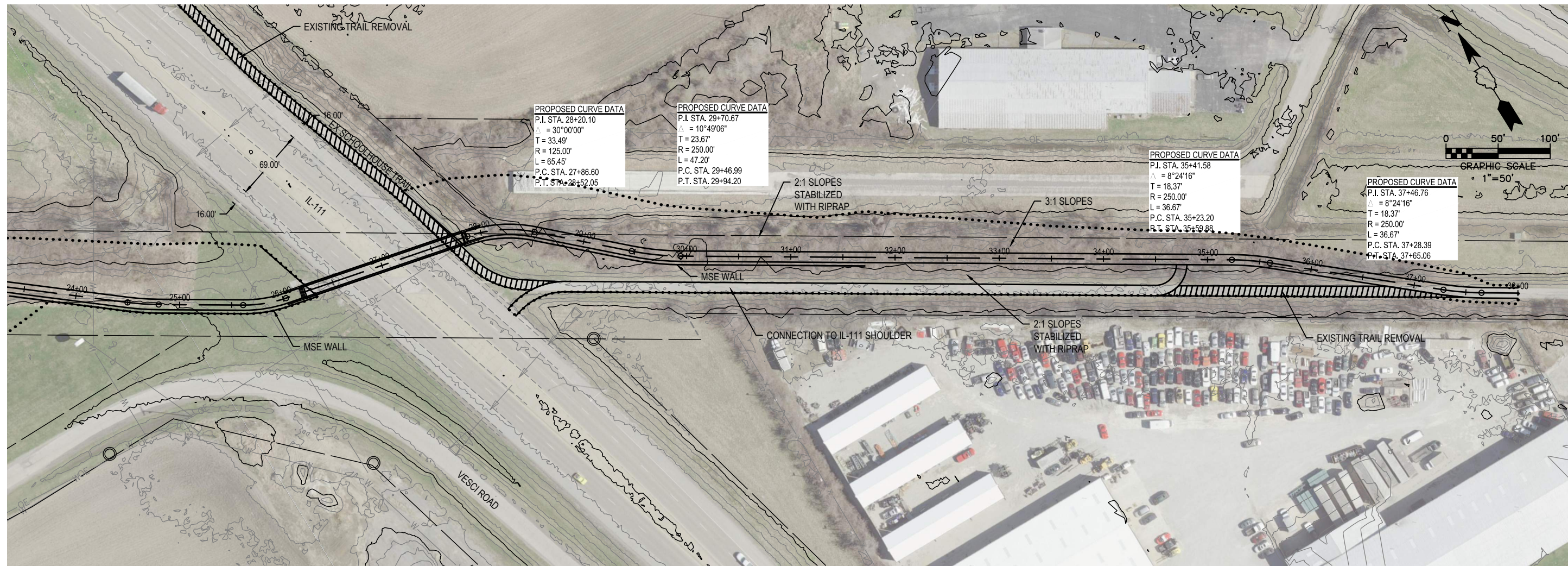


 ILLINOIS DESIGN FIRM LICENSE NO.: 184.001115	USER NAME = MICHELLE SPILLERS PLOT SCALE = 1" = 1' PLOT DATE = 2020-08-26	DESIGNED - DRAWN - CHECKED - DATE -	REVISED - REVISED - REVISED - REVISED -		<b>PLAN &amp; PROFILES</b>		F.A.U. RTE. SECTION COUNTY MADISON	TOTAL SHEETS 2	SHEET NO. 2
	SCALE: SHEET NO. xxx OF xxx SHEETS STA. TO STA.				SCHOOLHOUSE TRAIL OVER IL-111 MADISON COUNTY TRANSIT				



DATE	
BY	
PLAN	
NO.	
NOTE BOOK	
NO.	
SURVEYED	
PLOTTED	
CHECKED	
AT/OF/WAY CHECKED	
STRUCTURE NOTATIONS CHECKED	
CADD FILE NAME	

DATE	
BY	
PROFILE	
NO.	
NOTE BOOK	
NO.	
SURVEYED	
PLOTTED	
CHECKED	
AT/OF/WAY CHECKED	
STRUCTURE NOTATIONS CHECKED	
CADD FILE NAME	



FILE NAME = 220056 - CONCEPTUAL PLAN.DWG

 OATES ASSOCIATES WWW.OATESASSOCIATES.COM ILLINOIS DESIGN FIRM LICENSE NO.: 184.001115	USER NAME = MICHELLE SPILLERS PLOT SCALE = 1" = 1' PLOT DATE = 2020-08-26	DESIGNED - DRAWN - CHECKED - DATE -	REVISED - REVISED - REVISED - REVISED -	 <b>Madison County Transit</b>	<b>PLAN &amp; PROFILES</b> SCALE: SHEET NO. xxx OF xxx SHEETS STA. TO STA.	F.A.U. RTE. SECTION COUNTY TOTAL SHEETS SHEET NO. MADISON 2
	SCHOOLHOUSE TRAIL OVER IL-111 MADISON COUNTY TRANSIT					



# Appendix C

## Bridge Cost Estimate

## Conceptual Estimate of Project Costs

Project Owner:	Madison County Transit
Project Title:	Schoolhouse Trail Grade Separation at IL-111 south of Horseshoe Lake Road - Bridge (Overpass) Option
Date:	9/24/2020

CODE	ITEM	UNIT	QUANTITY	UNIT COST	COST	REMARKS
<b>PATH CONSTRUCTION</b>						
20100500	TREE REMOVAL, ACRES	ACRE	1.96	\$8,000.00	\$15,680	
20400100	BORROW EXCAVATION	CU YD	14000	\$20.00	\$280,000	
25000100	SEEDING, CLASS 1	ACRE	2.87	\$1,500.00	\$4,305	
25000400	NITROGEN FERTILIZER NUTRIENT	POUND	260	\$2.50	\$650	
25000500	PHOSPHORUS FERTILIZER NUTRIENT	POUND	260	\$2.50	\$650	
25000600	POTASSIUM FERTILIZER NUTRIENT	POUND	260	\$2.50	\$650	
25100115	MULCH, METHOD 2	ACRE	2.87	\$1,500.00	\$4,305	
25100630	EROSION CONTROL BLANKET	SQ YD	9007	\$1.50	\$13,511	
28000250	TEMPORARY EROSION CONTROL SEEDING	POUND	290	\$5.00	\$1,450	
28000400	PERIMETER EROSION CONTROL BARRIER	FOOT	5600	\$3.00	\$16,800	
28000500	INLET & PIPE PROTECT	EACH	8	\$100.00	\$800	
28100105	STONE RIPRAP, CLASS A3	SQ YD	1400	\$50.00	\$70,000	
30200650	PROCESSING MODIFIED SOIL 12"	SQ YD	2855	\$10.00	\$28,550	
35100500	AGGREGATE BASE COURSE, TYPE A 6"	SQ YD	2855	\$20.00	\$57,100	
40603310	HOT-MIX ASPHALT SURFACE COURSE, MIX "C", N50	TON	485	\$80.00	\$38,800	
44000100	PAVEMENT REMOVAL	SQ YD	1400	\$12.00	\$16,800	
50900805	PEDESTRIAN RAILING	FOOT	2080	\$75.00	\$156,000	
54213663	PRC FLAR END SEC 18	EACH	4	\$1,000.00	\$4,000	
78001110	PAINT PAVEMENT MARKING - LINE 4"	FOOT	1120	\$1.00	\$1,120	
542A0223	PIPE CULVERTS, CLASS A, TYPE 1 18"	FOOT	60	\$65.00	\$3,900	
X0327980	PAVEMENT MARKING REMOVAL - WATER BLASTING	SQ FT	236	\$5.00	\$1,180	
X1400031	REMOVE PEDESTRIAN PUSH-BUTTON	EACH	2	\$200.00	\$400	
X7240300	SIGN REMOVAL	EACH	5	\$100.00	\$500	
X8950307	REMOVE EXISTING PEDESTRIAN SIGNAL HEAD	EACH	2	\$300.00	\$600	
Z0004002	BOLLARDS	EACH	6	\$1,000.00	\$6,000	
	TRAFFIC CONTROL AND PROTECTION	L SUM	1	\$20,000.00	\$20,000	
<b>BRIDGE CONSTRUCTION</b>						
50200100	STRUCTURE EXCAVATION	CU YD	2340	\$25.00	\$58,500	
50300225	CONCRETE STRUCTURES	CU YD	42.2	\$700.00	\$29,540	
50301350	CONCRETE SUPERSTRUCTURE (APPROACH SLAB)	CU YD	10.8	\$400.00	\$4,320	
50800205	REINFORCEMENT BARS, EPOXY COATED	POUND	7160	\$2.15	\$15,394	
51201900	FURNISHING STEEL PILES HP14X89	FOOT	350	\$75.00	\$26,250	
51202305	DRIVING PILES	FOOT	350	\$1.00	\$350	
51203900	TEST PILE STEEL HP14X89	EACH	1	\$10,000.00	\$10,000	
51204650	PILE SHOES	EACH	6	\$150.00	\$900	
52200500	MECHANICALLY STABILIZED EARTH RETAINING WALL	SQ FT	19100	\$65.00	\$1,241,500	
X0322508	PEDESTRIAN TRUSS SUPERSTRUCTURE (Includes deck and fence)	L SUM	2495	\$195.00	\$486,525	
	GROUND MODIFICATIONS FOR MSE RETAINING WALLS	L SUM	1	\$400,000.00	\$400,000	
<b>SUBTOTAL</b>					<b>\$3,017,030</b>	

<b>Subtotal of Construction Cost:</b>				<b>\$3,017,030</b>	
MOBILIZATION			5%	\$150,900	Estimated about 5% of the construction value
MISC CONSTRUCTION ITEMS			10%	\$301,700	Estimated about 10% of the construction value
INFLATION			3%	\$90,500	Estimated about 3% (1 years at 3%) of the construction value
<b>SUBTOTAL ESTIMATED CONSTRUCTION COST:</b>				<b>\$3,560,000</b>	

<b>Subtotal of Construction Cost:</b>				<b>\$3,560,000</b>	
PRELIMINARY ENGINEERING - PHASE 1			7%	\$249,000	Estimated about 7% of the construction value
PRELIMINARY ENGINEERING - PHASE 2			8%	\$285,000	Estimated about 8% of the construction value
CONSTRUCTION ENGINEERING - PHASE 3			10%	\$356,000	Estimated about 10% of the construction value
<b>TOTAL ESTIMATED PROJECT CONSTRUCTION COST ELIGIBLE ITEMS:</b>				<b>\$4,450,000</b>	

- NOTES:
1. Cost Estimate does not include cost of Right of Way or Easements
  2. Cost Estimate does not include cost of utility relocations

# Appendix D

## Box Culvert Elevation Calculations

## Grade-Separation of Schoolhouse Trail at IL-111 south of Horseshoe Lake Road Evaluation of Tunnel Option

Project Area is in Zone C

However Zone AH is 1600 ft from project and project drains to Zone A

Adjacent Floodplain Zone AH Elev 411

FIRM 170436 0120 B

### Proposed Tunnel Elevation

Approx Roadway low side shoulder elevation at proposed crossing

423.5 ft

Assume 3ft for cover to road surface and ceiling thickness

3 ft (12" pavement, 12" cover, 12" ceiling)

Assume 10 ft tunnel

10 ft

Tunnel invert U/S side (East side of IL-111)

+/- 410.5 ft

Tunnel Invert on D/S side assuming 170 ft tunnel length @0.5%

+/- 409.65 ft

### Tunnel Drainage

Tunnel would drain to Horseshoe Lake ~1600 ft away

Approximate Normal pool of lake

+/- 403.5

Approximate storm sewer invert assuming 2ft depth

+/- 407.65 ft

Maximum available slope from tunnel inv to Lake

0.0026 ft/ft = 0.26%

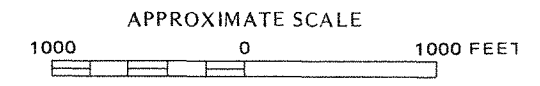
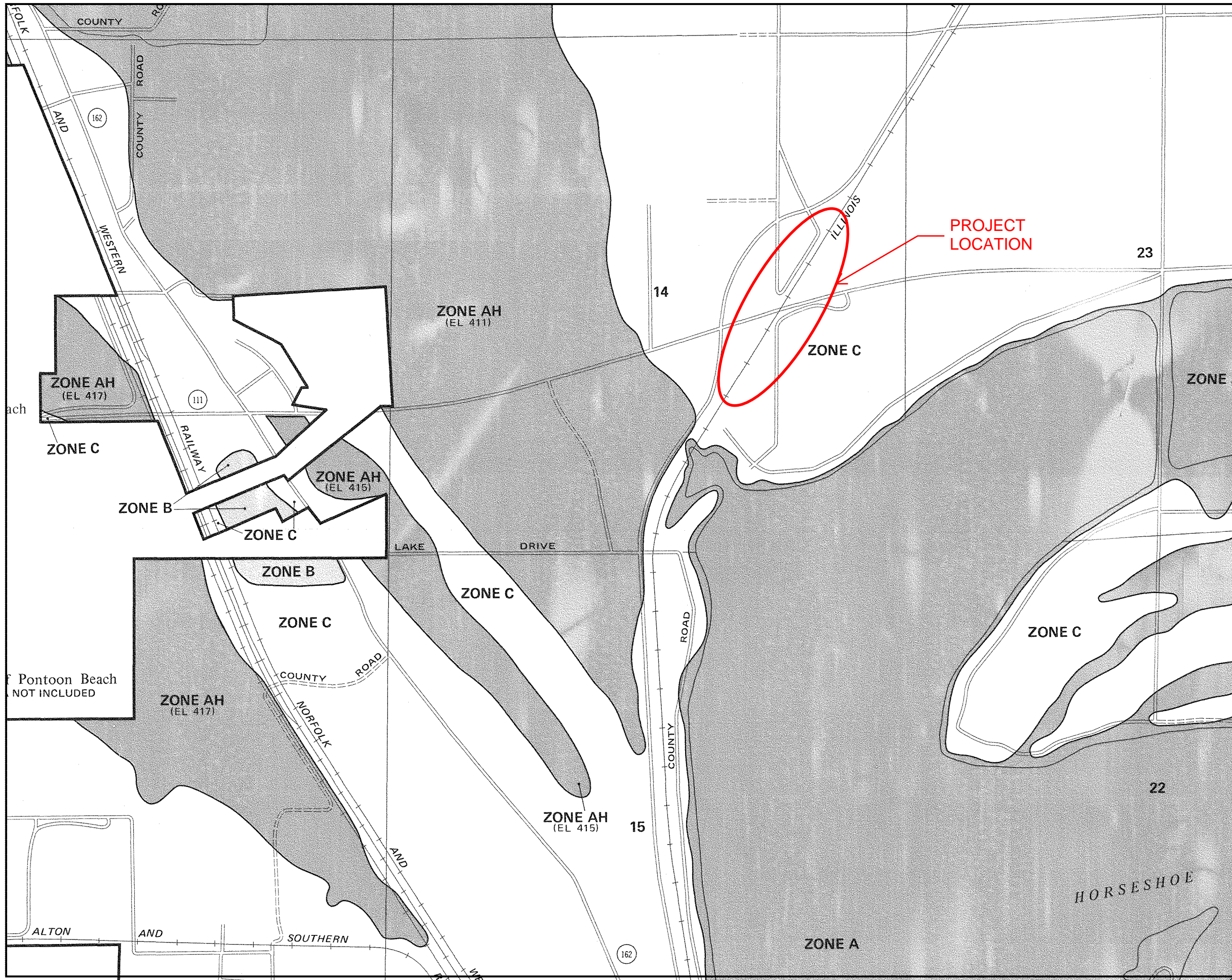
### Evaluation

Tunnel floor will be lower than adjacent flood plain elevation

There is not sufficient slope available to drain the tunnel depression

A tunnel is not recommended at this location





NATIONAL FLOOD INSURANCE PROGRAM

**FIRM**  
FLOOD INSURANCE RATE MAP

COUNTY OF  
**MADISON,**  
**ILLINOIS**  
(UNINCORPORATED AREAS)

PANEL 120 OF 160  
(SEE MAP INDEX FOR PANELS NOT PRINTED)

COMMUNITY-PANEL NUMBER  
170436 0120 B

EFFECTIVE DATE:  
APRIL 15, 1982



Federal Emergency Management Agency

This is an official copy of a portion of the above referenced flood map. It was extracted using F-MIT On-Line. This map does not reflect changes or amendments which may have been made subsequent to the date on the title block. For the latest product information about National Flood Insurance Program flood maps check the FEMA Flood Map Store at [www.msc.fema.gov](http://www.msc.fema.gov)



## RESOLUTION 21-13

### AUTHORIZING THE AWARD OF CONTRACT FOR CONSTRUCTION OF THE EASTGATE PARK & RIDE FACILITY

**WHEREAS**, the Madison County Mass Transit District (District) was created in December 1980 by resolution of the Madison County Board pursuant to Section 3 of the Local Mass Transit District Act, approved July 21, 1959, as amended (70 ILCS 3610/1 et. seq.); and,

**WHEREAS**, the Madison County Mass Transit District (District) has the responsibility to operate and maintain mass transit as a public service for the welfare of the residents of the District and the vitality of Madison County, Illinois; and,

**WHEREAS**, the District initiated a formal competitive procurement in order to select the lowest responsive and responsible bidder for construction of the Eastgate Park & Ride; and,

**WHEREAS**, as part of the bid submittal process, bidders were required to provide a Buy America certification, and an assurance of meeting or exceeding the District's DBE goal of 5.82% or evidence of good faith efforts; and,

**WHEREAS**, the District, after receipt of 12 bids, has identified Bruce Unterbrink Construction, Inc., of Greenville, Illinois, as the lowest responsive and responsible bidder with a bid in the amount of one million, five hundred ninety-six thousand dollars (\$1,596,000.00); and,

**WHEREAS**, Bruce Unterbrink Construction, Inc. certified compliance with Buy America requirements, and provided an assurance of meeting or exceeding the project's DBE goal with a calculated DBE participation rate of 7.52%; and,

**WHEREAS**, a price analysis concluded the bid price of Bruce Unterbrink Construction, Inc. to be fair and reasonable, and a responsibility determination review concluded they exhibit adequate organization, financial condition, and other characteristics necessary to successfully carryout the project; and,

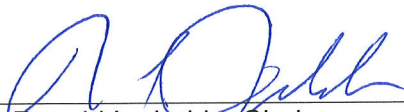
**WHEREAS**, Federal Section 5307 funds will be used for this project at an 80/20 Federal/Local ratio through a grant administered by the Federal Transit Administration.

**NOW, THEREFORE**, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE MADISON COUNTY MASS TRANSIT DISTRICT THAT:

1. Madison County Mass Transit District award a contract to Bruce Unterbrink Construction, Inc., of Greenville, Illinois, in the amount of one million, five hundred ninety-six thousand dollars (\$1,596,000.00) for construction of the Eastgate Park and Ride Facility.
2. Madison County Mass Transit District shall increase its Assigned Fund Balance to equal the local match portion of the project.
3. Madison County Mass Transit District Capital Budget line item shall be increased by an amount equivalent to the approved grant award revenues and project costs.
4. Ronald L. Jedda, Chairman, J. Kelly Schmidt, Vice Chairman, and/or SJ Morrison, Managing Director, of the Madison County Mass Transit District, are hereby authorized and directed to take all actions necessary to execute, complete, and perform all obligations associated with the contract, including any and all change orders, and to take any such further actions as are necessary and appropriate on behalf of and in a manner most beneficial to the Madison County Mass Transit District.

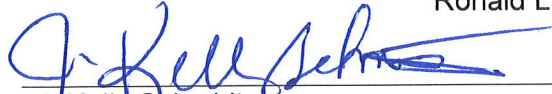


**ADOPTED** by the Madison County Mass Transit District, Madison County, Illinois, on this twenty-ninth day of October 2020.



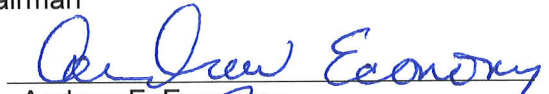
---

Ronald L. Jedda, Chairman



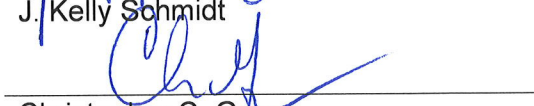
---

J. Kelly Schmidt



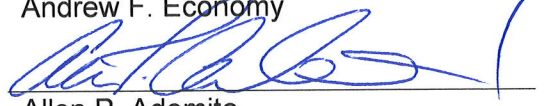
---

Andrew F. Economy



---

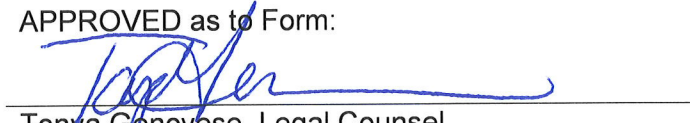
Christopher C. Guy



---

Allen P. Adomite

APPROVED as to Form:



---

Tonya Genovese, Legal Counsel

## CERTIFICATE

I, Summer Moore, do hereby certify that I am the fully qualified and acting Secretary of the Board of Trustees of the Madison County Mass Transit District, and as such Secretary, I am the keeper of the records and files of the Madison County Mass Transit District.

I do further certify that at a duly constituted and legally convened meeting of the Board of Trustees of the Madison County Mass Transit District held on Thursday, October 29, 2020, a resolution was adopted in full accordance and conformity with the by-laws of the Madison County Mass Transit District and the statutes of the State of Illinois, as made and provided, and that the following is a full, complete, and true copy of the pertinent provisions of said Resolution.

**NOW, THEREFORE,** BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE MADISON COUNTY MASS TRANSIT DISTRICT THAT:

1. Madison County Mass Transit District award a contract to Bruce Unterbrink Construction, Inc., of Greenville, Illinois, in the amount of one million, five hundred ninety-six thousand dollars (\$1,596,000.00) for construction of the Eastgate Park and Ride project.
2. Madison County Mass Transit District shall increase its Assigned Fund Balance to equal the local match portion of the project.
3. Madison County Mass Transit District Capital Budget line item shall be increased by an amount equivalent to the approved grant award revenues and project costs.
4. Ronald L. Jedda, Chairman, J. Kelly Schmidt, Vice Chairman, and/or SJ Morrison, Managing Director, of the Madison County Mass Transit District, are hereby authorized and directed to take all actions necessary to execute, complete, and perform all obligations associated with the contract, including any and all change orders, and to take any such further actions as are necessary and appropriate on behalf of and in a manner most beneficial to the Madison County Mass Transit District.

IN WITNESS WHEREOF, I have hereunto affixed my official signature as Secretary of the Madison County Mass Transit District on this twenty-ninth day of October 2020.

  
\_\_\_\_\_

# Contract Award Recommendation

**To:** Board of Trustees

**From:** SJ Morrison, Managing Director  
Penny Brown, Procurement Specialist

**Project:** Eastgate Park and Ride No. 21-1-14900

**Date:** October 20, 2020

An Invitation for Bids (IFB) was issued on August 28, 2020 seeking bids for a competent, experienced firm to construct a park and ride facility along Illinois Route 3 at Eastgate Plaza in East Alton, Illinois.

Twelve bids were received. Bruce Unterbrink Construction, Inc. was identified as the apparent low bidder. They certified compliance with Buy America requirements and provided an assurance they will meet or exceed the project's DBE goal of 5.82%. A price analysis deemed their bid price fair and reasonable. A responsibility determination review concluded they exhibit adequate organization, financial condition and other characteristics necessary to successfully carry out the project.

Recommendation is being made for a contract award to the lowest responsive and responsible bidder, Bruce Unterbrink Construction, Inc., in the amount of \$1,596,000.

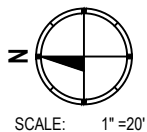
<b>Bidder</b>	<b>Bid</b>
Bruce Unterbrink Construction, Inc. Greenville, IL	\$1,596,000.00
R.W. Boeker Company Inc. Hamel, IL	\$1,597,000.00
ICS Construction Services, Ltd. St. Louis, MO	\$1,687,000.00
Hankins Construction Company St. Louis, MO	\$1,735,000.00
H.E. Mitchell Construction Co. Inc. Harrisburg, IL	\$1,778,000.00
Shores Builders Inc. Centralia, IL	\$1,816,000.00
L. Keeley Construction Co. St. Louis, MO	\$1,855,000.00
R&W Builder's Inc. O'Fallon, IL	\$1,878,000.00
Plocher Construction Company, Inc. Highland, IL	\$1,923,000.00
Keller Construction, Inc. Glen Carbon, IL	\$1,947,000.00
Morrissey Construction Company Godfrey, IL	\$2,050,300.00
Stutz Excavating Inc. Alton, IL	\$2,128,475.00

Section 5307 funds will be used for this project at an 80/20 Federal/local ratio through a grant administered by the Federal Transit Administration.

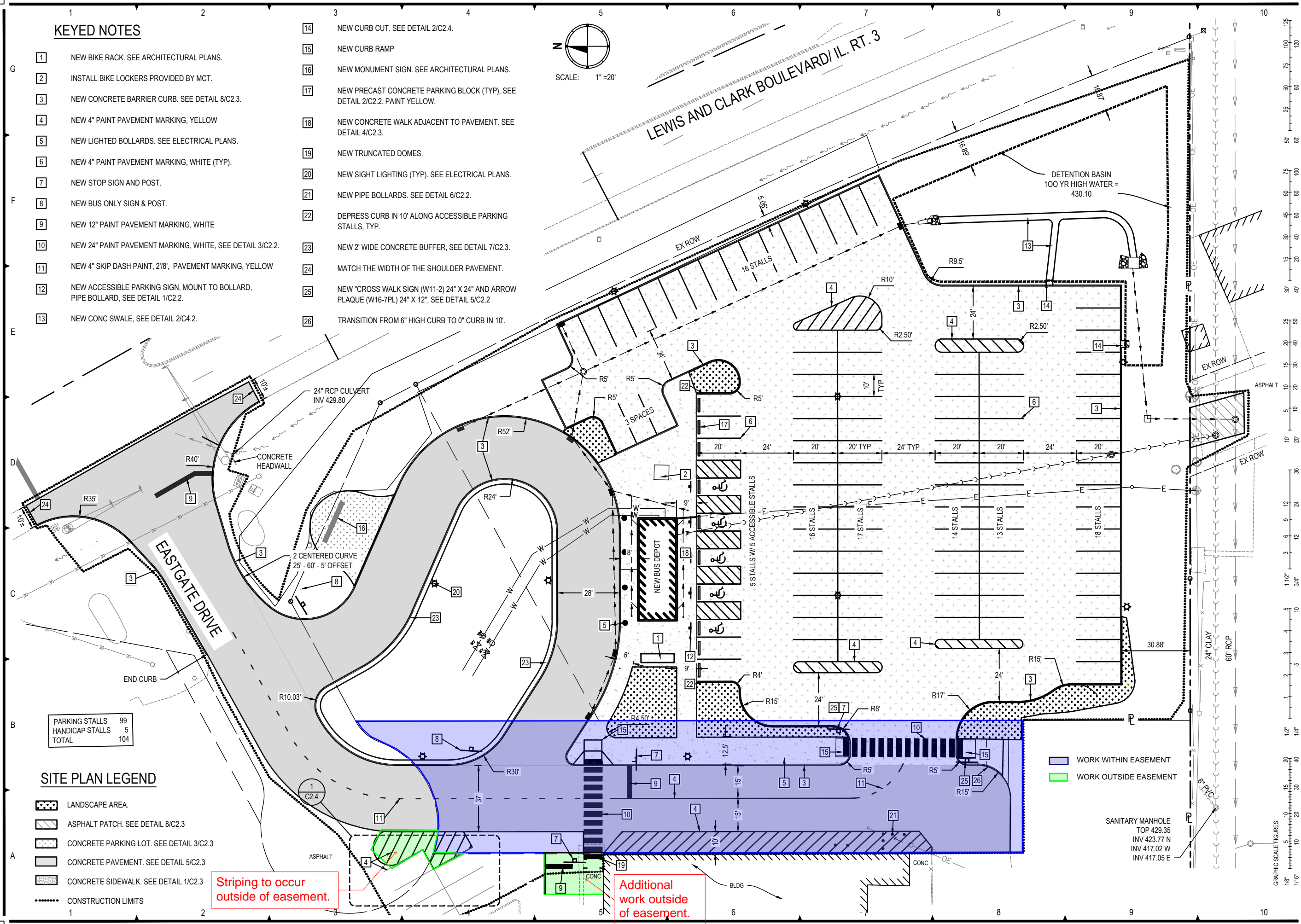
**KEYED NOTES**

- 1 NEW BIKE RACK. SEE ARCHITECTURAL PLANS.
- 2 INSTALL BIKE LOCKERS PROVIDED BY MCT.
- 3 NEW CONCRETE BARRIER CURB. SEE DETAIL 8/C2.3.
- 4 NEW 4" PAINT PAVEMENT MARKING, YELLOW
- 5 NEW LIGHTED BOLLARDS. SEE ELECTRICAL PLANS.
- 6 NEW 4" PAINT PAVEMENT MARKING, WHITE (TYP).
- 7 NEW STOP SIGN AND POST.
- 8 NEW BUS ONLY SIGN & POST.
- 9 NEW 12" PAINT PAVEMENT MARKING, WHITE
- 10 NEW 24" PAINT PAVEMENT MARKING, WHITE, SEE DETAIL 3/C2.2.
- 11 NEW 4" SKIP DASH PAINT, 2/8", PAVEMENT MARKING, YELLOW
- 12 NEW ACCESSIBLE PARKING SIGN, MOUNT TO BOLLARD, PIPE BOLLARD, SEE DETAIL 1/C2.2.
- 13 NEW CONC SWALE. SEE DETAIL 2/C4.2.

- 14 NEW CURB CUT. SEE DETAIL 2/C2.4.
- 15 NEW CURB RAMP
- 16 NEW MONUMENT SIGN. SEE ARCHITECTURAL PLANS.
- 17 NEW PRECAST CONCRETE PARKING BLOCK (TYP), SEE DETAIL 2/C2.2. PAINT YELLOW.
- 18 NEW CONCRETE WALK ADJACENT TO PAVEMENT. SEE DETAIL 4/C2.3.
- 19 NEW TRUNCATED DOMES.
- 20 NEW SIGHT LIGHTING (TYP). SEE ELECTRICAL PLANS.
- 21 NEW PIPE BOLLARDS. SEE DETAIL 6/C2.2.
- 22 DEPRESS CURB IN 10' ALONG ACCESSIBLE PARKING STALLS, TYP.
- 23 NEW 2' WIDE CONCRETE BUFFER, SEE DETAIL 7/C2.3.
- 24 MATCH THE WIDTH OF THE SHOULDER PAVEMENT.
- 25 NEW "CROSS WALK SIGN (W11-2) 24" X 24" AND ARROW PLAQUE (W16-7PL) 24" X 12", SEE DETAIL 5/C2.2
- 26 TRANSITION FROM 6" HIGH CURB TO 0" CURB IN 10'.



LEWIS AND CLARK BOULEVARD/IL. RT. 3



PARKING STALLS	99
HANDICAP STALLS	5
TOTAL	104

**SITE PLAN LEGEND**

- LANDSCAPE AREA.
- ASPHALT PATCH. SEE DETAIL 8/C2.3
- CONCRETE PARKING LOT. SEE DETAIL 3/C2.3
- CONCRETE PAVEMENT. SEE DETAIL 5/C2.3
- CONCRETE SIDEWALK. SEE DETAIL 1/C2.3
- CONSTRUCTION LIMITS

Striping to occur outside of easement.

Additional work outside of easement.

- WORK WITHIN EASEMENT
- WORK OUTSIDE EASEMENT

SANITARY MANHOLE  
TOP 429.35  
INV 423.77 N  
INV 417.02 W  
INV 417.05 E

NO.	DATE	REMARKS

100 Lanter Court, Suite 1  
Collinsville, IL 62234  
618.345.2200  
www.oatesassociates.com  
Collinsville, IL, Collinsville, IL, Collinsville, IL  
ILLINOIS DESIGN FIRM LICENSE NO. 184.00115



MADISON COUNTY TRANSIT  
EASTGATE PARK N RIDE  
SITE PLAN

EXP. 00/00/00  
PROJECT NO.: 19047  
DATE: 5/22/20  
SHEET NO.:

**EX 2**